

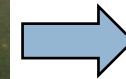
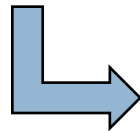
# DIGITAL IMAGE PROCESSING



Image Segmentation:  
Thresholding

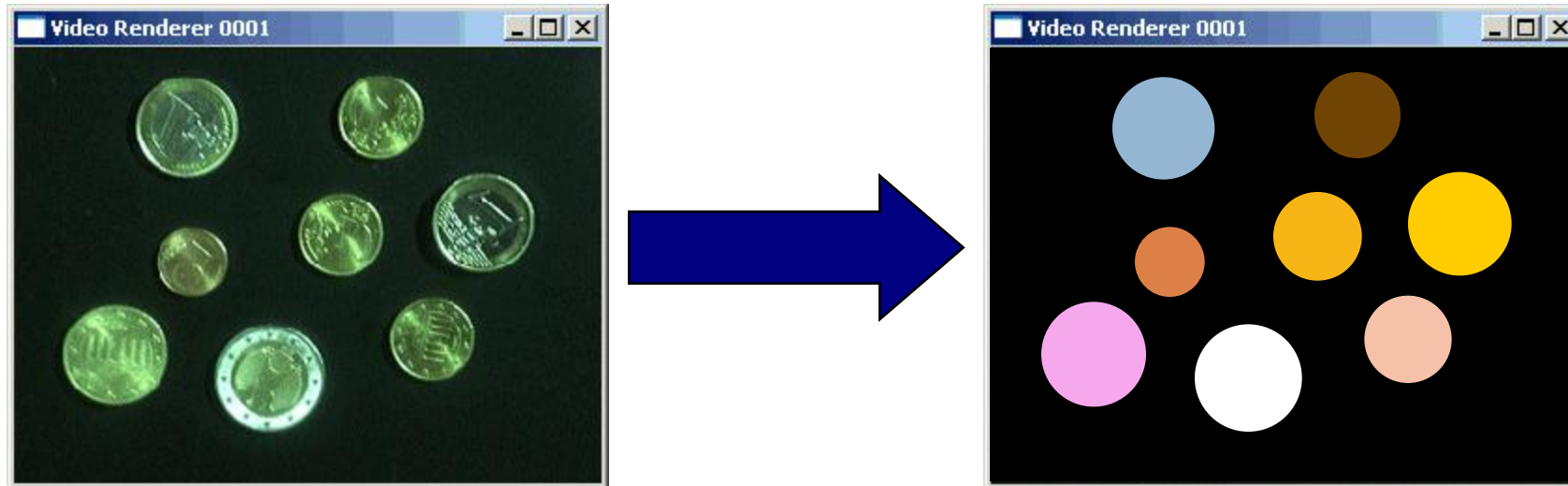
# What is segmentation?

- Dividing the image into different regions.
  - Separating objects from background and giving them individual labels(ID numbers)
- The purpose of image segmentation is to partition an image into meaningful regions with respect to a particular application



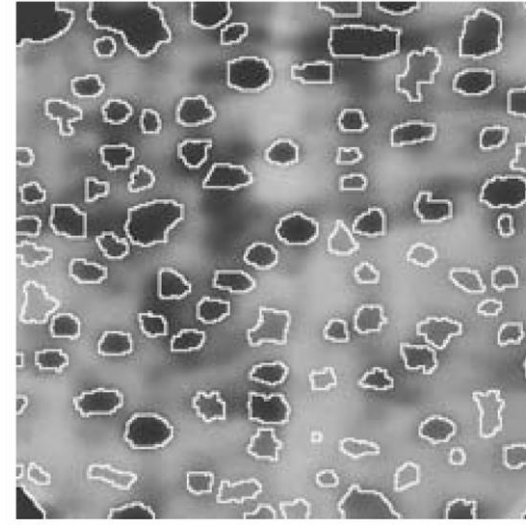
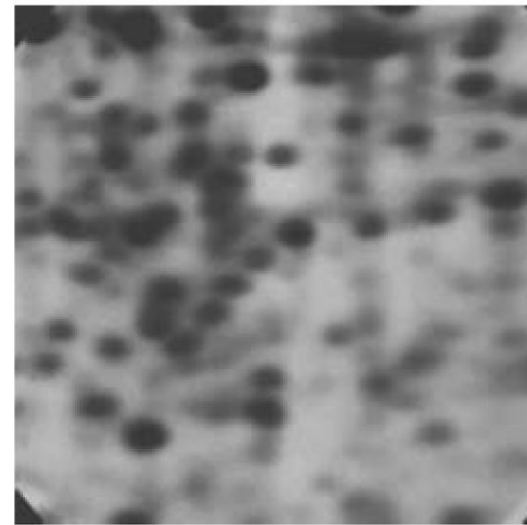
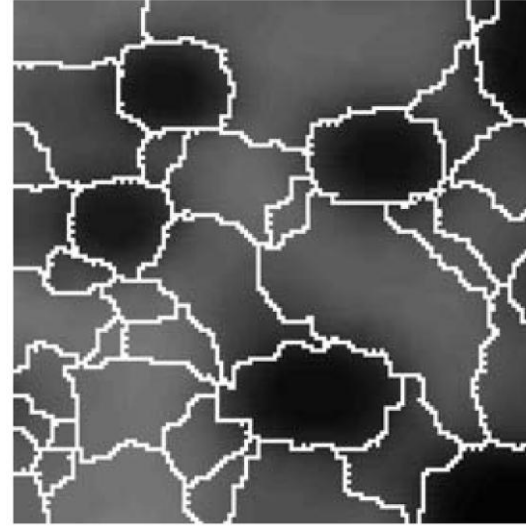
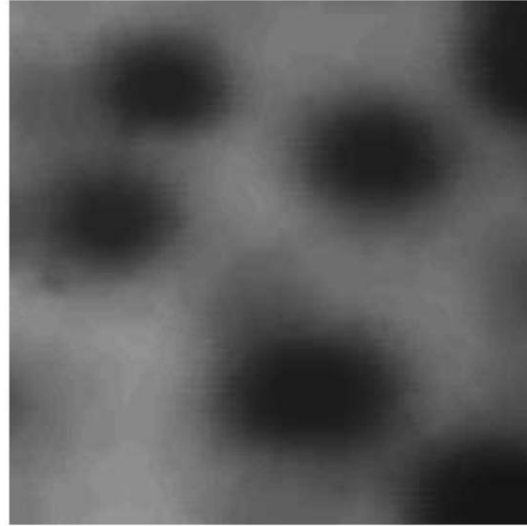
# The Segmentation Problem

- Segmentation attempts to partition the pixels of an image into groups that strongly correlate with the objects in an image
- Typically the first step in any automated computer vision application



# Segmentation Examples

Images taken from Gonzalez & Woods, Digital Image Processing (2002)





# Wikipedia on segmentation

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“In computer vision, Segmentation is the process of partitioning a digital image into multiple segments”

“More precisely, image segmentation is the process of assigning a label to every pixel in an image such that pixels with the same label share certain visual characteristics.”

“Each of the pixels in a region are similar with respect to some characteristic or computed property, such as color, intensity, or texture. Adjacent regions are significantly different with respect to the same characteristic(s).”

# Why segmentation?

---

- Usually image segmentation is an initial and vital step in a series of processes aimed at overall image understanding
  - Segmentation is generally the first stage in any attempt to analyze or interpret an image automatically.
- Segmentation bridges the gap between low-level image processing and high-level image processing.
- Some kinds of segmentation technique will be found in any application involving the detection, recognition, and measurement of objects in images.

# Why segmentation?

- The role of segmentation is crucial in most tasks requiring image analysis.
  - ❑ The success or failure of the task is often a direct consequence of the success or failure of segmentation.
- Accurate segmentation of objects of interest in an image greatly facilitates further analysis of these objects. For example, it allows us to:
  - ❑ Count the number of objects of a certain type.
  - ❑ Measure geometric properties (e.g., area, perimeter) of objects in the image.
  - ❑ Study properties of an individual object (intensity, texture, etc.)



# Segmentation – difficulty

- Segmentation is often the most difficult problem to solve in image analysis.
  - There is no universal solution!
- A reliable and accurate segmentation of an image is, in general, very difficult to achieve by purely automatic means

”Since there is no general solution to the image segmentation problem, these [general purpose] techniques often have to be combined with domain knowledge in order to effectively solve an image segmentation problem for a problem domain.” - wikipedia

# Targeted Segmentation

- Segmentation is an ill-posed problem...



What is a correct segmentation of this image?

# Targeted Segmentation

- ...unless we specify a segmentation target.



“Segment the orange car from the background”



“Segment all road signs from the background”



# Dilemma



input



result 1



result 2

What do we mean by “DIFFERENT” objects?

Another example: when we look at trees at a close distance, we consider each of them as a different object; but as we look at trees far away, they merge into one coherent object (woods)

# Targeted Segmentation

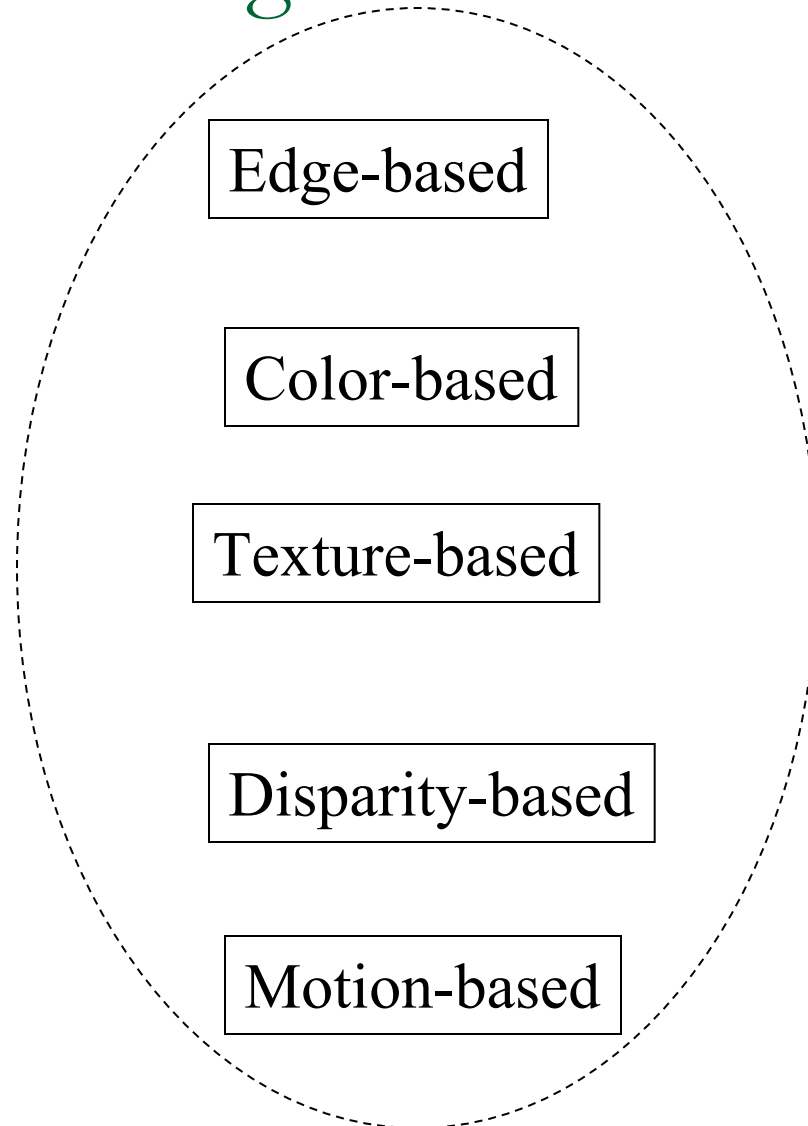
- A segmentation can also be defined as a mapping from the set of pixels to some application dependent target set, e.g.
  - {Object, Background}
  - {Humans, Other objects}
  - {1,2,3,4,...}
  - {Healthy tissue, Tumors}
- To perform accurate segmentation, we (or our algorithms) need to somehow know how to differentiate between different elements of the target set.



# Segmentation Algorithms

- Segmentation algorithms are based on one of two basic properties of color, gray values, or texture:
- **Similarity**
  - ▣ Partition an image into regions that are similar according to a predefined criteria.
- **Discontinuity**
  - ▣ Detecting boundaries of regions based on local discontinuity in intensity.

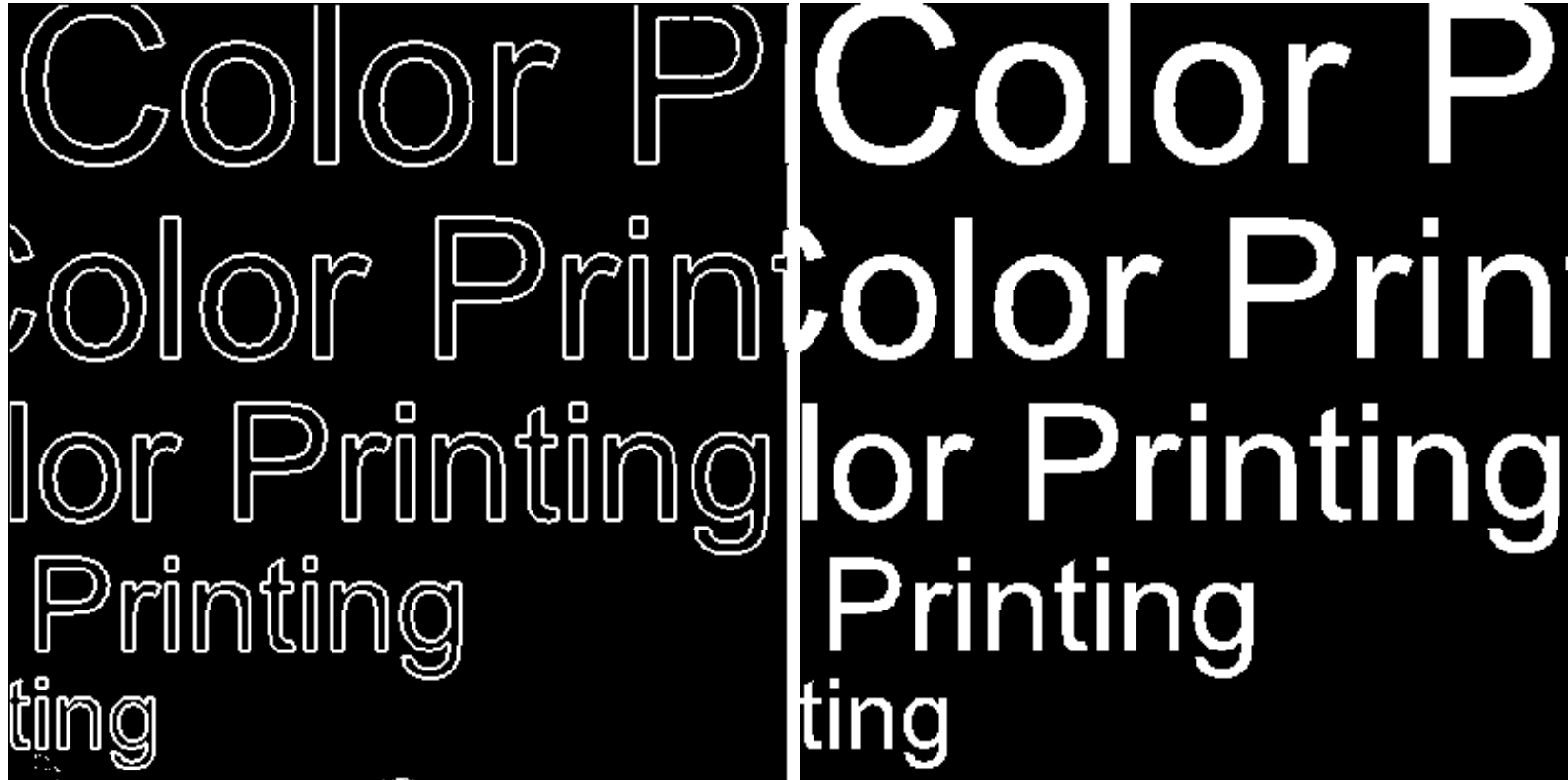
# Overview of Segmentation Techniques



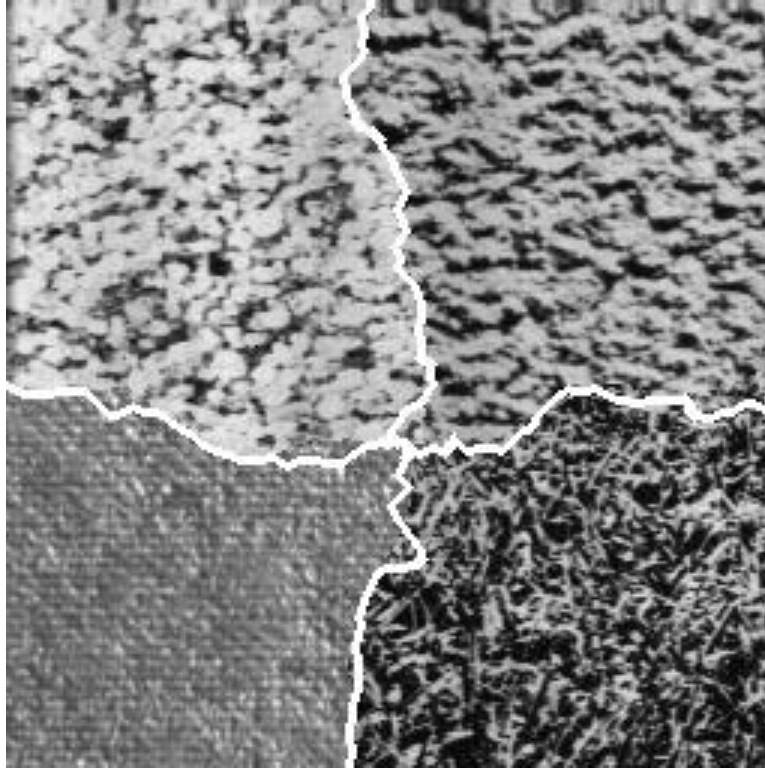
# Edge-based Techniques



## Region-Filling



# Texture-based Techniques



What is Texture?

No one exactly knows.

In the visual arts, **texture** is the perceived surface quality of an artwork.

# Segmentation Algorithms

- We will study Four types of algorithms

- **Thresholding** → Similarity

- Based on pixel intensities (shape of histogram is often used for automation).

- **Edge-based** → Discontinuity

- Detecting edges that separate regions from each other.

- **Region-based** → Similarity

- Grouping similar pixels (with e.g. region growing, split & merge).

- **Watershed segmentation** → Discontinuity

- Find regions corresponding to local minima in intensity.

# Thresholding

- **Simplest, widely used** for image segmentation.
- It is useful in discriminating foreground from the background.
- By selecting an adequate threshold  $T$ , the **grayscale** image can be converted to **binary image**.
- mathematically :

$$g(x, y) = \begin{cases} 1 & \text{if } f(x, y) > T \\ 0 & \text{if } f(x, y) \leq T \end{cases}$$

Which pixels belong to  
the object?

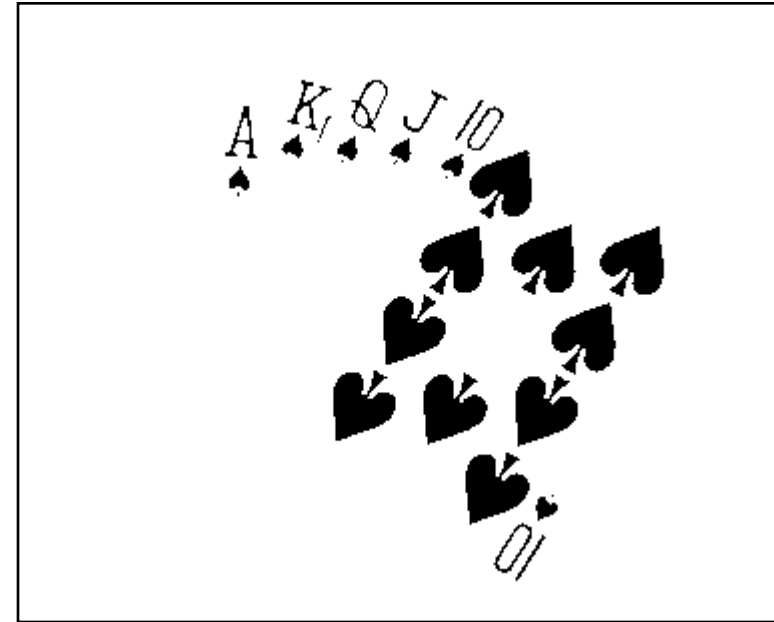


# Thresholding Example

- Imagine a poker playing robot that needs to visually interpret the cards in its hand



Original Image

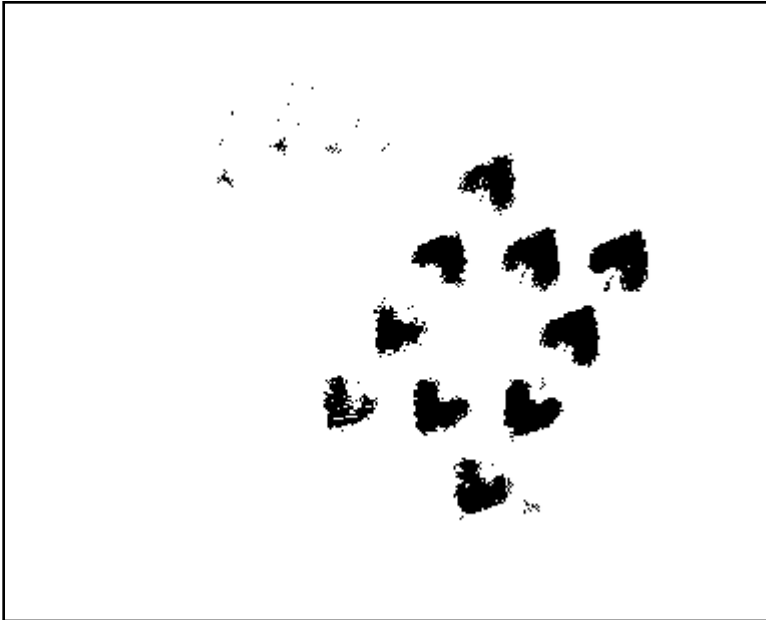


Thresholded Image

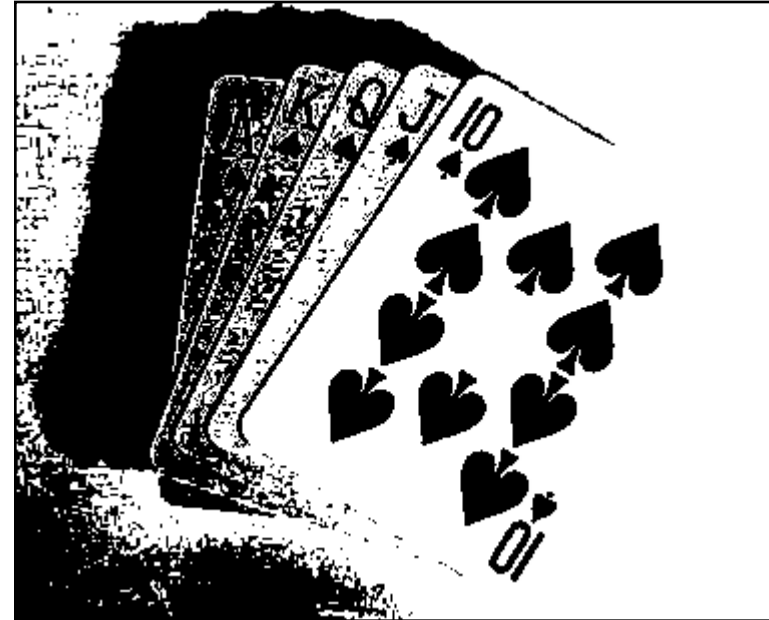


# But Be Careful

- If you get the threshold wrong the results can be disastrous



Threshold Too Low



Threshold Too High

# Thresholding - methods

---

- **Global threshold**

The same value is used for the whole image.

- **Optimal global threshold**

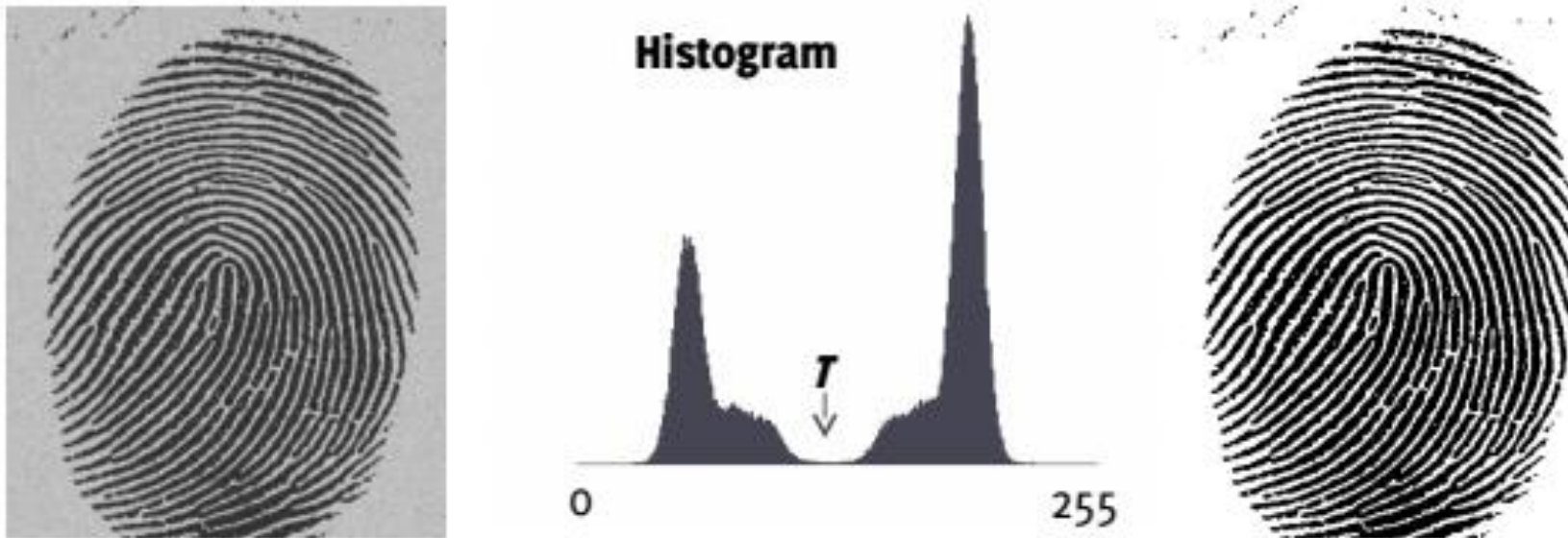
Based on the shape of the current image histogram. Searching for valleys, Gaussian distribution etc.

- **Local (or dynamic) threshold**

The image is divided into non-overlapping sections, which are thresholded one by one.

# Global Thresholding

- Partition the image histogram using a single global threshold
- Success strongly depends on how well the histogram can be partitioned



- We chose a **threshold  $T$**  midway between the two gray value distributions.

# How to find a global threshold

- The basic global threshold,  $T$ , is calculated
- as follows:
  1. Select an initial estimate for  $T$  (typically the average grey level in the image)
  2. Segment the image using  $T$  to produce two groups of pixels:
    - $G_1$  : pixels with grey levels  $>T$
    - $G_2$  : pixels with grey levels  $\leq T$
  3. Compute the average grey levels of pixels in  $G_1$  to give  $\mu_1$  and  $G_2$  to give  $\mu_2$

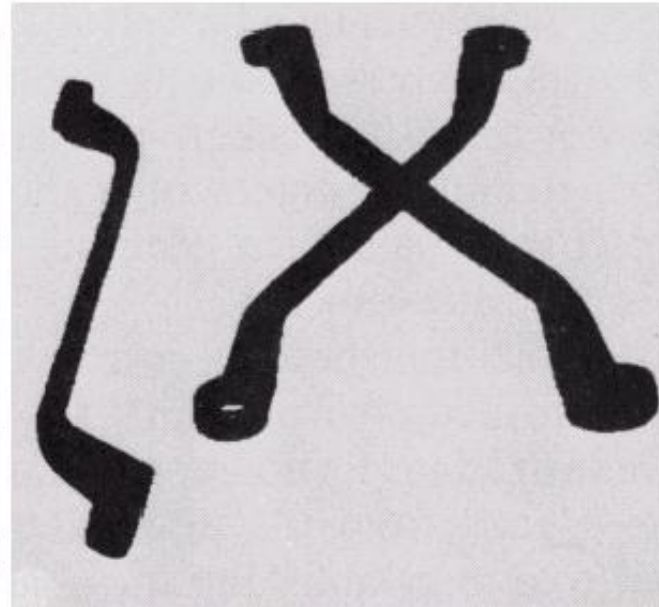
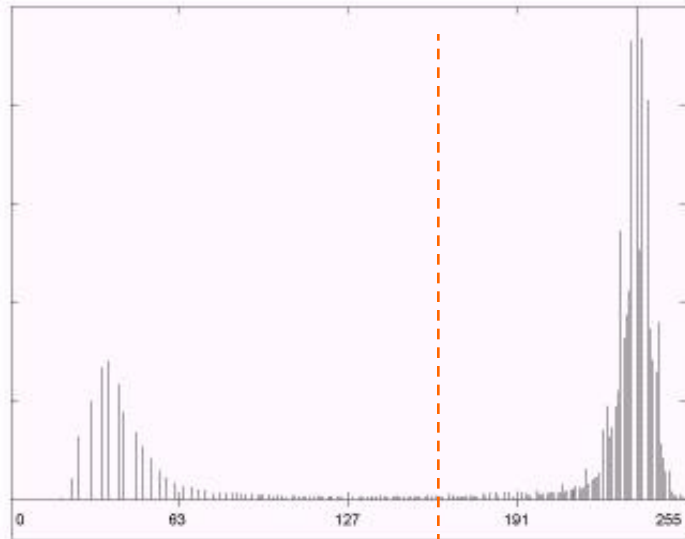
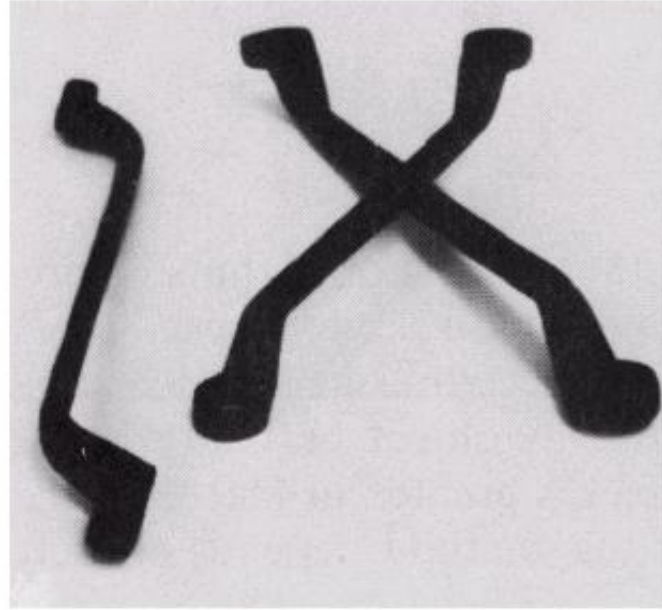
# How to find a global threshold

4. Compute a new threshold value:

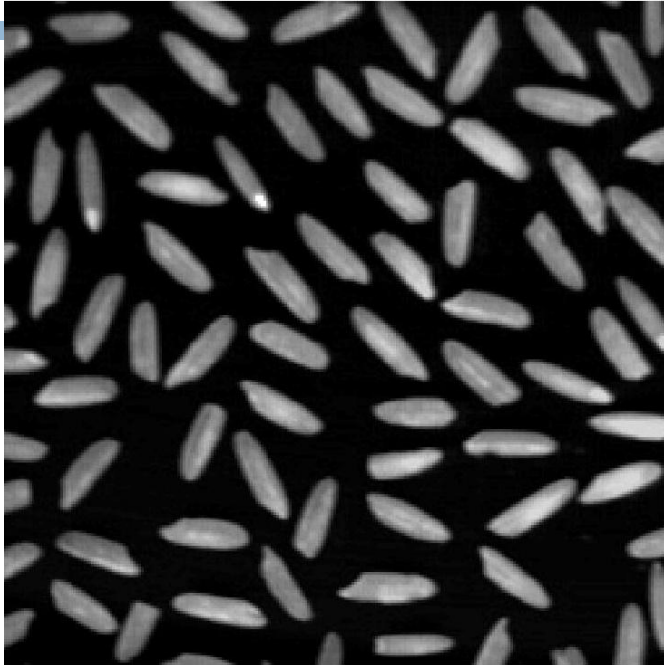
$$T = \frac{\mu_1 + \mu_2}{2}$$

5. Repeat steps 2 – 4 until the difference in  $T$  in successive iterations is less than a predefined limit  $T_\infty$

# Global threshold - Example



# Global threshold - Example



Grayscale rice image

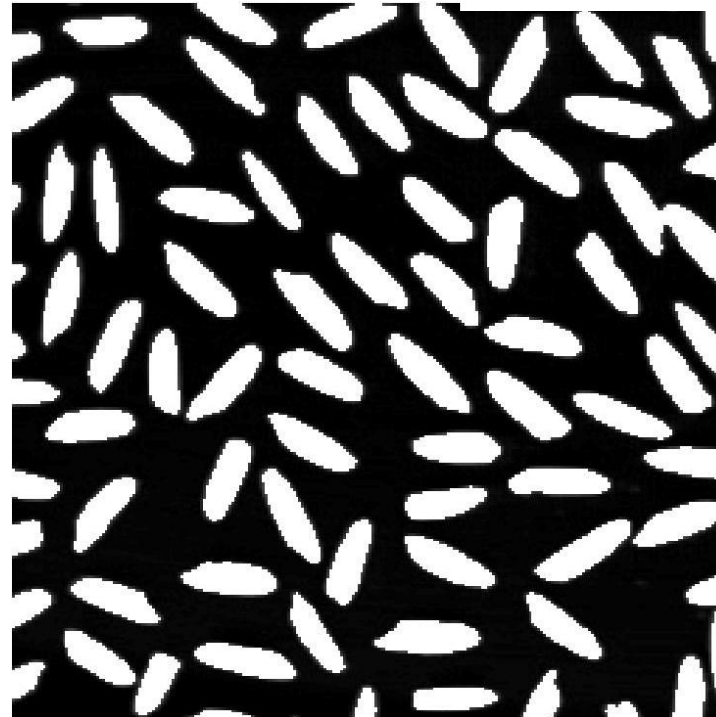
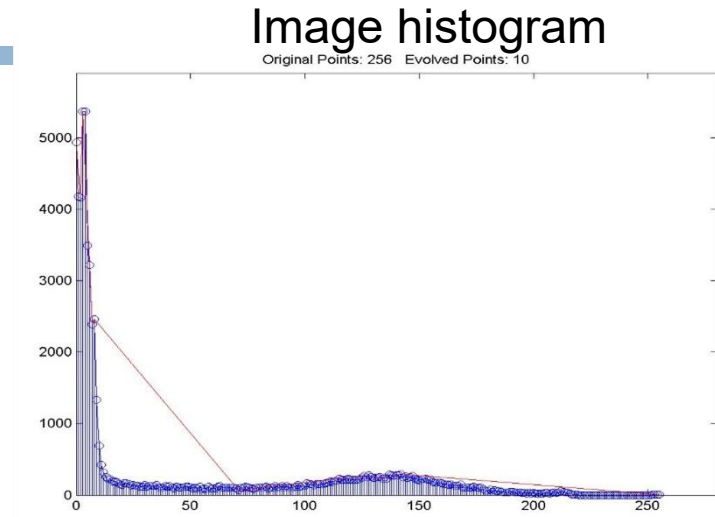
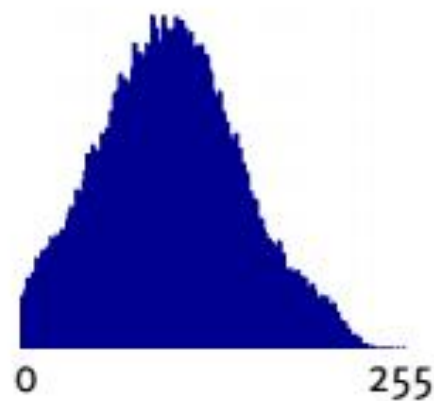
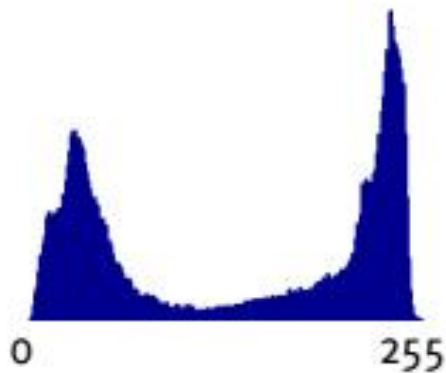
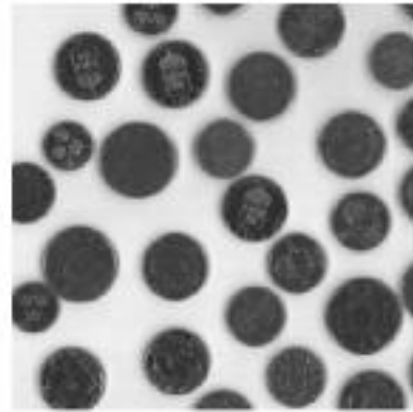


Image after  
segmentation

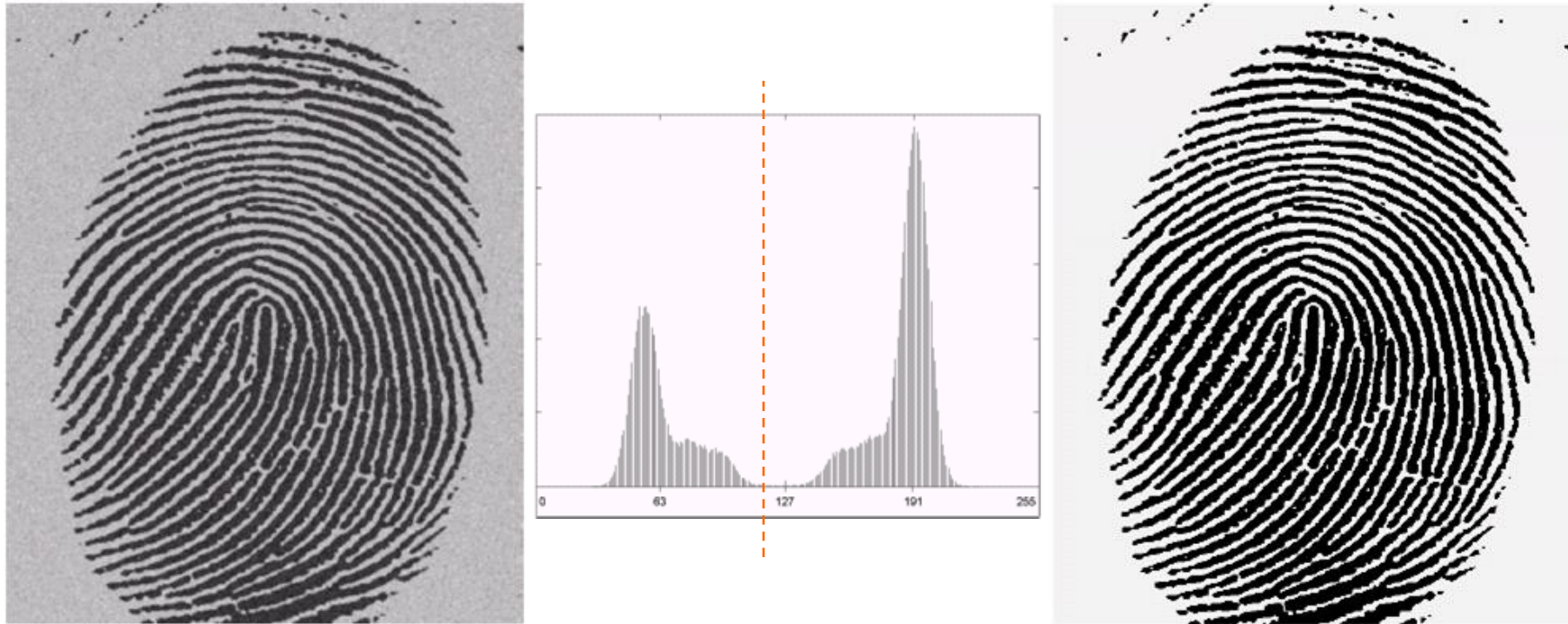
# Global threshold

- This algorithm works very well for finding thresholds when the histogram is suitable (bi-modal)





# Thresholding Example 2



# Optimum Global threshold

## □ Otsu's Method

- Based on a very simple idea: Find the threshold that *minimizes the weighted within-class variance*.
- This turns out to be the same as *maximizing the between-class variance*.
- Operates directly on the gray level histogram [*e.g.* 256 numbers,  $P(i)$ ], so it's fast (once the histogram is computed).

# Otsu's Method

- $\{0,1,2,\dots,L-1\}$ ,  $L$  means gray level intensity

$$MN = n_0 + n_1 + n_2 + \dots + n_{L-1}$$

$M*N$  = total number of pixel.

$n_i$  = number of pixels with intensity  $i$

$p_i = n_i / MN$ , probability of intensity  $i$

- Select a threshold  $T(k) = k, 0 < k < L-1$ , and use it to classify  $C_1$ : intensity in the range  $[0, k]$  and  $C_2$ :  $[k+1, L-1]$

Class Probability

$$P_1(k) = \sum_{i=0}^k p_i, \quad P_2(k) = \sum_{i=k+1}^{L-1} p_i = 1 - P_1(k)$$

$$P_1 + P_2 = 1$$

Class mean

$$m_1(k) = \sum_{i=0}^k i \frac{p_i}{P_1} = \frac{1}{P_1} \sum_{i=0}^k i p_i, \quad m_2(k) = \frac{1}{P_2} \sum_{i=k+1}^{L-1} i p_i$$

# Otsu's Method

Class variance  $\sigma_1^2(k) = \frac{1}{P_1} \sum_{i=1}^k [i - m_1]^2 p_i$  ,  $\sigma_2^2(k) = \frac{1}{P_2} \sum_{i=k+1}^{L-1} [i - m_2]^2 p_i$

**Minimize:** within Class variance  $\sigma_w^2(k) = P_1 \sigma_1^2 + P_2 \sigma_2^2$

Between Class variance  $\sigma_B^2(k) = P_1 (m_1 - m_G)^2 + P_2 (m_2 - m_G)^2$

Global mean  $m_G = \sum_{i=0}^{L-1} i p_i$   $P_1 m_1 + P_2 m_2 = m_G$

global variance  $\sigma_G^2 = \sum_{i=0}^{L-1} (i - m_G)^2 p_i$

# Otsu's Method

since  $\sigma_G^2 = \sigma_W^2 + \sigma_B^2$ , minimizing  $\sigma_W^2$  means maximizing  $\sigma_B^2$

$$\begin{aligned}\sigma_B^2 &= P_1(m_1 - m_G)^2 + P_2(m_2 - m_G)^2 \\ &= P_1 m_1^2 + P_2 m_2^2 - 2m_G(P_1 m_1 + P_2 m_2) + m_G^2(P_1 + P_2) \\ &= P_1 m_1^2 + P_2 m_2^2 - (P_1 m_1 + P_2 m_2)^2 \\ &= P_1 m_1^2(1 - P_1) + P_2 m_2^2(1 - P_2) - 2P_1 P_2 m_1 m_2 \\ &= P_1 P_2(m_1^2 + m_2^2 - 2m_1 m_2) \\ &= P_1 P_2(m_1 - m_2)^2\end{aligned}$$

# Otsu's Method

$$\sigma_B^2(k) = P_1 P_2 (m_1 - m_2)^2 = \frac{(m_G P_1(k) - m(k))^2}{P_1(k)(1 - P_1(k))}$$

it is *between-class variance*

$$\eta = \frac{\sigma_B^2(k)}{\sigma_G^2} \quad 0 \leq \eta(k^*) \leq 1$$

it is a measure of *separability between class*.

$$\sigma_B^2(k^*) = \max_{0 \leq k \leq L-1} \sigma_B^2(k)$$

$$g(x, y) = \begin{cases} 1 & \text{if } f(x, y) \geq k^* \\ 0 & \text{if } f(x, y) < k^* \end{cases} \quad \begin{array}{l} \text{For } x = 0, 1, 2, \dots, M-1 \\ \text{and } y = 0, 1, 2, \dots, N-1. \end{array}$$

# Otsu's Method

since  $\sigma_G^2 = \sigma_W^2 + \sigma_B^2$ , minimizing  $\sigma_W^2$  means maximizing  $\sigma_B^2$

$$\begin{aligned}\sigma_B^2 &= P_1 P_2 (m_1 - m_2)^2 \\ &= P_1 P_2 \left( m_1 - \frac{m_G - P_1 m_1}{P_2} \right)^2 \\ &= P_1 P_2 \left( \frac{m_1 - m_G}{P_2} \right)^2 = P_1^2 \frac{(m_1 - m_G)^2}{P_1 P_2} \\ &= \frac{(m - P_1 m_G)^2}{P_1 (1 - P_1)}\end{aligned}$$

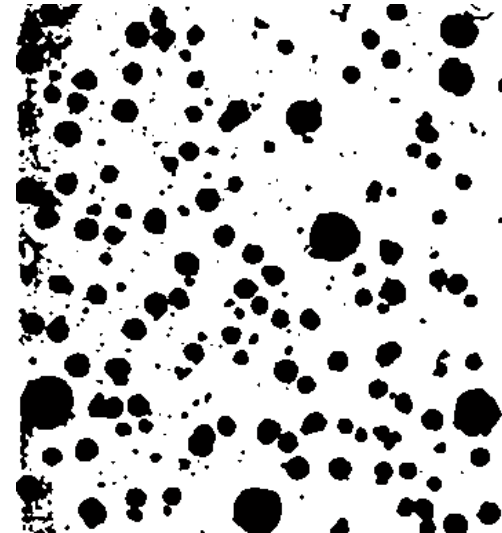
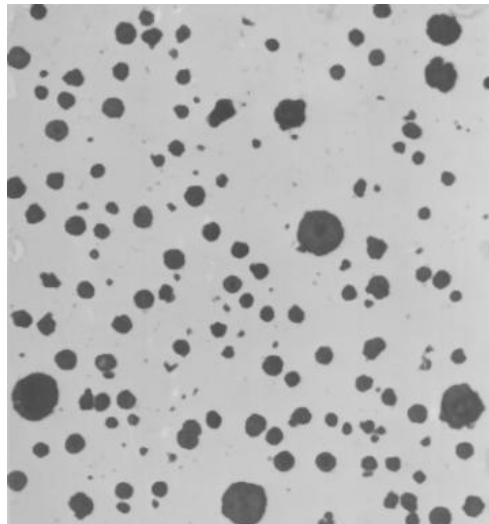
where  $m = \sum_{i=0}^k i p_i = P_1 m_1$  Cumulative mean upto  $k$

# Otsu's Method

- The criterion function involves *between-classes* variance to the total variance is defined as:

$$\eta = \sigma_B^2 / \sigma_G^2$$

- All possible thresholds are evaluated in this way, and the one that maximizes  $\eta$  is chosen as the optimal threshold





# Otsu's Method

Otsu's algorithm may be summarized as follows:

1. Compute the normalized histogram of the input image. Denote the components of the histogram by  $p_i, i = 0, 1, 2, \dots, L - 1$ .
2. Compute the cumulative sums,  $P_1(k)$ , for  $k = 0, 1, 2, \dots, L - 1$ , using Eq. (10.3-4).
3. Compute the cumulative means,  $m(k)$ , for  $k = 0, 1, 2, \dots, L - 1$ , using Eq. (10.3-8).
4. Compute the global intensity mean,  $m_G$ , using (10.3-9).
5. Compute the between-class variance,  $\sigma_B^2(k)$ , for  $k = 0, 1, 2, \dots, L - 1$ , using Eq. (10.3-17).
6. Obtain the Otsu threshold,  $k^*$ , as the value of  $k$  for which  $\sigma_B^2(k)$  is maximum. If the maximum is not unique, obtain  $k^*$  by averaging the values of  $k$  corresponding to the various maxima detected.
7. Obtain the separability measure,  $\eta^*$ , by evaluating Eq. (10.3-16) at  $k = k^*$ .

# Otsu's Method

a b  
c d

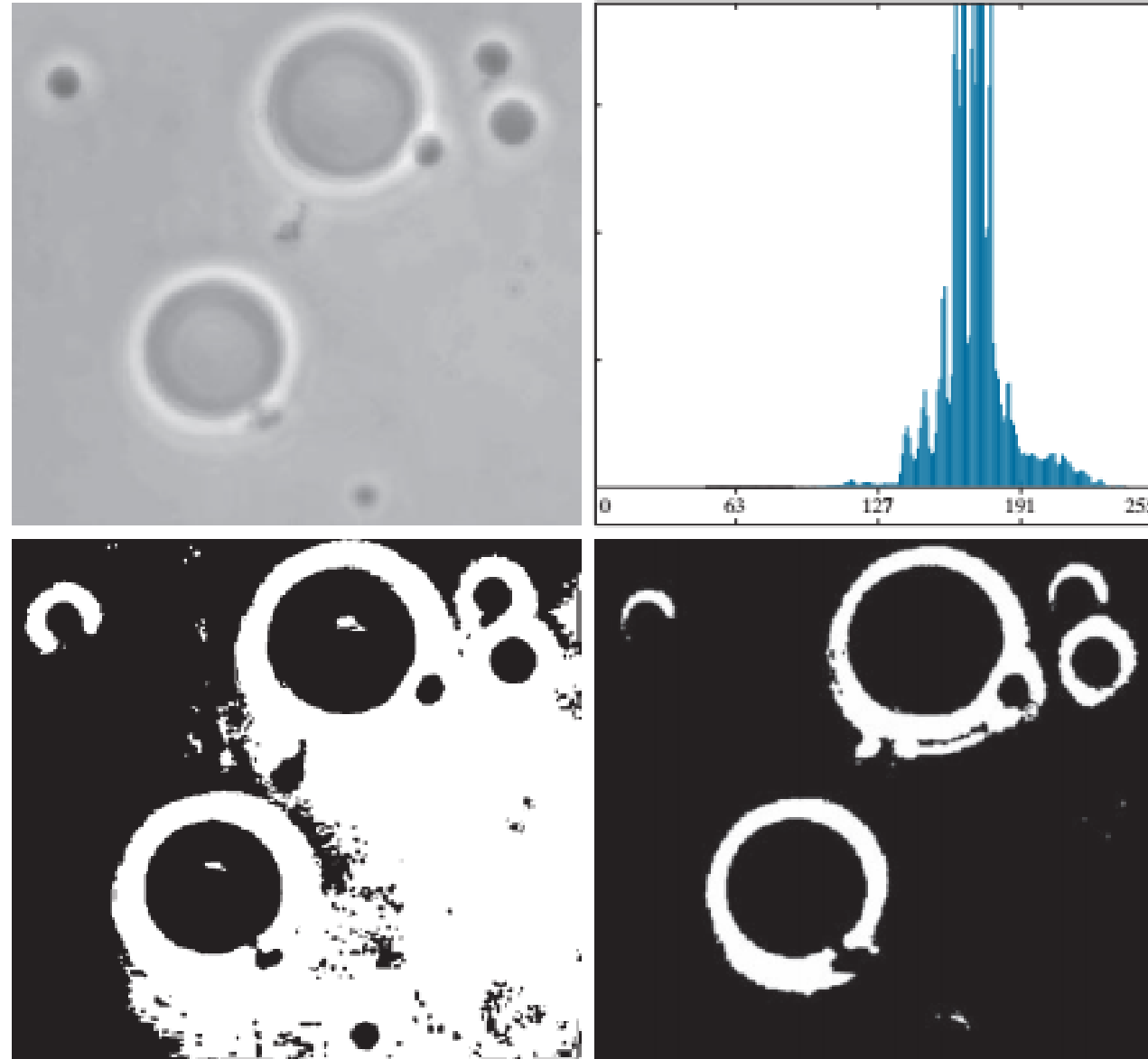
**FIGURE 10.36**

(a) Original image.

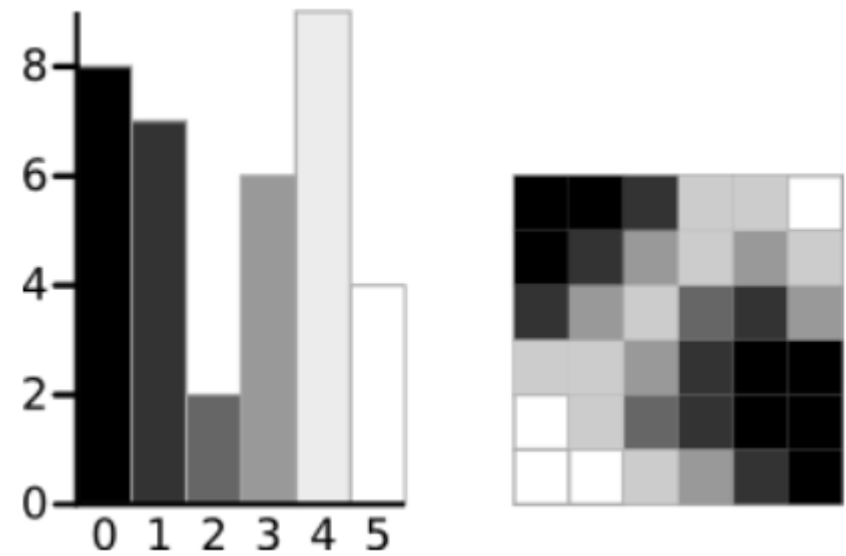
(b) Histogram (high peaks were clipped to highlight details in the lower values).

(c) Segmentation result using the basic global algorithm from Section 10.3.

(d) Result using Otsu's method. (Original image courtesy of Professor Daniel A. Hammer, the University of Pennsylvania.)

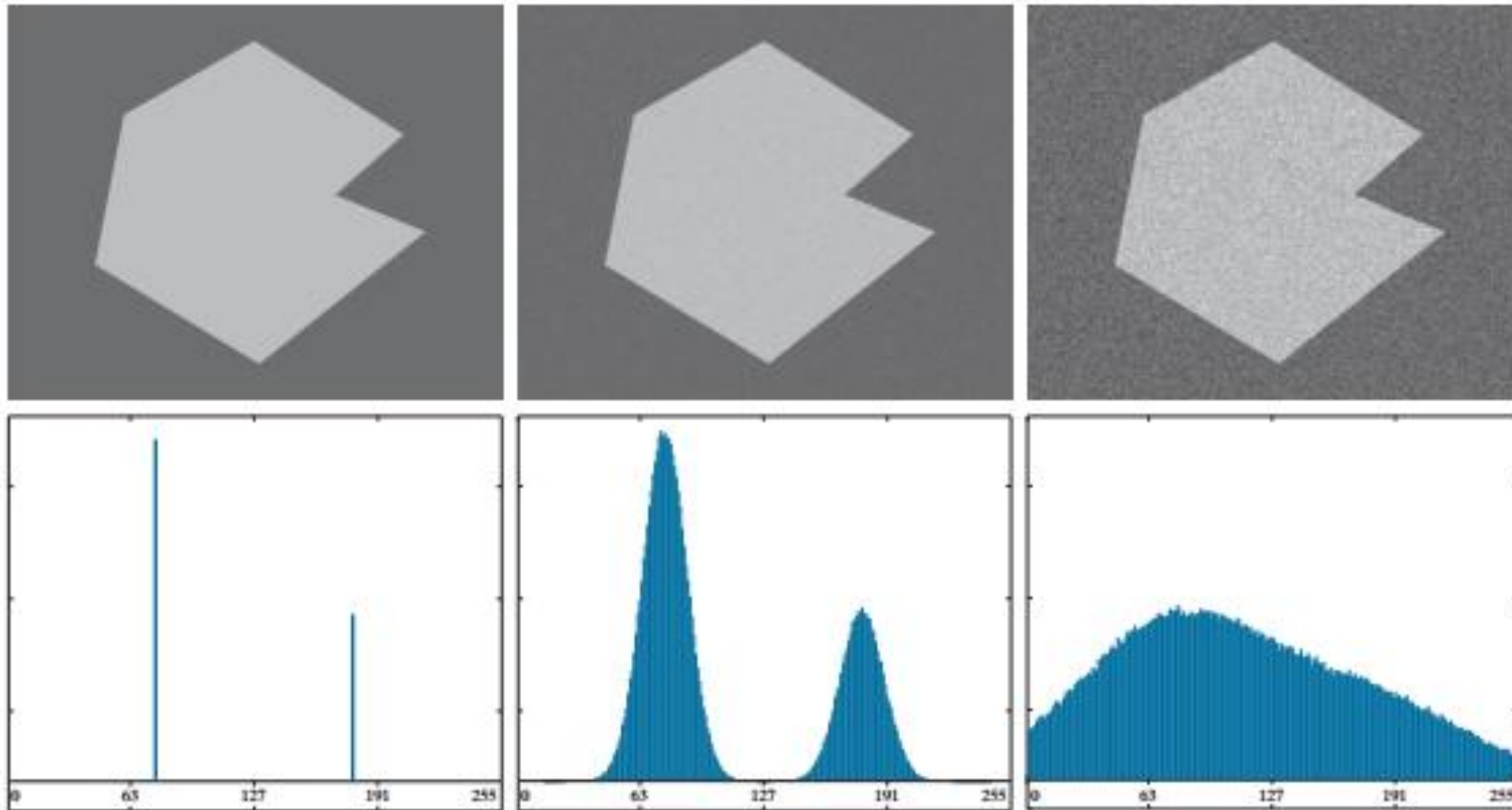


# Otsu - Example



		m_g	2.3611				
i	n_i	P_i	P1	i*p_i	m	(m - P1*m_g)^2	sigma2_B
0	8	0.2222	0.2222	0.0000	0.0000	0.2753	1.5928
1	7	0.1944	0.4167	0.1944	0.1944	0.6231	2.5635
2	2	0.0556	0.4722	0.1111	0.3056	0.6552	2.6287
3	6	0.1667	0.6389	0.5000	0.8056	0.4941	2.1417
4	9	0.2500	0.8889	1.0000	1.8056	0.0860	0.8705
5	4	0.1111	1.0000	0.5556	2.3611	0.0000	#DIV/0!
	36						

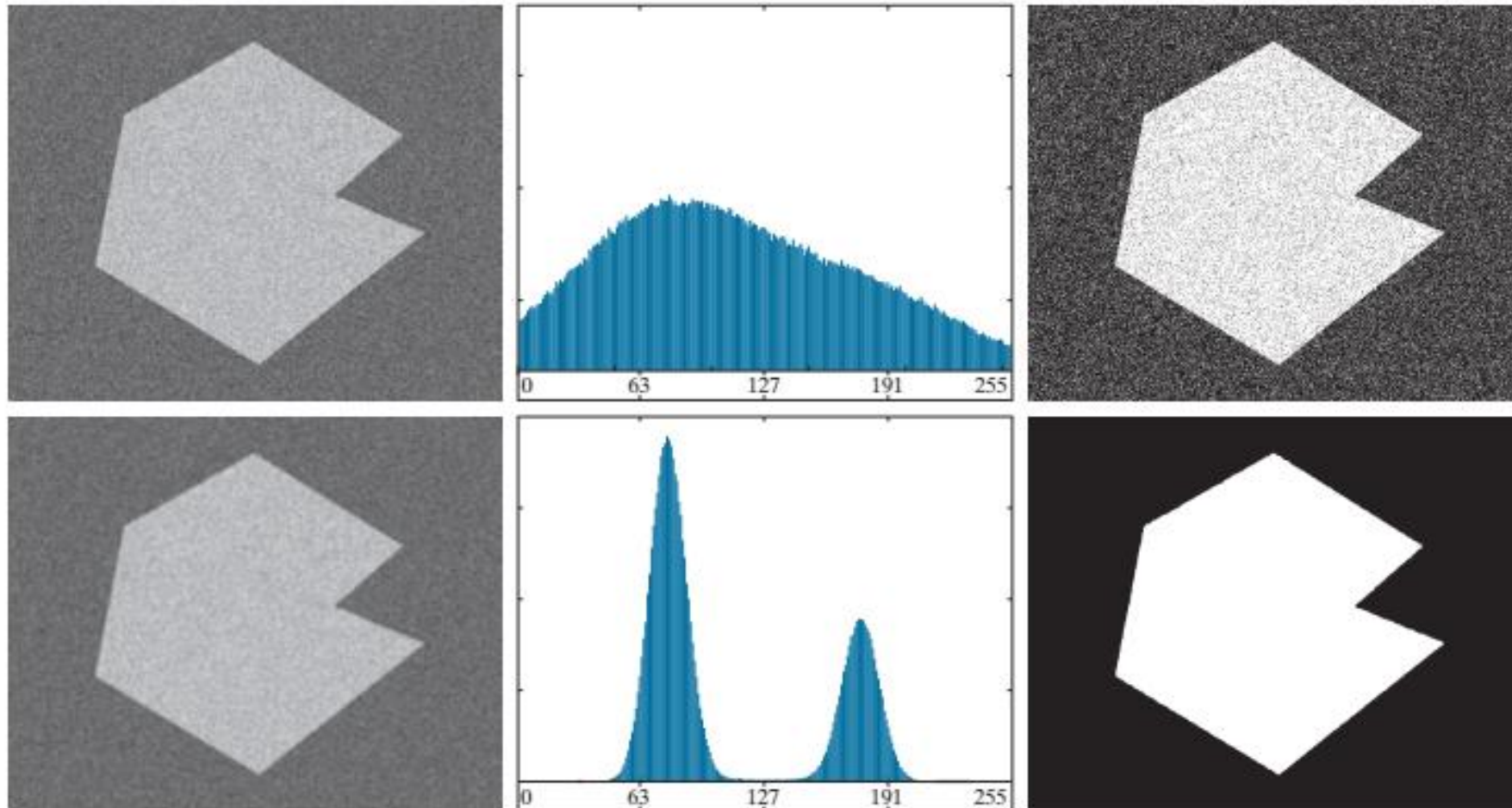
# Gaussian noise added



a b c  
d e f

**FIGURE 10.33** (a) Noiseless 8-bit image. (b) Image with additive Gaussian noise of mean 0 and standard deviation of 10 intensity levels. (c) Image with additive Gaussian noise of mean 0 and standard deviation of 50 intensity levels. (d) through (f) Corresponding histograms.

# Otsu's Method – effect of noise and smoothing

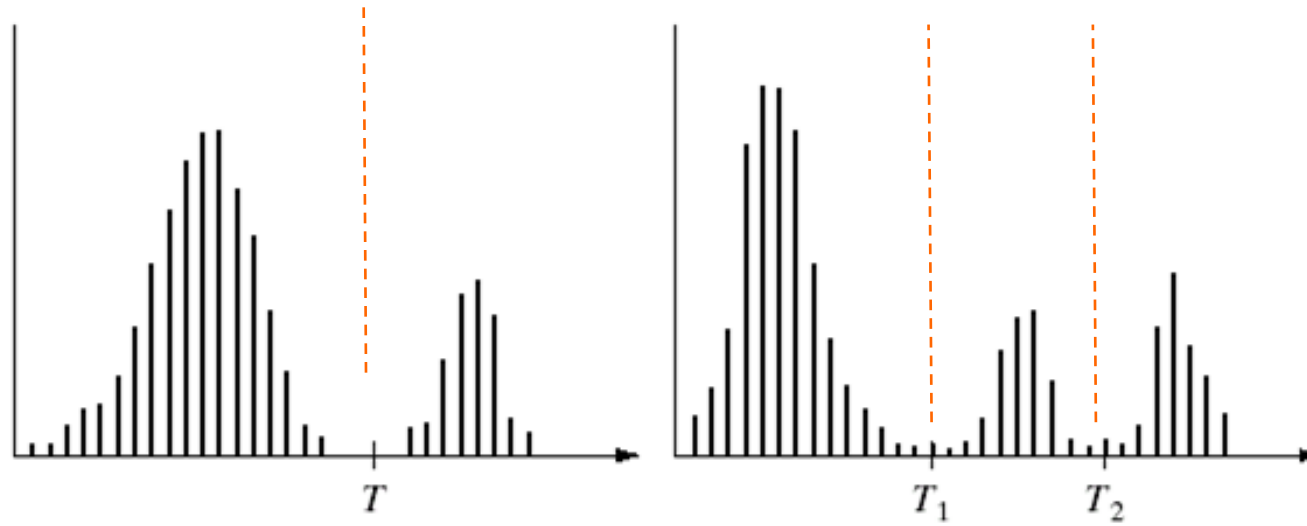


a	b	c
d	e	f

**FIGURE 10.37** (a) Noisy image from Fig. 10.33(c) and (b) its histogram. (c) Result obtained using Otsu's method. (d) Noisy image smoothed using a  $5 \times 5$  averaging kernel and (e) its histogram. (f) Result of thresholding using Otsu's method.

# Multi-Valued Thresholding

- Single value thresholding only works for bimodal histograms
- Images with other kinds of histograms need more than a single threshold



# Multi-Valued Thresholding

because the separability measure on which it is based also extends to an arbitrary number of classes (Fukunaga [1972]). In the case of  $K$  classes,  $c_1, c_2, c_3, \dots, c_K$ , the between-class variance generalizes to the expression

$$\sigma_B^2 = \sum_{k=1}^K P_k (m_k - m_G)^2 \quad (10-66)$$

where

$$P_k = \sum_{i \in c_k} p_i \quad (10-67)$$

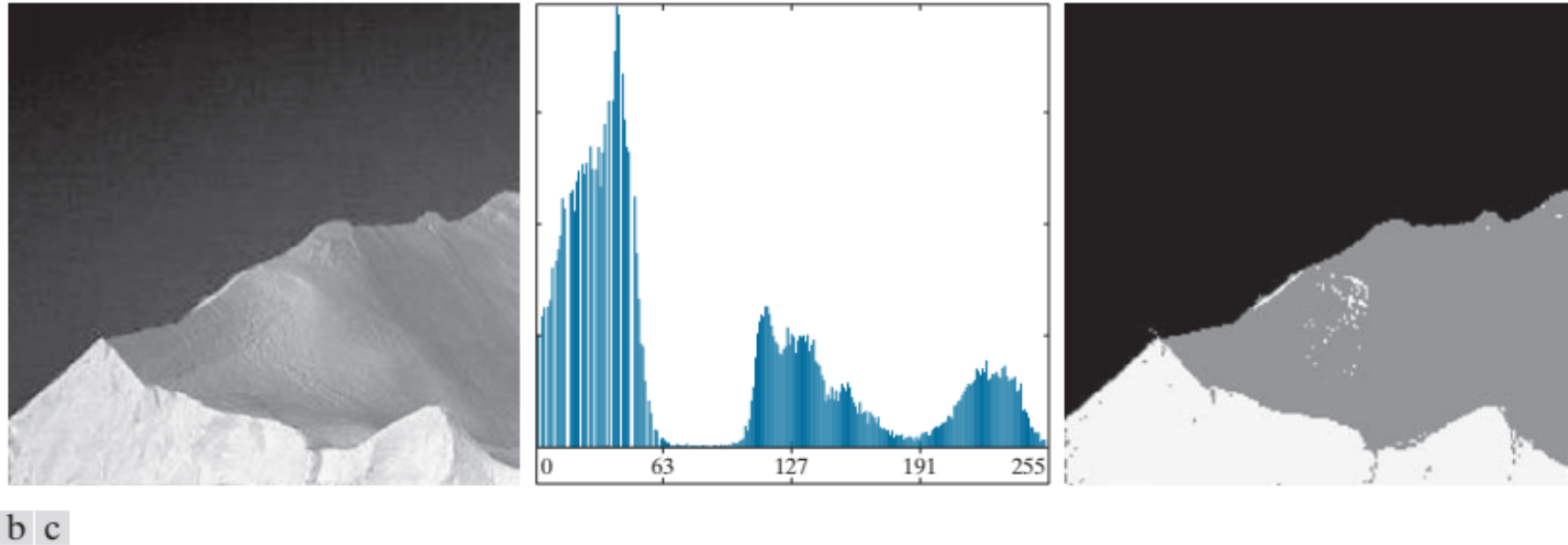
and

$$m_k = \frac{1}{P_k} \sum_{i \in c_k} i p_i \quad (10-68)$$

As before,  $m_G$  is the global mean given in Eq. (10-54). The  $K$  classes are separated by  $K - 1$  thresholds whose values,  $k_1^*, k_2^*, \dots, k_{K-1}^*$ , are the values that maximize Eq. (10-66):

$$\sigma_B^2(k_1^*, k_2^*, \dots, k_{K-1}^*) = \max_{0 < k_1 < k_2 < \dots < k_{K-1} < L-1} \sigma_B^2(k_1, k_2, \dots, k_{K-1}) \quad (10-69)$$

# Multi-Valued Thresholding



**FIGURE 10.42** (a) Image of an iceberg. (b) Histogram. (c) Image segmented into three regions using dual Otsu thresholds. (Original image courtesy of NOAA.)



# MAXIMUM ENTROPY THRESHOLDING



Section 11.1.5

Digital Image Processing: An Algorithmic Introduction Using Java -  
Wilhelm Burger • Mark J. Burge

# Maximum Entropy Thresholding

- The entropy statistic is high if a variable is well distributed over the available range, and low if it is well ordered and narrowly distributed: specifically, entropy is a measure of disorder, and is zero for a perfectly ordered system.
- The concept of entropy thresholding is to threshold at an intensity for which the sum of the entropies of the two intensity probability distributions thereby separated is maximized.
- The reason for this is to obtain the greatest reduction in entropy—i.e., the greatest increase in order—by applying the threshold: in other words, the most appropriate threshold level is the one that imposes the greatest order on the system, and thus leads to the most meaningful result

# Maximum Entropy Thresholding

$$Z = \{0, 1, \dots, K-1\},$$

possible intensity values  $g = 0, \dots, K-1$

$$p(g) \approx \mathbf{p}(g) = \frac{h(g)}{MN} \quad \sum_{g=0}^{K-1} \mathbf{p}(g) = 1$$

$$P(g) = \sum_{i=0}^g \frac{h(i)}{MN} = \sum_{i=0}^g \mathbf{p}(i)$$

$$P(0) = \mathbf{p}(0) \text{ and } P(K-1) = 1.$$

Entropy of image

$$H(Z) = \sum_{g \in Z} \mathbf{p}(g) \cdot \log_b \left( \frac{1}{\mathbf{p}(g)} \right) = - \sum_{g \in Z} \mathbf{p}(g) \cdot \log_b (\mathbf{p}(g))$$

# Maximum Entropy Thresholding

Given a particular threshold  $q$  (with  $0 \leq q < K-1$ ), the estimated probability distributions for the resulting partitions  $C_0$  and  $C_1$

$$C_0 : \left( \frac{p(0)}{P_0(q)} \quad \frac{p(1)}{P_0(q)} \quad \dots \quad \frac{p(q)}{P_0(q)} \quad 0 \quad 0 \quad \dots \quad 0 \right)$$

$$C_1 : \left( 0 \quad 0 \quad \dots \quad 0 \quad \frac{p(q+1)}{P_1(q)} \quad \frac{p(q+2)}{P_1(q)} \quad \dots \quad \frac{p(K-1)}{P_1(q)} \right)$$

$$P_0(q) = \sum_{i=0}^q p(i) = P(q) \quad \text{and} \quad P_1(q) = \sum_{i=q+1}^{K-1} p(i) = 1 - P(q)$$

$$P_0(q) + P_1(q) = 1 \quad H_0(q) = - \sum_{i=0}^q \frac{p(i)}{P_0(q)} \cdot \log \left( \frac{p(i)}{P_0(q)} \right),$$

$$H_1(q) = - \sum_{i=q+1}^{K-1} \frac{p(i)}{P_1(q)} \cdot \log \left( \frac{p(i)}{P_1(q)} \right)$$

overall entropy  $H_{01}(q) = H_0(q) + H_1(q)$  is to be maximized

# Maximum Entropy Thresholding

Rearranging  $H_0(q) = - \sum_{i=0}^q \frac{p(i)}{P_0(q)} \cdot [\log(p(i)) - \log(P_0(q))]$

$$\begin{aligned} &= -\frac{1}{P_0(q)} \cdot \sum_{i=0}^q p(i) \cdot [\log(p(i)) - \log(P_0(q))] \\ &= -\frac{1}{P_0(q)} \cdot \underbrace{\sum_{i=0}^q p(i) \cdot \log(p(i))}_{S_0(q)} + \frac{1}{P_0(q)} \cdot \underbrace{\sum_{i=0}^q p(i) \cdot \log(P_0(q))}_{= P_0(q)} \\ &= -\frac{1}{P_0(q)} \cdot S_0(q) + \log(P_0(q)). \end{aligned}$$

Similarly  $H_1(q)$  in Eqn. (11.34) becomes

$$\begin{aligned} H_1(q) &= - \sum_{i=q+1}^{K-1} \frac{p(i)}{P_1(q)} \cdot [\log(p(i)) - \log(P_1(q))] \\ &= -\frac{1}{1-P_0(q)} \cdot S_1(q) + \log(1-P_0(q)). \end{aligned}$$

# Maximum Entropy Thresholding

$$\begin{aligned} P_0(q) &= \begin{cases} p(0) & \text{for } q = 0, \\ P_0(q-1) + p(q) & \text{for } 0 < q < K, \end{cases} \\ S_0(q) &= \begin{cases} p(0) \cdot \log(p(0)) & \text{for } q = 0, \\ S_0(q-1) + p(q) \cdot \log(p(q)) & \text{for } 0 < q < K, \end{cases} \\ S_1(q) &= \begin{cases} 0 & \text{for } q = K-1, \\ S_1(q+1) + p(q+1) \cdot \log(p(q+1)) & \text{for } 0 \leq q < K-1 \end{cases} \end{aligned}$$

the values  $S_0(q)$ ,  $S_1(q)$  are obtained  
from precalculated tables  $S_0$ ,  $S_1$

# Maximum Entropy Thresholding

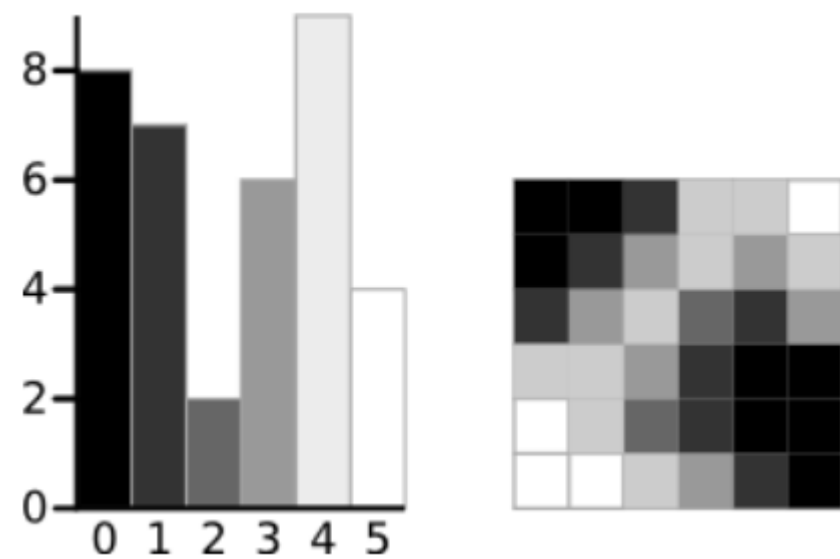
```
1: MaximumEntropyThreshold(h)
   Input:  $h : [0, K-1] \mapsto \mathbb{N}$ , a grayscale histogram. Returns the
   optimal threshold value or  $-1$  if no threshold is found.

2:  $K \leftarrow \text{Size}(h)$  ▷ number of intensity levels
3:  $p \leftarrow \text{Normalize}(h)$  ▷ normalize histogram
4:  $(S_0, S_1) \leftarrow \text{MakeTables}(p, K)$  ▷ tables for  $S_0(q), S_1(q)$ 
5:  $P_0 \leftarrow 0$  ▷  $P_0 \in [0, 1]$ 
6:  $q_{\max} \leftarrow -1$ 
7:  $H_{\max} \leftarrow -\infty$  ▷ maximum joint entropy
8: for  $q \leftarrow 0, \dots, K-2$  do ▷ check all possible threshold values  $q$ 
9:    $P_0 \leftarrow P_0 + p(q)$ 
10:   $P_1 \leftarrow 1 - P_0$  ▷  $P_1 \in [0, 1]$ 
11:   $H_0 \leftarrow \begin{cases} -\frac{1}{P_0} \cdot S_0(q) + \log(P_0) & \text{if } P_0 > 0 \\ 0 & \text{otherwise} \end{cases}$  ▷ BG entropy
12:   $H_1 \leftarrow \begin{cases} -\frac{1}{P_1} \cdot S_1(q) + \log(P_1) & \text{if } P_1 > 0 \\ 0 & \text{otherwise} \end{cases}$  ▷ FG entropy
13:   $H_{01} = H_0 + H_1$  ▷ overall entropy for  $q$ 
14:  if  $H_{01} > H_{\max}$  then ▷ maximize  $H_{01}(q)$ 
15:     $H_{\max} \leftarrow H_{01}$ 
16:     $q_{\max} \leftarrow q$ 
17: return  $q_{\max}$ 
```

---

```
18: MakeTables(p, K)
19:   Create maps  $S_0, S_1 : [0, K-1] \mapsto \mathbb{R}$ 
20:    $s_0 \leftarrow 0$ 
21:   for  $i \leftarrow 0, \dots, K-1$  do
22:     if  $p(i) > 0$  then
23:        $s_0 \leftarrow s_0 + p(i) \cdot \log(p(i))$ 
24:        $S_0(i) \leftarrow s_0$ 
25:    $s_1 \leftarrow 0$ 
26:   for  $i \leftarrow K-1, \dots, 0$  do
27:      $S_1(i) \leftarrow s_1$ 
28:     if  $p(i) > 0$  then
29:        $s_1 \leftarrow s_1 + p(i) \cdot \log(p(i))$ 
30:   return  $(S_0, S_1)$ 
```

# MET



q	n_q	p(q)	P0	P1	S0	S1	H0	H1	H0+H1
0	8	0.222	0.222	0.7778	-0.145	-0.633	0	0.7052	0.7052
1	7	0.194	0.417	0.5833	-0.283	-0.488	0.3001	0.6029	0.903
2	2	0.056	0.472	0.5278	-0.353	-0.35	0.4221	0.3855	0.8076
3	6	0.167	0.639	0.3611	-0.483	-0.28	0.5612	0.3336	0.8948
4	9	0.25	0.889	0.1111	-0.633	-0.151	0.6614	0.4004	1.0618
5	4	0.111	1	0	-0.739	0	0.7394	#DIV/0!	#DIV/0!

36



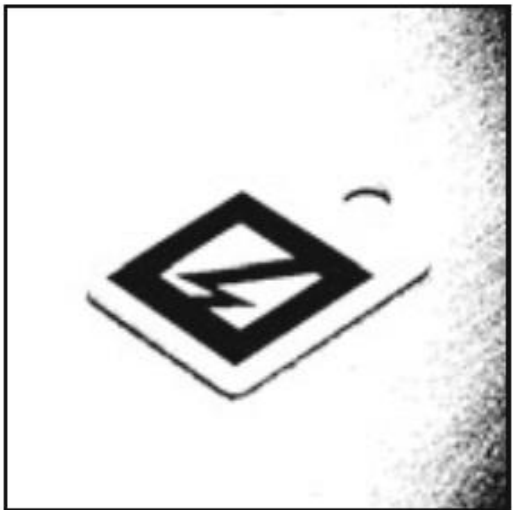
# Maximum Entropy Thresholding



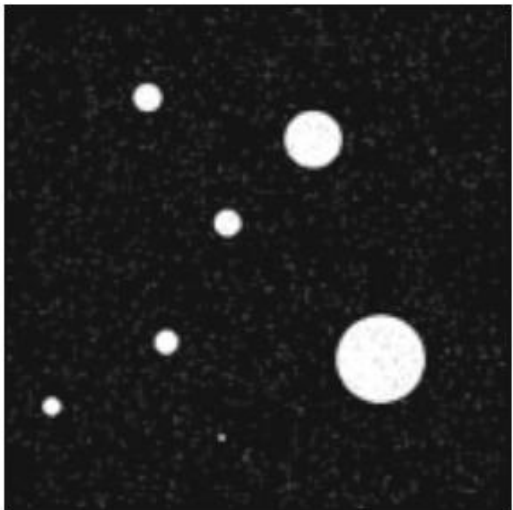
(a)  $q = 133$



(b)  $q = 139$

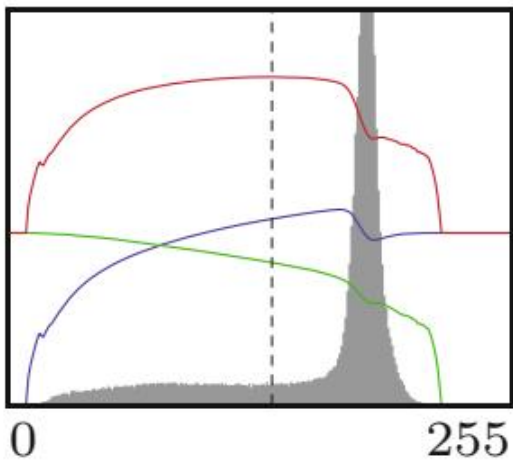


(c)  $q = 118$

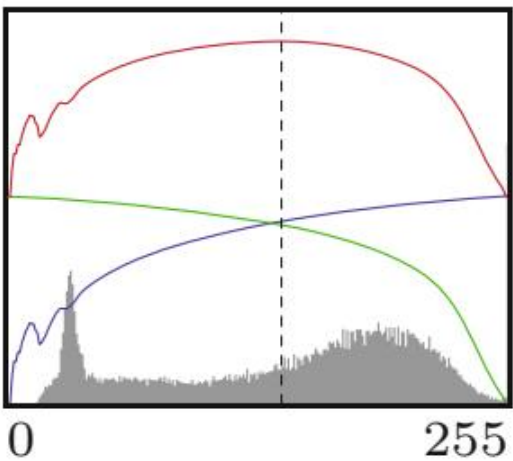


(d)  $q = 126$

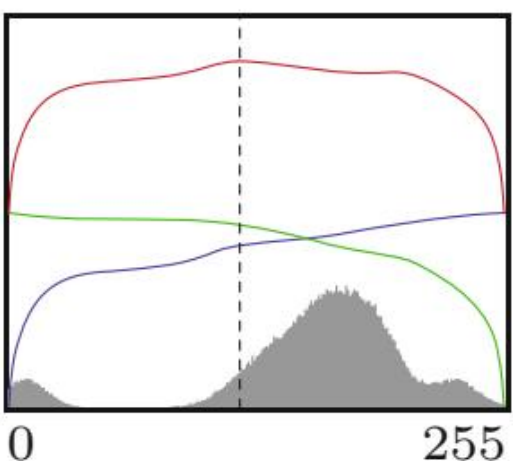
Graphs in (e–h) show the background (green), foreground (blue) and  $H01(q) = H0(q)$  (red)



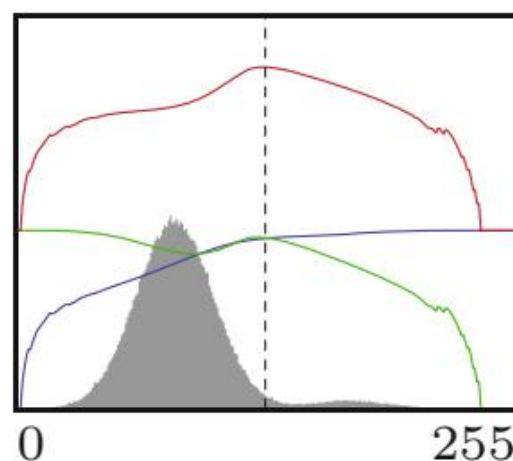
(e)



(f)



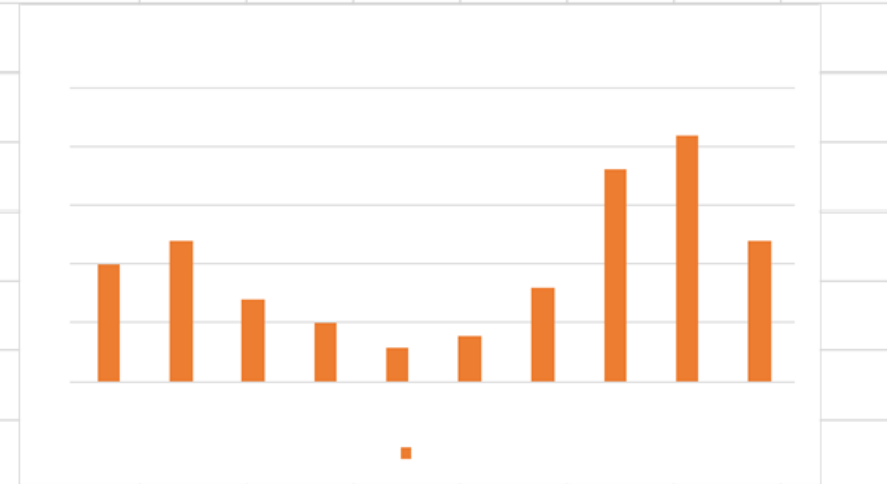
(g)



(h)

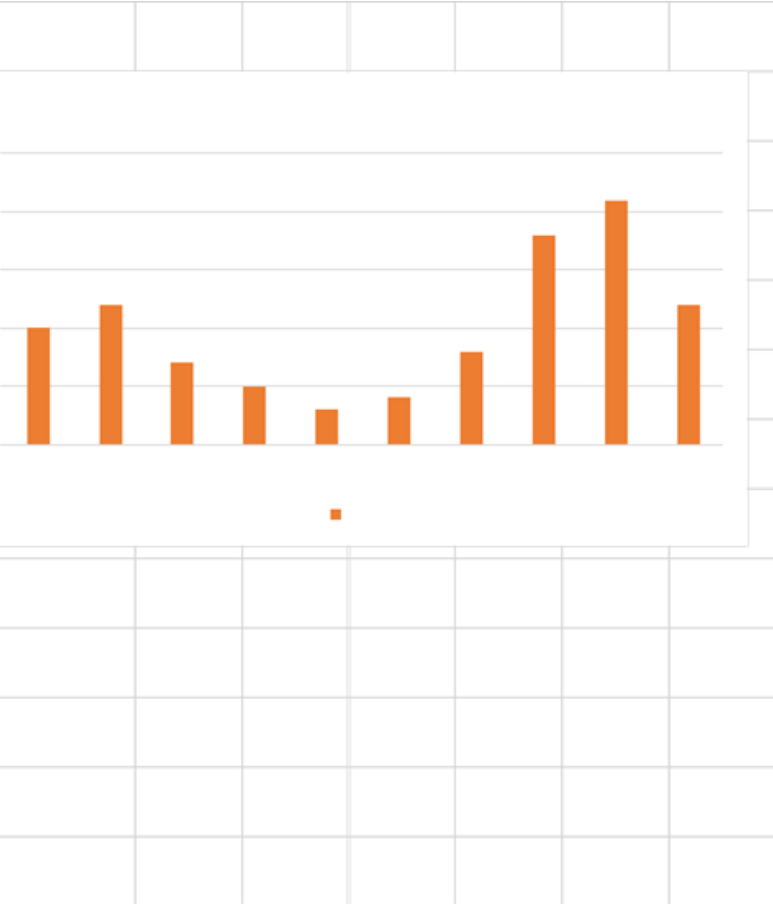
# Exercise – Otsu

i	n_i	p_i	P1	i*p_i	m	(m - P1*m_g)^2	sigma2_B
0	10	0.1	0.1	0	0	0.27353	2.4618
1	12	0.12	0.22	0.12	0.12	1.06214	3.7658
2	7	0.07	0.29	0.14	0.26	1.57929	3.8665
3	5	0.05	0.34	0.15	0.41	1.87197	3.6338
4	3	0.03	0.37	0.12	0.53	1.97431	3.3617
5	4	0.04	0.41	0.2	0.73	2.00024	2.8784
6	8	0.08	0.49	0.48	1.21	1.8298	1.9045
7	18	0.18	0.67	1.26	2.47	1.06936	0.5267
8	21	0.21	0.88	1.68	4.15	0.20467	0.0279
9	12	0.12	1	1.08	5.23	7.9E-31	9E-47
	100			mg	5.23		

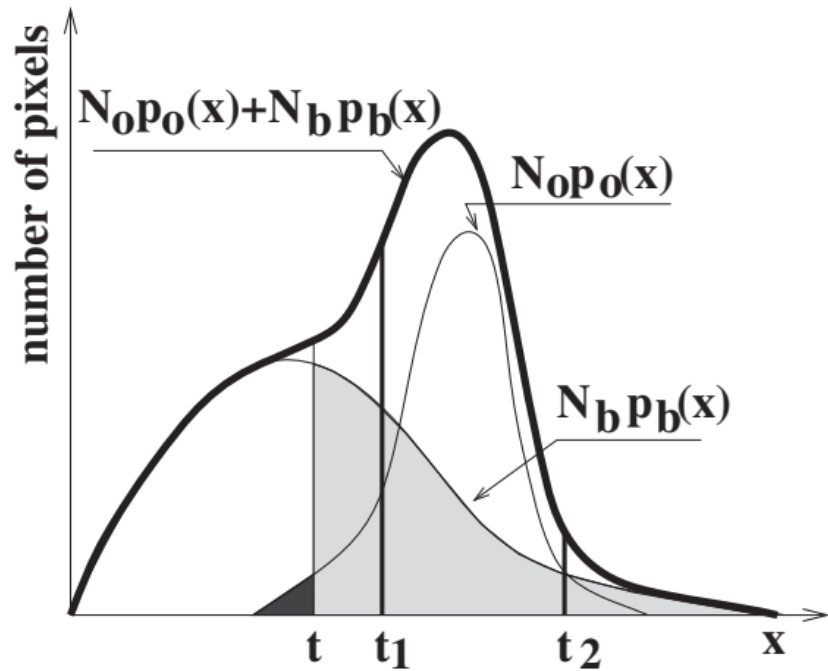


# Exercise - MET

q	n_q	p(q)	P0	P1	S0	S1	H0	H1	H0+H1
0	10	0.1	0.1	0.9	-0.1	-0.822	0	0.8677	0.8677
1	12	0.12	0.22	0.78	-0.21	-0.722	0.2992	0.8179	1.1171
2	7	0.07	0.29	0.71	-0.291	-0.612	0.467	0.7127	1.1797
3	5	0.05	0.34	0.66	-0.356	-0.531	0.5797	0.6238	1.2035
4	3	0.03	0.37	0.63	-0.402	-0.466	0.6549	0.5386	1.1935
5	4	0.04	0.41	0.59	-0.458	-0.42	0.7298	0.4828	1.2127
6	8	0.08	0.49	0.51	-0.546	-0.364	0.804	0.4216	1.2255
7	18	0.18	0.67	0.33	-0.68	-0.276	0.8407	0.356	1.1967
8	21	0.21	0.88	0.12	-0.822	-0.142	0.8787	0.2653	1.144
9	12	0.12	1	0	-0.933	0	0.9326	#DIV/0!	#DIV/0!
	100								



# How can we choose the minimum error threshold?



Fraction of the pixels that make up the object is  $\theta$ , and, by inference, the fraction of the pixels that make up the background is  $1 - \theta$ . Then, the total error is

$$E(t) = \theta \int_{-\infty}^t p_o(x) dx + (1 - \theta) \int_t^{+\infty} p_b(x) dx$$

$$\frac{\partial E}{\partial t} = \theta p_o(t) - (1 - \theta) p_b(t) = 0$$

$$\theta p_o(t) = (1 - \theta) p_b(t)$$

error committed by misclassifying object pixels as background pixels

$$\int_{-\infty}^t p_o(x) dx$$

error committed by misclassifying background pixels as object pixels

$$\int_t^{+\infty} p_b(x) dx$$

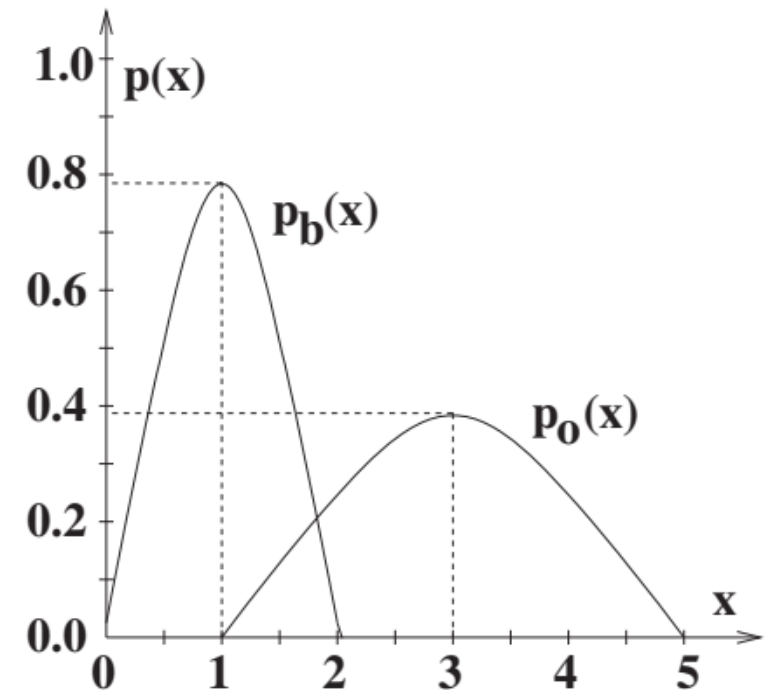
# How can we choose the minimum error threshold?

- The grey values of the object and the background pixels are distributed according to the probability density function

$$p(x) = \begin{cases} \frac{\pi}{4a} \cos \frac{(x-x_0)\pi}{2a} & \text{for } x_0 - a \leq x \leq x_0 + a \\ 0 & \text{otherwise} \end{cases}$$

with  $x_0 = 1$  and  $a = 1$  for the objects, and  $x_0 = 3$  and  $a = 2$  for the background. Sketch the two probability density functions.

- If one-third of the total number of pixels are object pixels, determine the fraction of misclassified object pixels by optimal thresholding.



# How can we choose the minimum error threshold?

$$\theta = \frac{1}{3} \Rightarrow 1 - \theta = \frac{2}{3}$$

$$p_0(x) = \frac{\pi}{4} \cos \frac{(x-1)\pi}{2}$$

$$p_b(x) = \frac{\pi}{8} \cos \frac{(x-3)\pi}{4}$$

$$\frac{1}{3} \times \frac{\pi}{4} \cos \frac{(t-1)\pi}{2} = \frac{2}{3} \times \frac{\pi}{8} \cos \frac{(t-3)\pi}{4}$$

$$\Rightarrow \cos \frac{(t-1)\pi}{2} = \cos \frac{(t-3)\pi}{4}$$

$$\Rightarrow \frac{(t-1)\pi}{2} = \pm \frac{(t-3)\pi}{4}$$

# How can we choose the minimum error threshold?

Consider first  $\frac{t-1}{2}\pi = \frac{t-3}{4}\pi \Rightarrow 2t - 2 = t - 3 \Rightarrow t = -1$ .

*This value is outside the acceptable range, so it is a meaningless solution.*

Then:

$$\frac{(t-1)\pi}{2} = -\frac{(t-3)\pi}{4} \Rightarrow 2t - 2 = -t + 3 \Rightarrow 3t = 5 \Rightarrow t = \frac{5}{3}$$

$$\begin{aligned} \int_{\frac{5}{3}}^2 \frac{\pi}{4} \cos \frac{(x-1)\pi}{2} dx &= \frac{\pi}{4} \int_{\frac{2}{3}}^1 \cos \frac{y\pi}{2} dy &= \frac{1}{2} \left( 1 - \frac{\sqrt{3}}{2} \right) \\ &= \frac{\pi}{4} \frac{\sin \frac{y\pi}{2}}{\frac{\pi}{2}} \Big|_{\frac{2}{3}}^1 &= \frac{2 - 1.7}{4} \\ &= \frac{1}{2} \left( \sin \frac{\pi}{2} - \sin \frac{\pi}{3} \right) &= \frac{0.3}{4} \\ &= \frac{1}{2} (1 - \sin 60^\circ) &= 0.075 = 7.5\% \end{aligned}$$

# What is the minimum error threshold when object and background pixels are normally distributed?

$$p_o(x) = \frac{1}{\sqrt{2\pi}\sigma_o} \exp \left[ -\frac{(x - \mu_o)^2}{2\sigma_o^2} \right] \quad p_b(x) = \frac{1}{\sqrt{2\pi}\sigma_b} \exp \left[ -\frac{(x - \mu_b)^2}{2\sigma_b^2} \right]$$

$$\theta \frac{1}{\sqrt{2\pi}\sigma_o} \exp \left[ -\frac{(t - \mu_o)^2}{2\sigma_o^2} \right] = (1 - \theta) \frac{1}{\sqrt{2\pi}\sigma_b} \exp \left[ -\frac{(t - \mu_b)^2}{2\sigma_b^2} \right] \Rightarrow$$

$$\exp \left[ -\frac{(t - \mu_o)^2}{2\sigma_o^2} + \frac{(t - \mu_b)^2}{2\sigma_b^2} \right] = \frac{1 - \theta}{\theta} \frac{\sigma_o}{\sigma_b} \Rightarrow$$

$$-\frac{(t - \mu_o)^2}{2\sigma_o^2} + \frac{(t - \mu_b)^2}{2\sigma_b^2} = \ln \left[ \frac{\sigma_o}{\sigma_b} \frac{1 - \theta}{\theta} \right] \Rightarrow$$

$$(t^2 + \mu_b^2 - 2t\mu_b)\sigma_o^2 - (t^2 + \mu_o^2 - 2\mu_o t)\sigma_b^2 = 2\sigma_o^2\sigma_b^2 \ln \left[ \frac{\sigma_o}{\sigma_b} \frac{1 - \theta}{\theta} \right] \Rightarrow$$

$$(\sigma_o^2 - \sigma_b^2)t^2 + 2(-\mu_b\sigma_o^2 + \mu_o\sigma_b^2)t + \mu_b^2\sigma_o^2 - \mu_o^2\sigma_b^2 - 2\sigma_o^2\sigma_b^2 \ln \left[ \frac{\sigma_o}{\sigma_b} \frac{1 - \theta}{\theta} \right] = 0$$



# What is the minimum error threshold when object and background pixels are normally distributed?

This is a quadratic equation in  $t$ . It has two solutions in general, except when the two populations have the same standard deviation. If  $\sigma_o = \sigma_b$ , the above expression takes the form:

$$\begin{aligned} 2(\mu_o - \mu_b)\sigma_o^2 t + (\mu_b^2 - \mu_o^2)\sigma_o^2 - 2\sigma_o^4 \ln\left(\frac{1-\theta}{\theta}\right) &= 0 \Rightarrow \\ t &= \frac{\sigma_o^2}{\mu_o - \mu_b} \ln\left(\frac{1-\theta}{\theta}\right) - \frac{\mu_o + \mu_b}{2} \end{aligned} \quad (6.15)$$

This is the minimum error threshold.

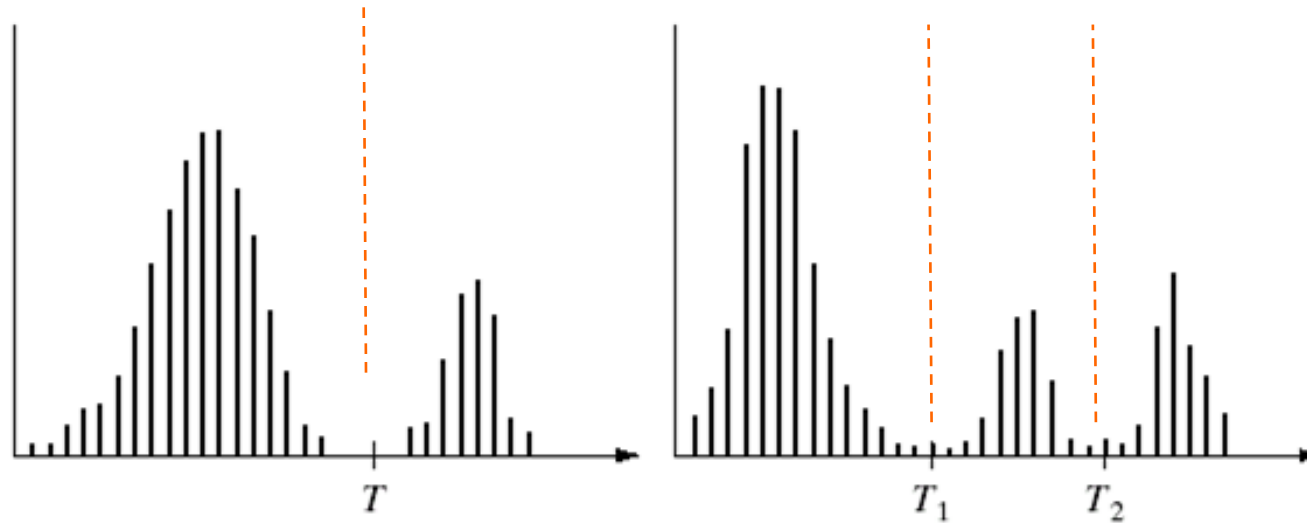
## Are there any drawbacks in Otsu's method?

Yes, a few:

1. Although the method does not make any assumption about the probability density functions  $p_o(x)$  and  $p_b(x)$ , it describes them by using only their means and variances. Thus, it tacitly assumes that these two statistics are sufficient to represent them. This may not be true.
2. The method breaks down when the two populations are very unequal. When the two populations become very different in size from each other,  $\sigma_B^2(t)$  may have two maxima and actually the correct maximum is not necessarily the global maximum. That is why in practice the correct maximum is selected from among all maxima of  $\sigma_B^2(t)$  by checking that the value of the histogram at the selected threshold,  $p_t$ , is actually a valley (i.e.  $p_t < p_{\mu_o}$  and  $p_t < p_{\mu_b}$ ). Only if this is true,  $t$  should be accepted as the best threshold.
3. The method, as presented above, assumes that the histogram of the image is bimodal, ie that the image contains two classes. For more than two classes present in the image, the method has to be modified so that multiple thresholds are defined which maximise the *interclass* variance and minimise the *intraclass* variance.
4. The method will divide the image into two classes, even if this division does not make sense. A case when the method should not be directly applied is that of variable illumination.

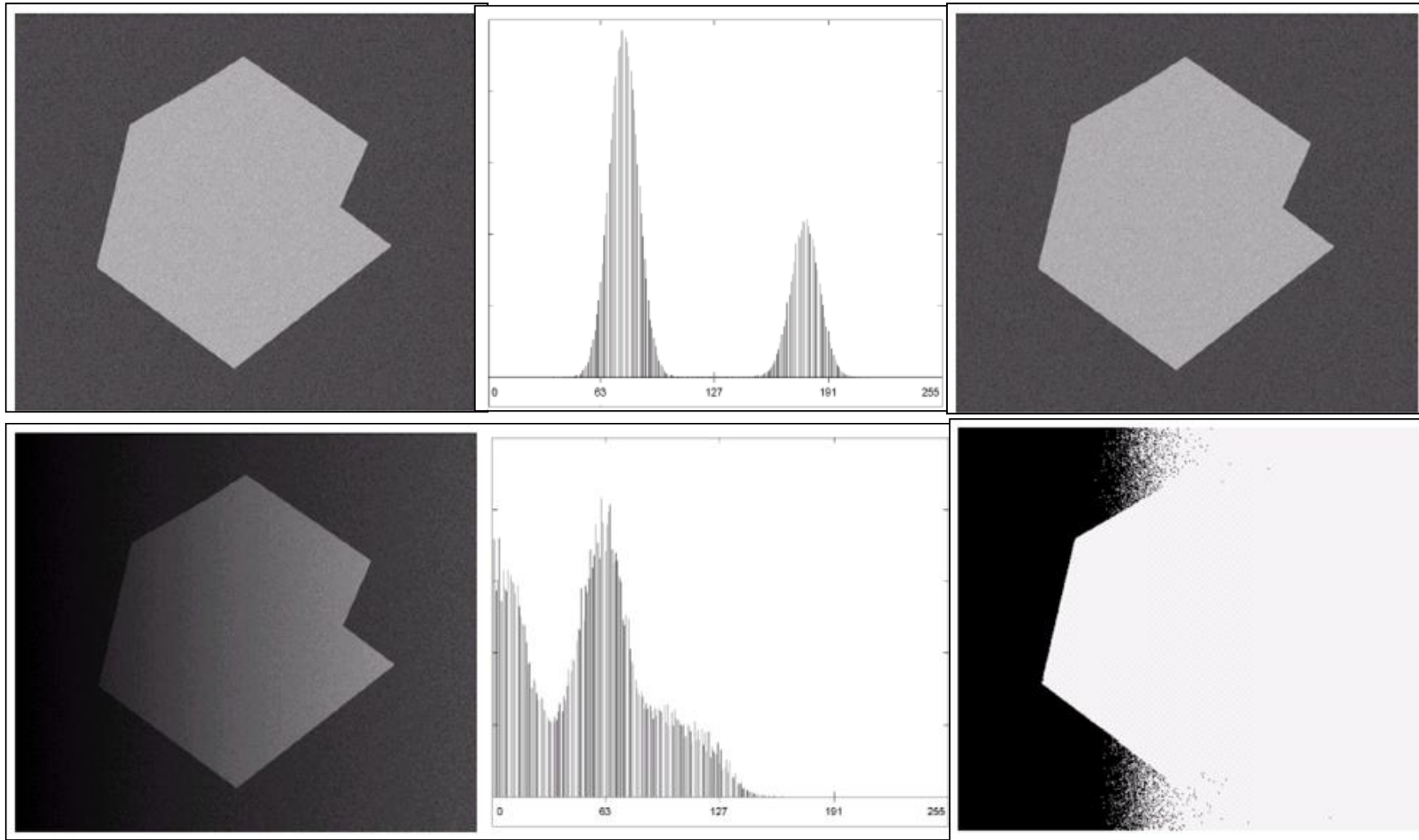
# Problems With Single Value Thresholding

- Single value thresholding only works for bimodal histograms
- Images with other kinds of histograms need more than a single threshold



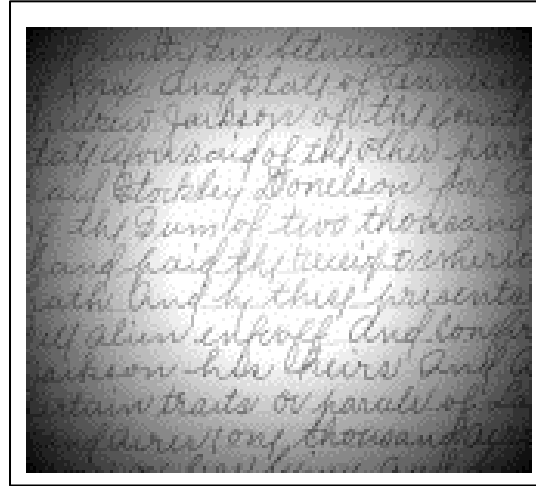
# Single Value Thresholding - Illumination

- Uneven illumination can really upset a single valued thresholding scheme



# Single Value Thresholding - Illumination

- Uneven illumination or low light



Thermal image



Thresholded image (Otsu's method)

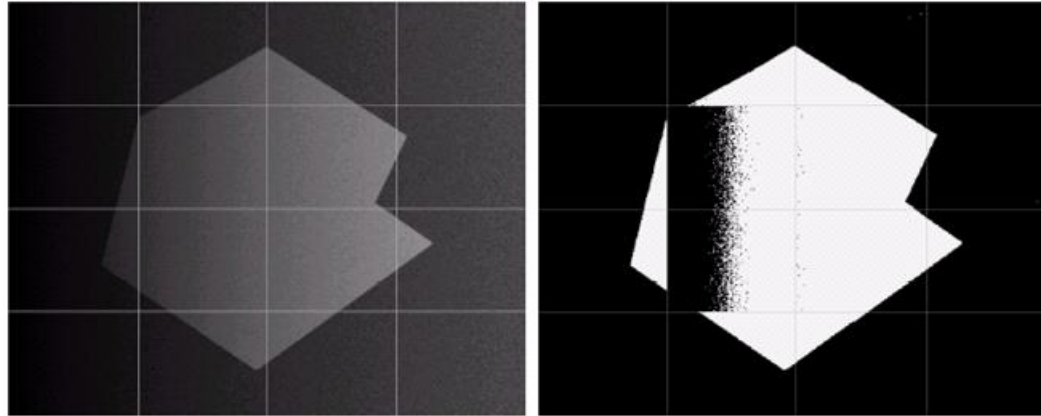
# Adaptive Thresholding

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## □ Partitioning

- An approach to handling situations in which single value thresholding will not work is to divide an image into sub images and threshold these individually
- Since the threshold for each pixel depends on its location within an image this technique is said to *adaptive*

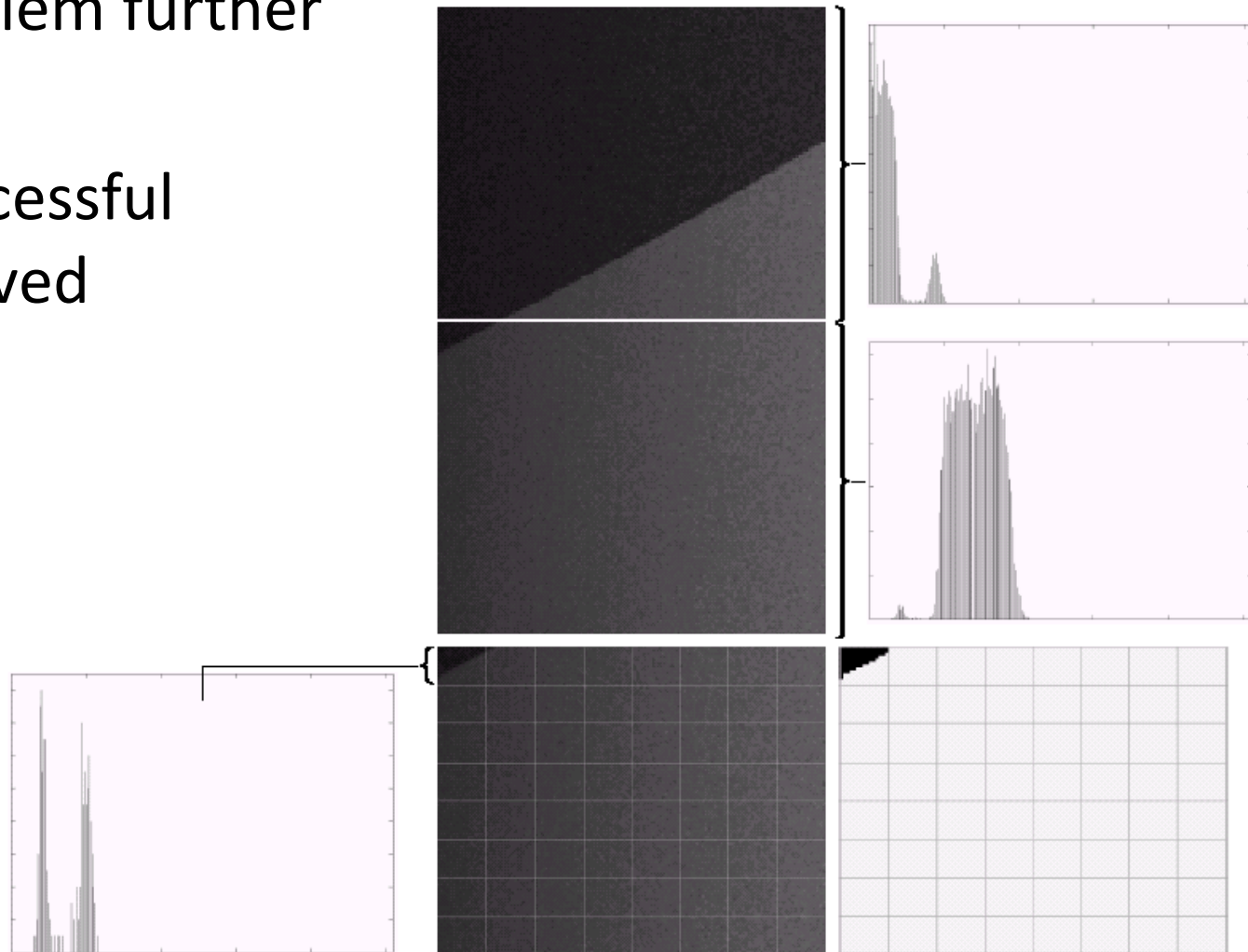
# Adaptive Thresholding - partitioning



- As can be seen success is mixed
- It is work when the objects of interest and the background occupy regions of reasonably comparable size. If not , it will fail.
- But, we can further subdivide the troublesome sub images for more success

# Adaptive Thresholding Example (cont...)

- These images show the troublesome parts of the previous problem further subdivided
- After this sub division successful thresholding can be achieved





# Adaptive Thresholding

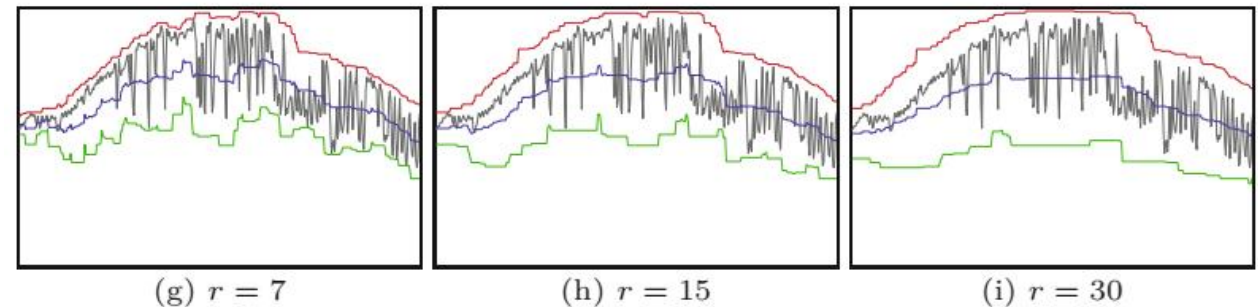
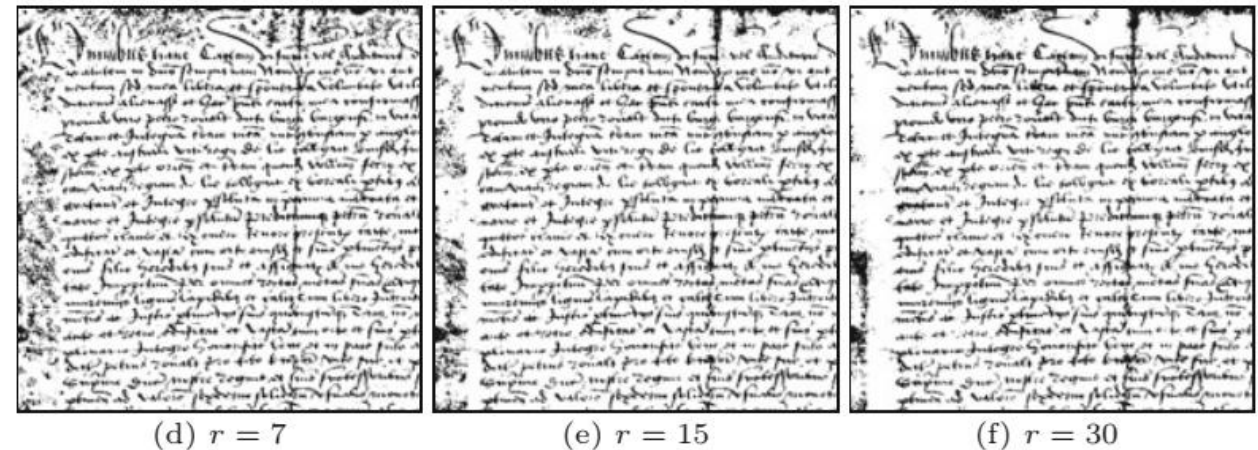
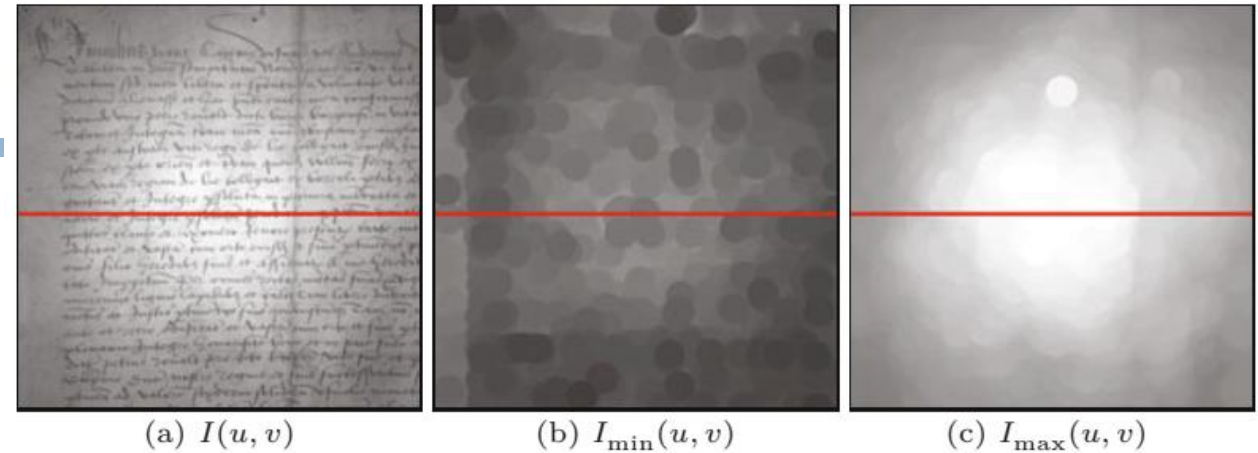
## ■ Bernsen's Method

$$I_{\min}(u, v) = \min_{(i,j) \in R(u,v)} I(i, j),$$

$$I_{\max}(u, v) = \max_{(i,j) \in R(u,v)} I(i, j)$$

$$Q(u, v) = \frac{I_{\min}(u, v) + I_{\max}(u, v)}{2}$$

This is done as long as the local contrast  $c(u, v) = I_{\max}(u, v) - I_{\min}(u, v)$  is above some predefined limit  $c_{\min}$



local minimum (green), maximum (red), and the actual threshold (blue)

# Adaptive Thresholding

## ▣ Niblack's Method

- ▢ based on local image properties
- ▢ threshold  $Q(u, v)$  is varied across the image as a function of the local intensity average  $\mu_R(u, v)$  and standard deviation  $\sigma_R(u, v)$

$$Q(u, v) := \mu_R(u, v) + \kappa \cdot \sigma_R(u, v) ; \kappa \geq 0$$

- Or, to avoid low-amplitude noise (“ghosting”)  $Q(u, v) := \mu_R(u, v) + \kappa \cdot \sigma_R(u, v) + d$ .
- the structures of interest are darker than the background (as, e.g., in typical OCR applications), one could either work with inverted images or modify the calculation of the threshold

$$Q(u, v) := \begin{cases} \mu_R(u, v) + (\kappa \cdot \sigma_R(u, v) + d) & \text{for } \textit{dark} \text{ BG}, \\ \mu_R(u, v) - (\kappa \cdot \sigma_R(u, v) + d) & \text{for } \textit{bright} \text{ BG} \end{cases}$$

# Adaptive Thresholding

1: **NiblackThreshold**( $I, r, \kappa, d, bg$ )

Input:  $I$ , intensity image of size  $M \times N$ ;  $r$ , radius of support region;  $\kappa$ , variance control parameter;  $d$ , minimum offset;  $bg \in \{\text{dark}, \text{bright}\}$ , background type. Returns a map with an individual threshold value for each image position.

2:  $(M, N) \leftarrow \text{Size}(I)$

3: Create map  $Q : M \times N \mapsto \mathbb{R}$

4: **for all** image coordinates  $(u, v) \in M \times N$  **do**

    Define a support region of radius  $r$ , centered at  $(u, v)$ :

5:  $(\mu, \sigma^2) \leftarrow \text{GetLocalMeanAndVariance}(I, u, v, r)$

6:  $\sigma \leftarrow \sqrt{\sigma^2}$  ▷ local std. deviation  $\sigma_R$

7:  $Q(u, v) \leftarrow \begin{cases} \mu + (\kappa \cdot \sigma + d) & \text{if } bg = \text{dark} \\ \mu - (\kappa \cdot \sigma + d) & \text{if } bg = \text{bright} \end{cases}$  ▷ Eq. 11.72

8: **return**  $Q$

9: **GetLocalMeanAndVariance**( $I, u, v, r$ )

Returns the local mean and variance of the image pixels  $I(i, j)$  within the disk-shaped region with radius  $r$  around position  $(u, v)$ .

10:  $R \leftarrow \text{MakeCircularRegion}(u, v, r)$

11:  $n \leftarrow 0$

12:  $A \leftarrow 0$

13:  $B \leftarrow 0$

14: **for all**  $(i, j) \in R$  **do**

15:  $n \leftarrow n + 1$

16:  $A \leftarrow A + I(i, j)$

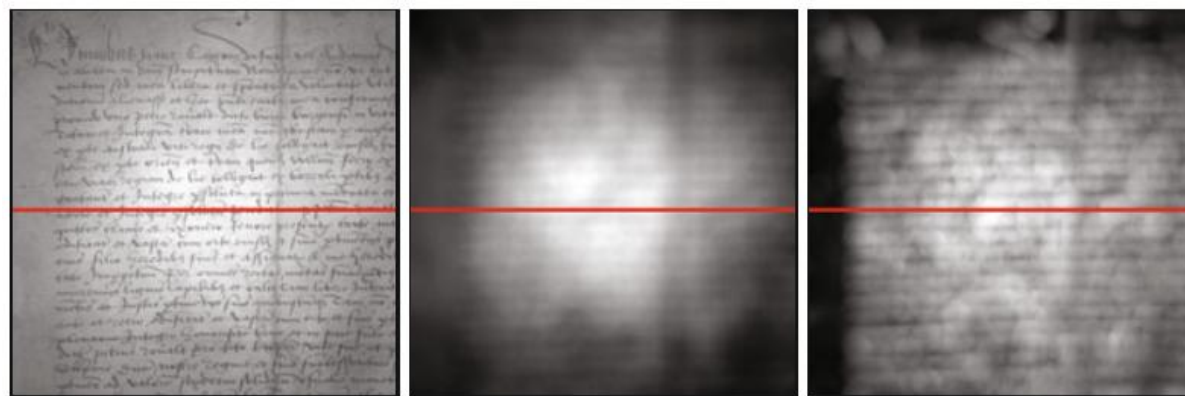
17:  $B \leftarrow B + I^2(i, j)$

18:  $\mu \leftarrow \frac{1}{n} \cdot A$

19:  $\sigma^2 \leftarrow \frac{1}{n} \cdot (B - \frac{1}{n} \cdot A^2)$

20: **return**  $(\mu, \sigma^2)$

# Adaptive Thresholding - Niblack's Method



(a)  $I(u, v)$

(b)  $\mu_R(u, v)$

(c)  $\sigma_R(u, v)$



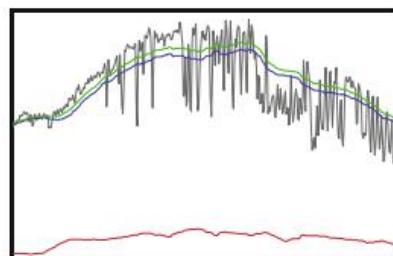
(d)  $d = 0$



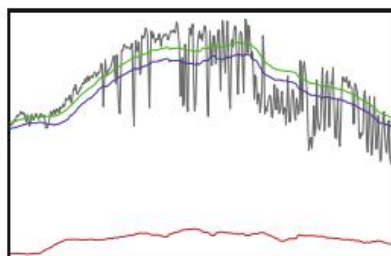
(e)  $d = 5$



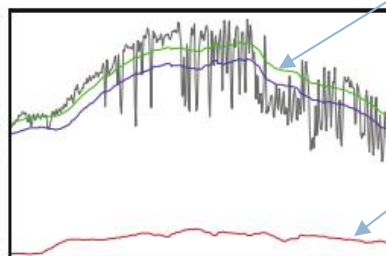
(f)  $d = 10$



(g)  $d = 0$



(h)  $d = 5$



(i)  $d = 10$

Adaptive thresholding using Niblack's method (with  $r = 15$ ,  $\kappa = 0.3$ ). Original image (a), local mean  $\mu_R$  (b), and standard deviation  $\sigma_R$  (c). The result for  $d = 0$  in (d) corresponds to Niblack's original formulation. Increasing the value of  $d$  reduces the amount of clutter in regions with low variance (e, f). The curves in (g-i) show the local intensity (gray), mean (green), variance (red), and the actual threshold (blue) along the horizontal line marked in (a-c).



# Adaptive Thresholding

## □ Niblack's Method - modification

for thresholding deteriorated text images, Sauvola and Pietikäinen [207] proposed setting the threshold to

$$Q(u, v) := \begin{cases} \mu_R(u, v) \cdot [1 - \kappa \cdot (\frac{\sigma_R(u, v)}{\sigma_{\max}} - 1)] & \text{for } \textit{dark} \text{ BG,} \\ \mu_R(u, v) \cdot [1 + \kappa \cdot (\frac{\sigma_R(u, v)}{\sigma_{\max}} - 1)] & \text{for } \textit{bright} \text{ BG,} \end{cases} \quad (11.73)$$

with  $\kappa = 0.5$  and  $\sigma_{\max} = 128$  (the “dynamic range of the standard deviation” for 8-bit images) as suggested parameter values.

# Adaptive Thresholding

## Using moving average

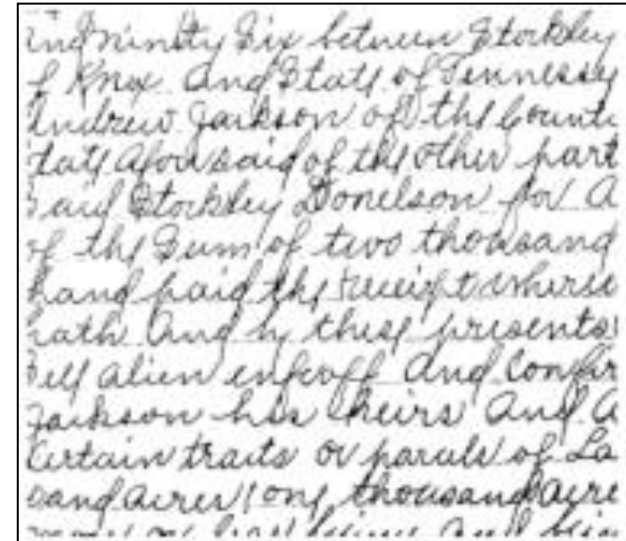
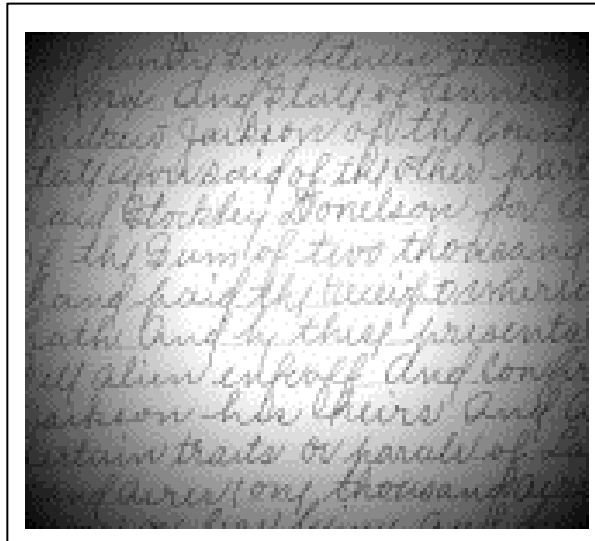
- It is based on computing a moving average along scan lines of an image.

$$m(k+1) = \frac{1}{n} \sum_{i=k+2-n}^{k+1} z_i = m(k) + \frac{1}{n} (z_{k+1} - z_{k-n})$$

- $z_{k+1}$  denote the intensity of the point at step  $k+1$ .  
 $n$  denote the number of point used in the average.
- $m(1) = z_1/n$  is the initial value.
- $T_{xy} = bm_{xy}$  where  $b$  is constant and  $m_{xy}$  is the moving average at point  $(x,y)$

# Adaptive Thresholding

## □ moving average



$N=20$ ,  $b=0.5$

- Works well, when objects of interest are small (thin) with respect to the image size e.g. typed or hand written text

# Adaptive Thresholding

## □ moving average



**FIGURE 10.45** (a) Text image corrupted by sinusoidal shading. (b) Result of global thresholding using Otsu's method. (c) Result of local thresholding using moving averages..

- Works well, when objects of interest are small (thin) with respect to the image size e.g. typed or hand written text

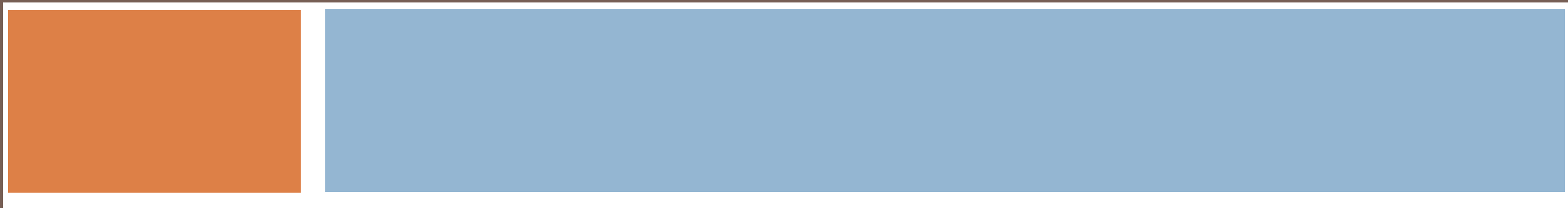


# Summary

---

- In this lecture we have begun looking at segmentation, and in particular thresholding
- We saw the basic global thresholding algorithm and its shortcomings
- We also saw a simple way to overcome some of these limitations using adaptive thresholding

# REGION-BASED SEGMENTATION



# Segmentation

- An image domain  $X$  must be segmented in  $N$  different regions  $R(1), \dots, R(N)$
- The segmentation rule is a logical predicate of the form  $P(R)$
- Image segmentation with respect to predicate  $P$  partitions the image  $X$  into subregions  $R_i$ ,  $i = 1, \dots, N$  such that

$$X = \bigcup_{i=1, \dots, N} R_i$$

$$R_i \cap R_j = \emptyset \text{ for } i \neq j$$

$$P(R_i) = \text{TRUE} \text{ for } i = 1, 2, \dots, N$$

$$P(R_i \cup R_j) = \text{FALSE} \text{ for } i \neq j$$

# Segmentation

- The segmentation property is a logical predicate of the form  $P(R, x, t)$
- $x$  is a feature vector associated with region  $R$
- $t$  is a set of parameters (usually thresholds). A simple segmentation rule has the form:

$$P(R) : I(r, c) < T \text{ for all } (r, c) \text{ in } R$$

# Segmentation

- In the case of color images the feature vector  $x$  can be three RGB image components  $(R(r,c), G(r,c), B(r,c))$
- A simple segmentation rule may have the form:

$$P(R) : (R(r,c) < T(R)) \ \&\& \ (G(r,c) < T(G)) \ \&\& \ (B(r,c) < T(B))$$

# Region Growing (Merge)

- A simple approach to image segmentation is to start from some pixels (**seeds**) representing distinct image regions and to grow them, until they cover the entire image
- For region growing we need a **rule** describing a **growth mechanism** and a rule **checking the homogeneity** of the regions after each growth step

# Region Growing

- The growth mechanism – at each stage  $k$  and for each region  $R_i(k)$ ,  $i = 1, \dots, N$ ,
  - Check if there are unclassified pixels in the 8-neighbourhood of each pixel of the region border
- Before assigning such a pixel  $x$  to a region  $R_i(k)$ , we check if the region homogeneity:  
 $P(R_i(k) \cup \{x\}) = \text{TRUE}$  , is valid
- Selection of similarity criteria: color, descriptors (gray level + moments / texture)

# Region Growing

- Choosing a **seed** pixel:

- ▣ Preferably provided by the user. A good seed can be drawn from the peak of the object histogram

- Minimum area thresholding:

- ▣ No region will be smaller than this threshold in the segmented image

- Similarity threshold:

- ▣ If a pixel and a region (or region A and region B) are considered similar enough a union is made, Otherwise a new region is formed

- ▣ **High threshold value** – easy for new pixels to get accepted to the region

- ▣ **Low threshold value** – hard for new pixels to get accepted



# Region Growing Predicate

- **Similarity check example::**
- At each iteration, and for each region  $R_i$  Compute arithmetic mean  $m_i$  and standard deviation  $\sigma_i$  having  $n = |R_i|$  pixels:

$$m_i = \frac{1}{n} \sum_{(x,y) \in R_i} f(x,y) \qquad \sigma_i = \sqrt{\frac{1}{n-1} \sum_{(x,y) \in R_i} (f(x,y) - m_i)^2}$$

- If the regions adhere the similarity (homogeneity) condition than we can unite them
- The predicate  $P$  can be used to decide if the merging of the two regions  $R_i, R_j$  is allowed

$$P: \quad |m_i - m_j| < k \times \min\{\sigma_i, \sigma_j\}$$

# Region growing

- Other homogeneity criteria (with more features) can be considered

**average intensity**

**color**

**variance**

**texture**

**Motion**

**shape**

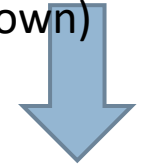
**size**

**etc...**

# Split

**Split & merge**

(top  
down)



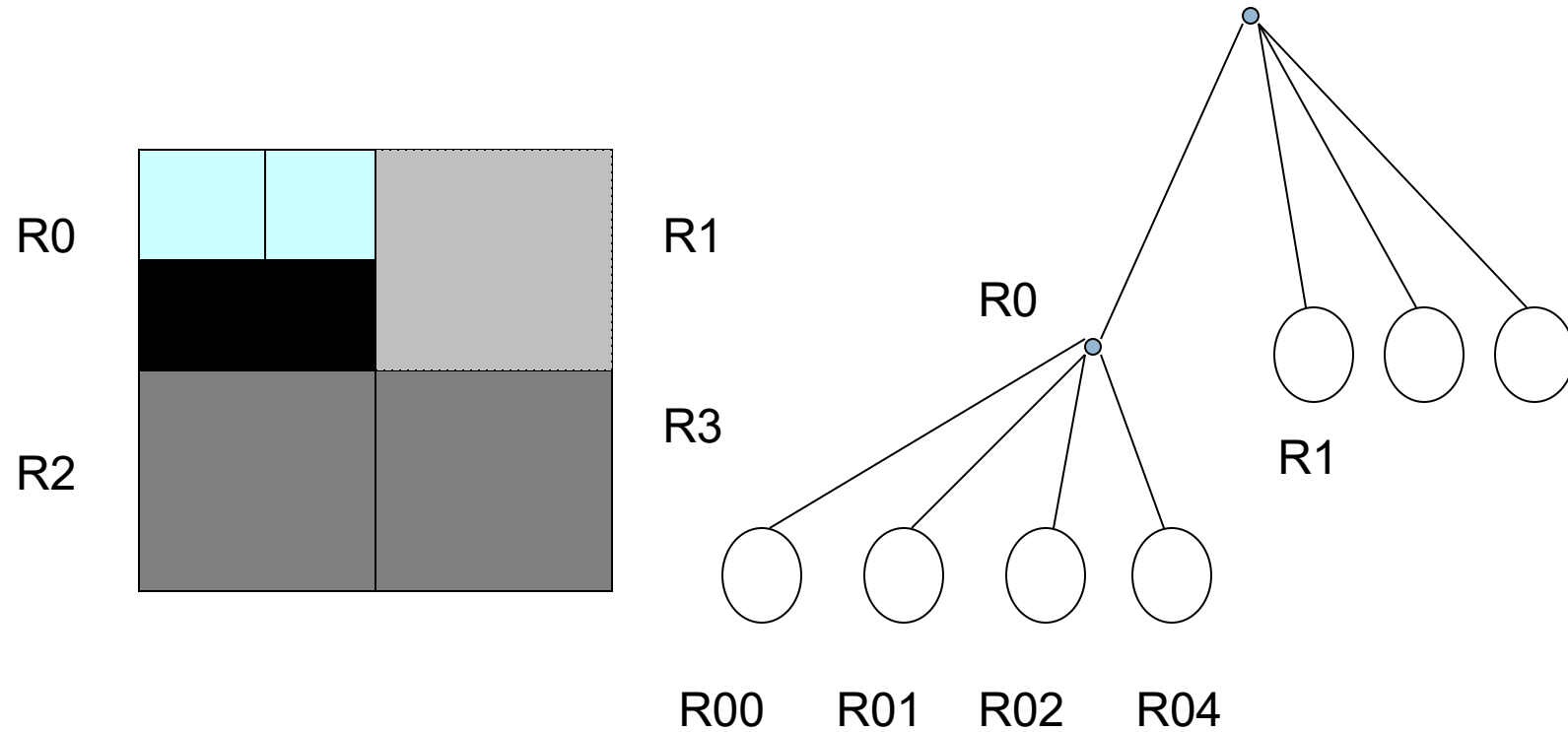
- The opposite approach to region growing is region splitting.
- It is a top-down approach
- Briefly-
  1. it starts with the assumption that the entire image is homogeneous
  2. If this is not true (by the homogeneity criterion,  $P$ ), the image is split into four sub images
  3. This splitting procedure is repeated recursively until we split the image into homogeneous regions

# Split

- If the original image is square  $N \times N$ , having dimensions that are powers of 2 ( $N = 2^n$ ):
- All regions produced by the splitting algorithm are squares having dimensions  $M \times M$ , where  $M$  is a power of 2 as well.
- Since the procedure is recursive, it produces an image representation that can be described by a tree whose nodes have four sons each
- Such a tree is called a Quadtree.

# Split

## Quadtree



# Split

- **Disadvantage**

- ▢ They create regions that may be adjacent and homogeneous, but not merged.

- **Improvement - Split and Merge**

- ▢ An iterative algorithm that includes both splitting and merging at each iteration:

# Split / Merge

## □ Briefly-

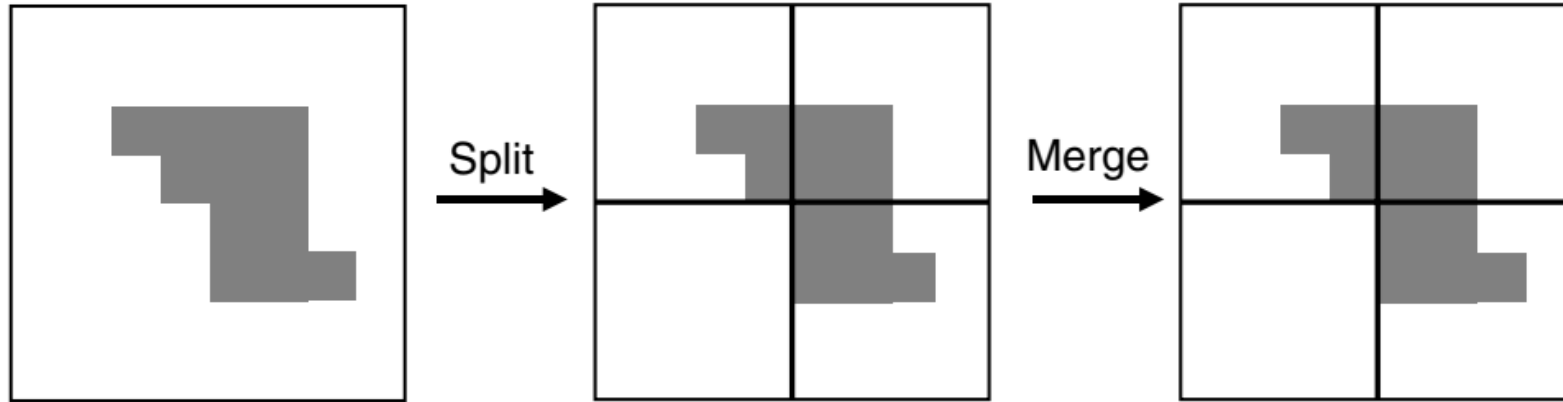
1. it starts with the assumption that the entire image is homogeneous
2. If this is not true (by the homogeneity criterion), the image is split into four sub images
3. This splitting procedure is repeated recursively until we split the image into homogeneous regions
4. **Merging phase:** If 2 adjacent regions are homogenous, they are merged
5. Repeat **step 4** until no further merging is possible

# Split / Merge

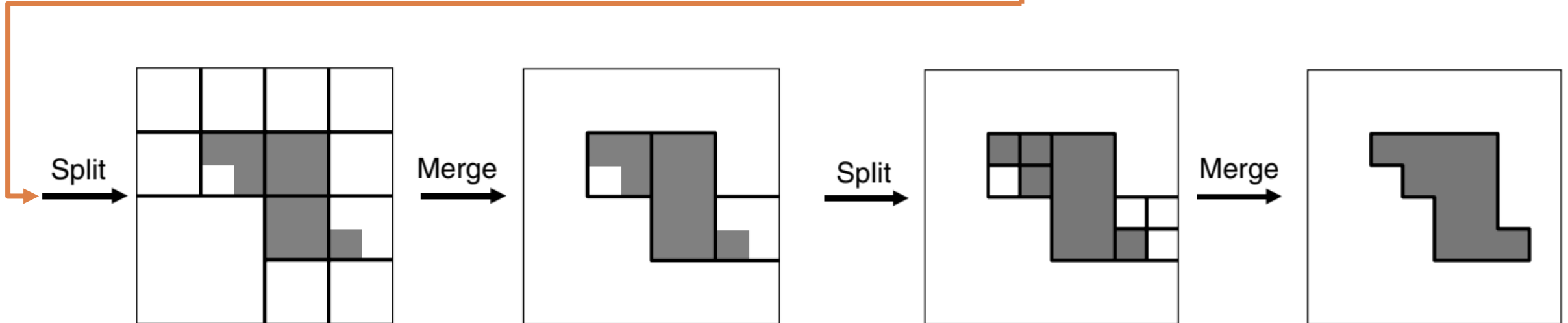
1. Split any region  $R_i$  into 4 disjoint quadrants for which  $P(R_i) = \text{FALSE}$
  2. Merge any adjacent region  $R_i$  and  $R_j$  for which  $P(R_i \cup R_j) = \text{TRUE}$
  3. Repeat step 1 and 2 until no further splitting or merging is possible.
- The split and merge algorithm produces more compact regions than the pure splitting algorithm



# Split / Merge



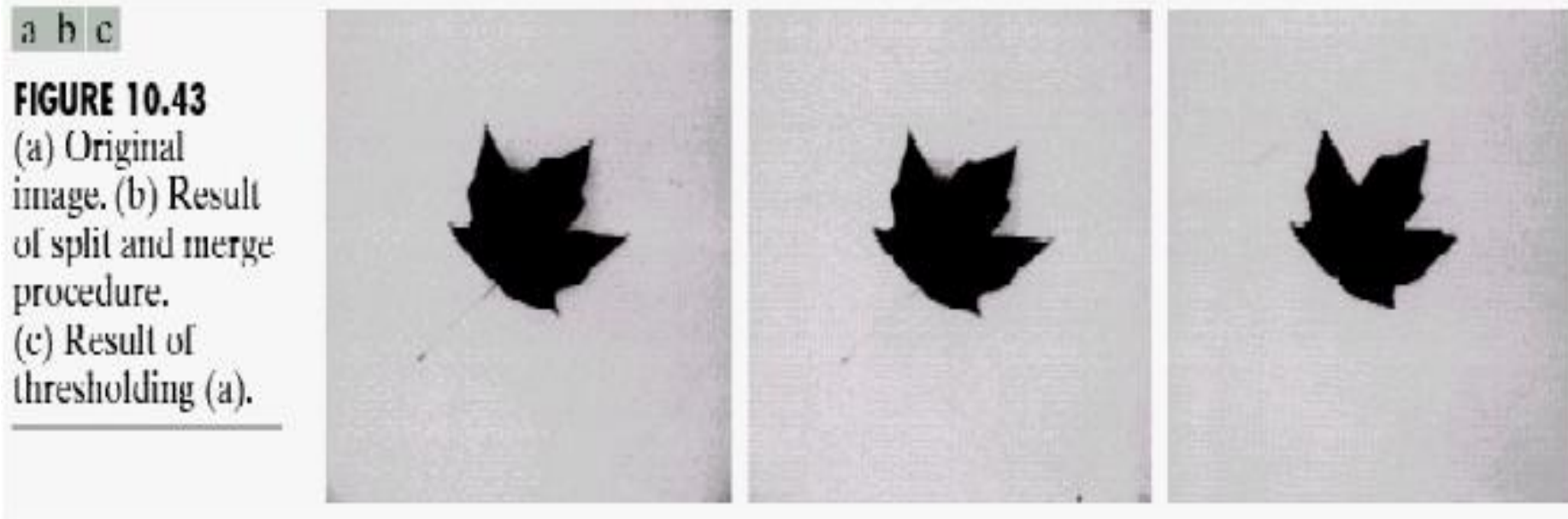
Iteration 1



Iteration 2

Iteration 3

# Split / Merge



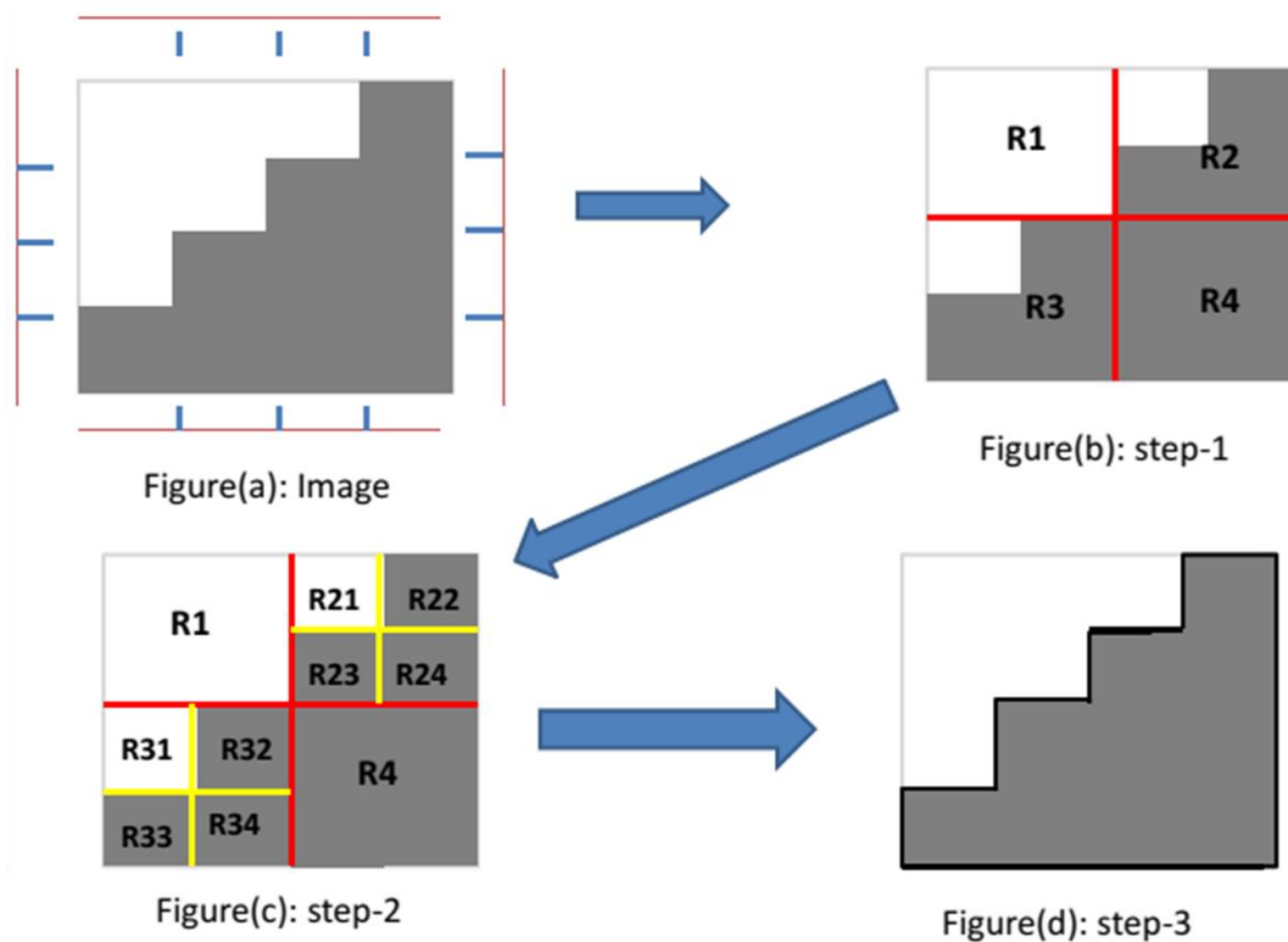
$P(R_i) = \text{TRUE}$  if at least 80% of the pixels in  $R_i$  have the property  $|z_j - m_i| \leq 2\sigma_i$ ,  
where

$z_j$  is the gray level of the  $j^{\text{th}}$  pixel in  $R_i$

$m_i$  is the mean gray level of that region

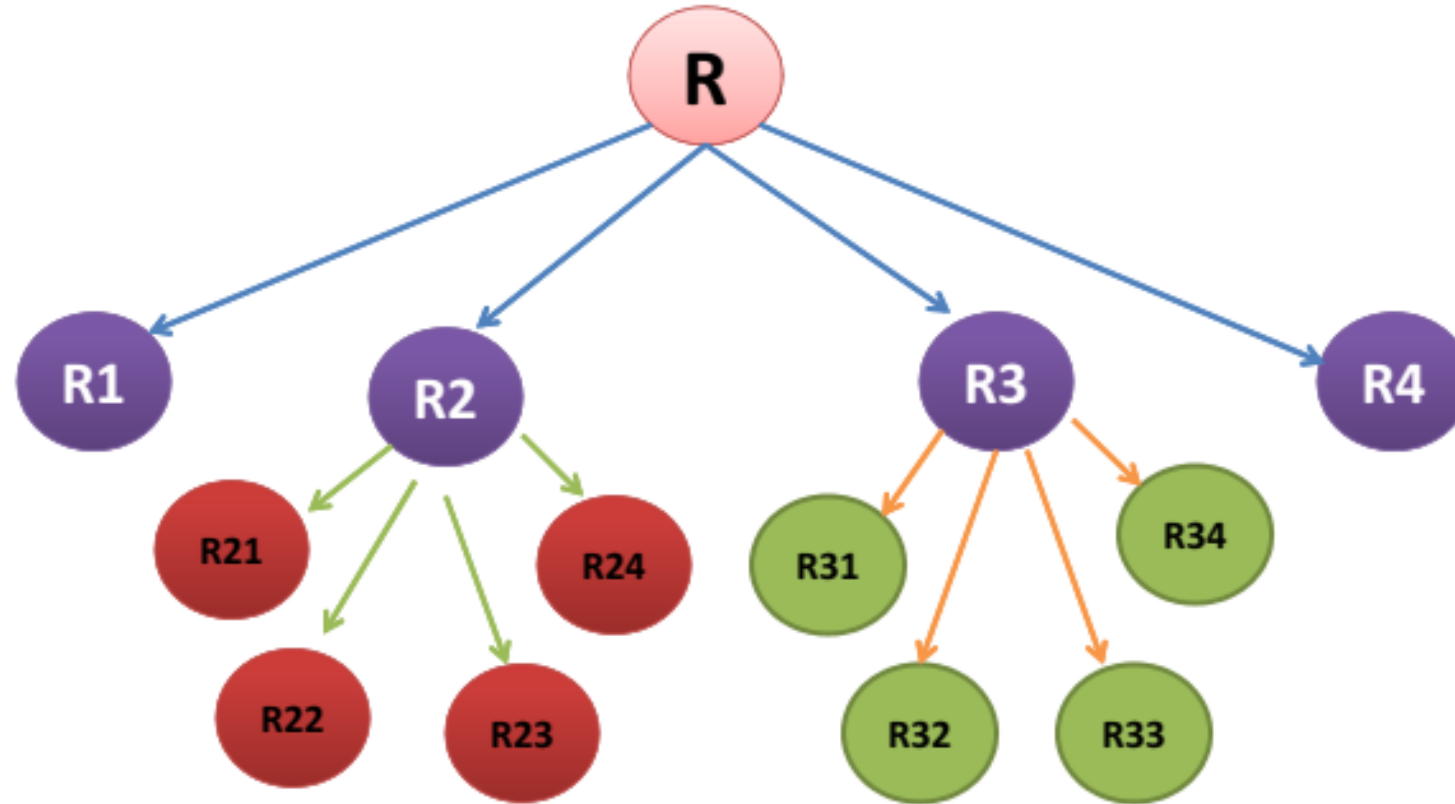
$\sigma_i$  is the standard deviation of the gray levels in  $R_i$

# Split / Merge



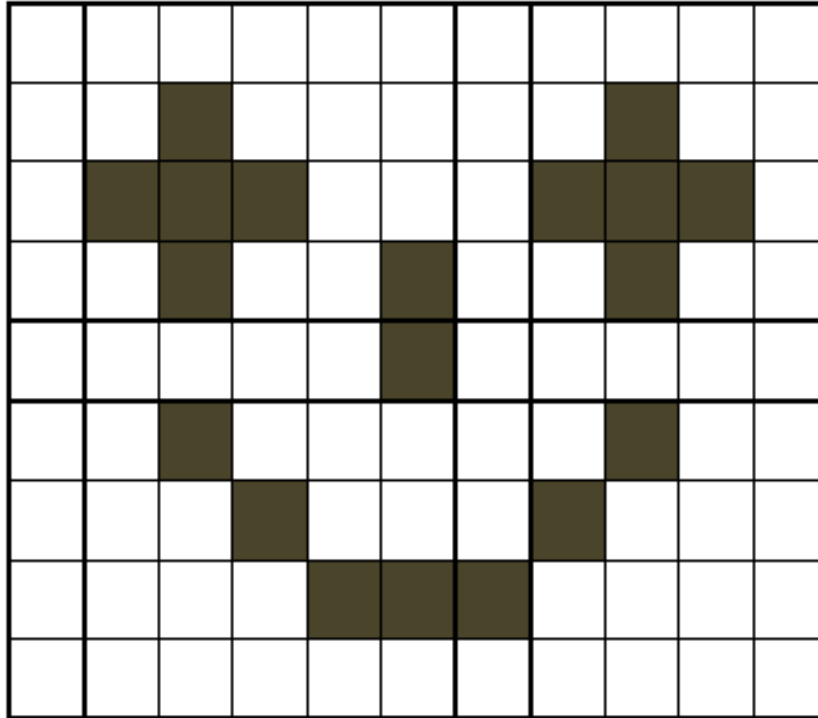
# Split / Merge

## Quadtree Representation



# Split / Merge

- Try this



# Applications

---

- 3D – Imaging : A basic task in 3-D image processing is the segmentation of an image which classifies voxels/pixels into objects or groups.
- 3-D image segmentation makes it possible to create 3-D rendering for multiple objects and perform quantitative analysis for the size, density and other parameters of detected objects.
- Several applications in the field of Medicine like magnetic resonance imaging (MRI).

# Results – Region grow



# Results – Region Split

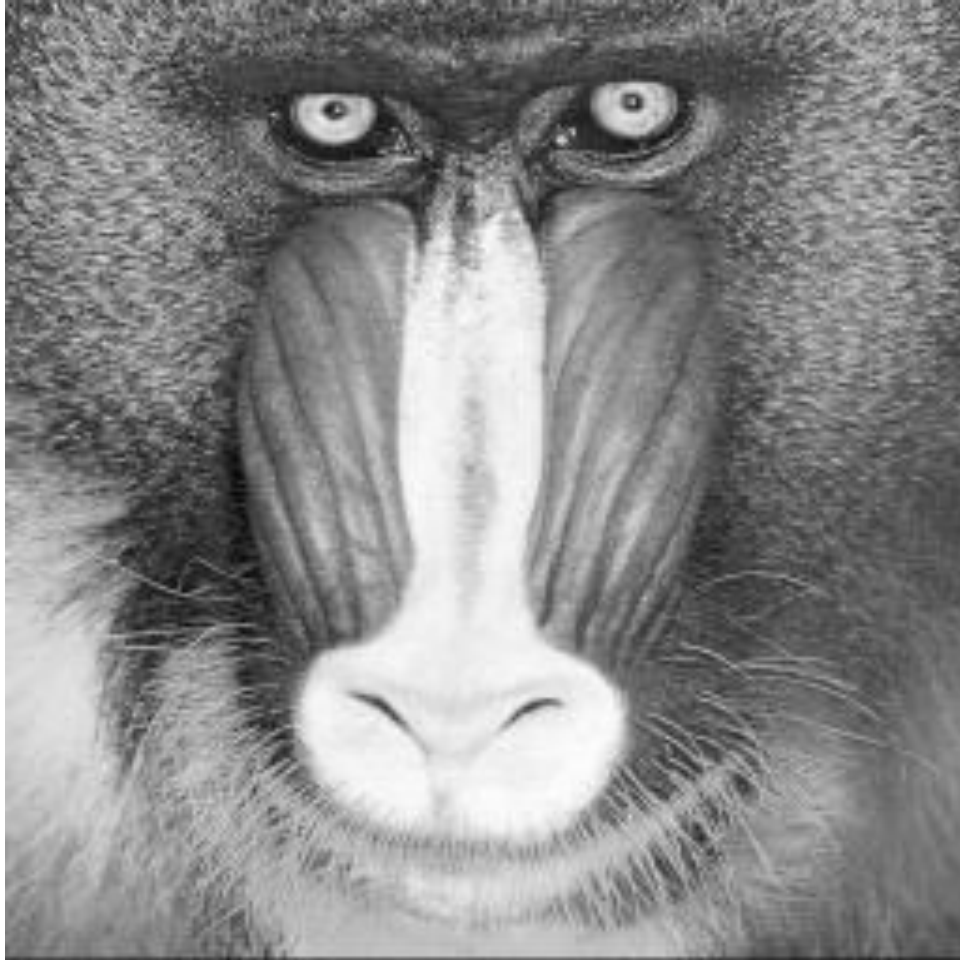




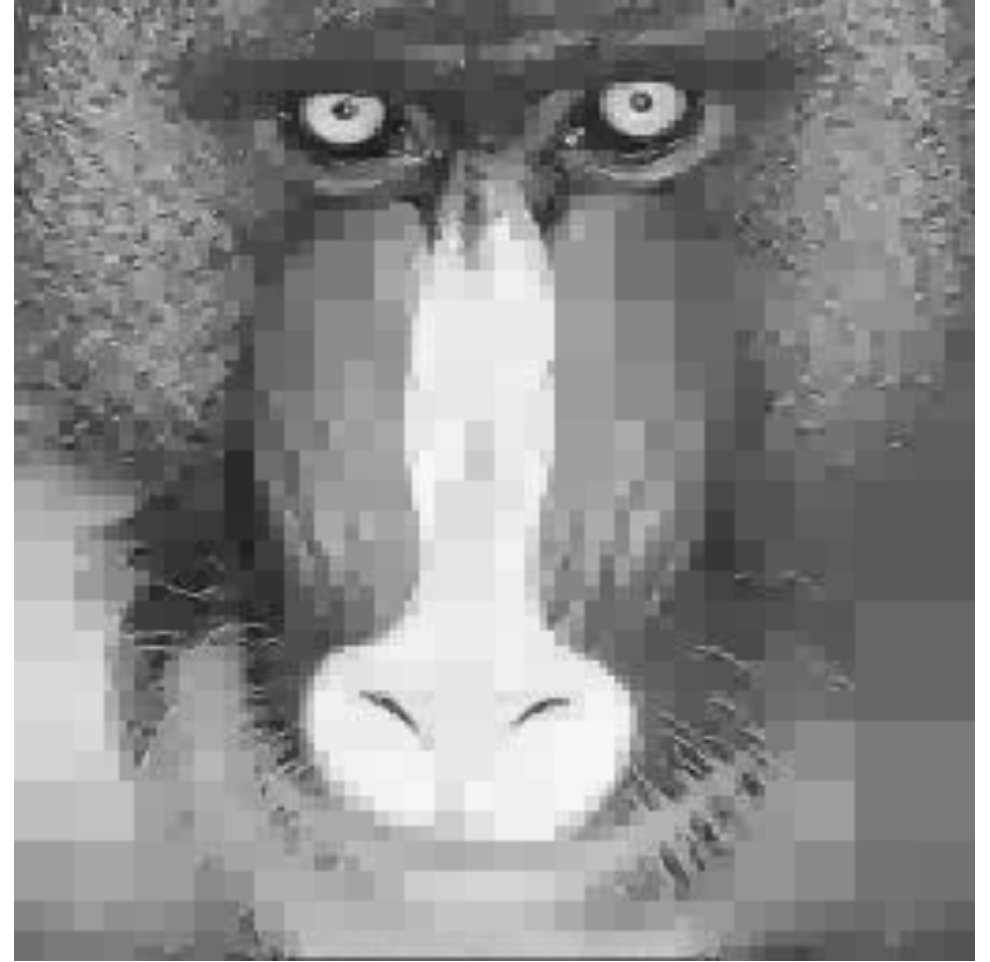
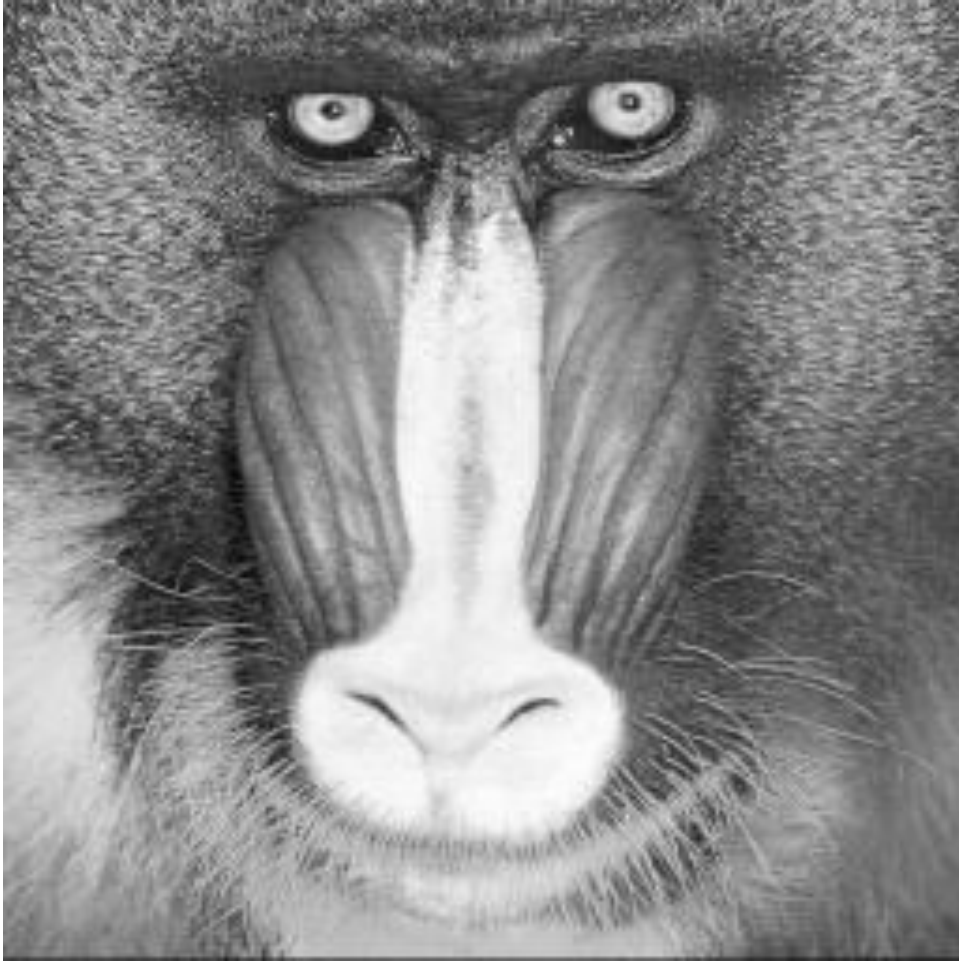
# Results – Region Split and Merge



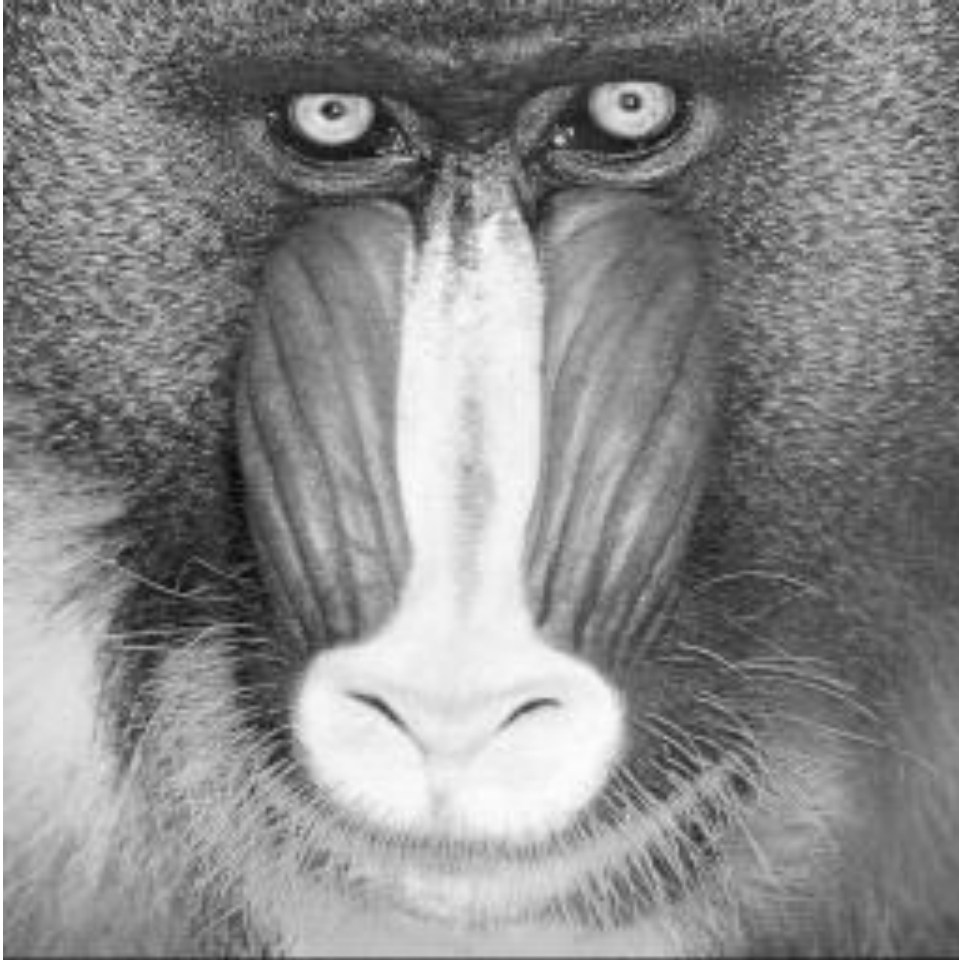
# Results – Region growing



# Results – Region Split



# Results – Region Split and Merge



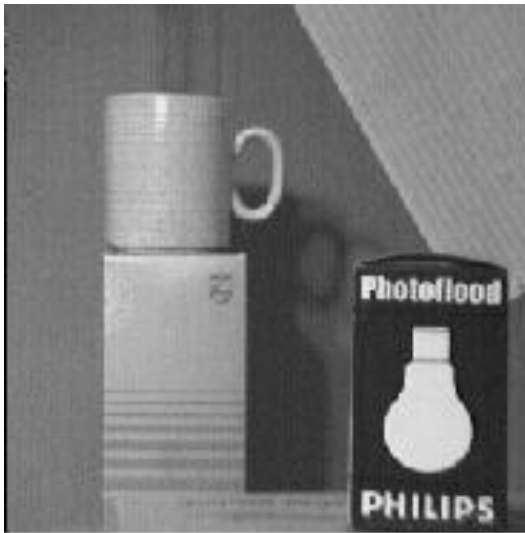
# Problems with regional segmentation

- There are problems with regional segmentation of any form:
  - ❑ ``Meaningful'' regions may not be uniform: surface properties of a solid body will vary in brightness or colour dependent on the existence of slowly varying gradients due to lighting conditions.
    - Lighting effects or curvature affect the appearance, e.g. a sphere illuminated by a point light source may have intensities varying from pure white to black, yet is a single surface.
  - ❑ It is very unusual in practice for an image to be composed of uniform regions of similar intensity, or colour, or texture etc.
  - ❑ Regional segmentation works best with binary data as the limited range of values lead to more uniform regions.

# Problems with regional segmentation

- In practice, boundary segmentation is much more widely applied than regional segmentation for several reasons
  - ❑ Algorithms are usually less complex: they tend to use local properties and software and hardware implementations are readily available.
  - ❑ Humans may use edge detection: there is evidence of links between edge detection and early human visual processing, which lead to the observation that contoured images are more easily identified than regional images, particularly when degraded in some form.
  - ❑ Edges are often more useful in matching: as finding regions or edges is often preliminary to identifying objects, it is important that edges have an easier model description (as lines).

# Problems with regional segmentation



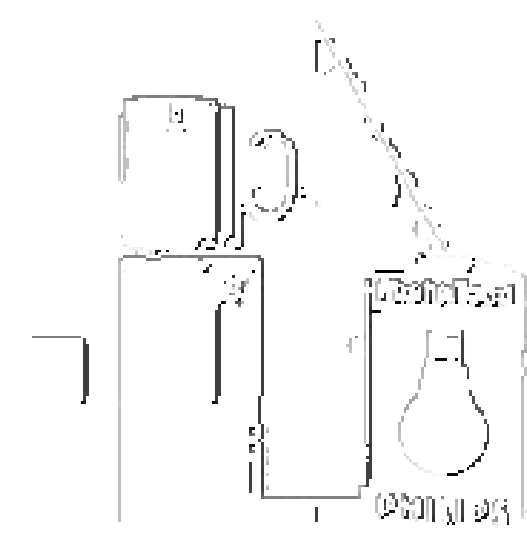
Original image



After quad splitting

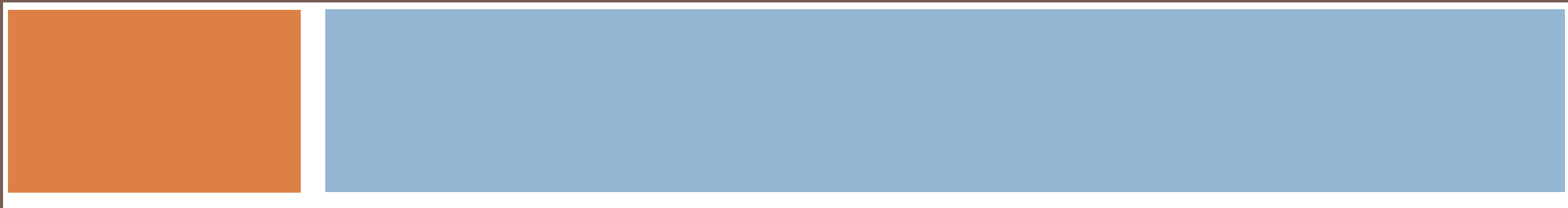


After merging



Edge delineation of regions

# EDGE BASED SEGMENTATION





# Edge-based segmentation

- Edge-based methods center around contour detection
- General workflow
  1. Detect edges, i.e., mark each pixel as "edge" or "not edge".
  1. Divide the image into regions, based on the detected edges. (Edge linking, Hough transform)



This part is non-trivial!

- Weakness in connecting broken contour lines make them prone to failure in the presence of blurring.

# Detection Of Discontinuities

---

- There are three basic types of grey level discontinuities that we tend to look for in digital images:
  - ▣ Points
  - ▣ Lines
  - ▣ Edges
- We typically find discontinuities using masks and correlation

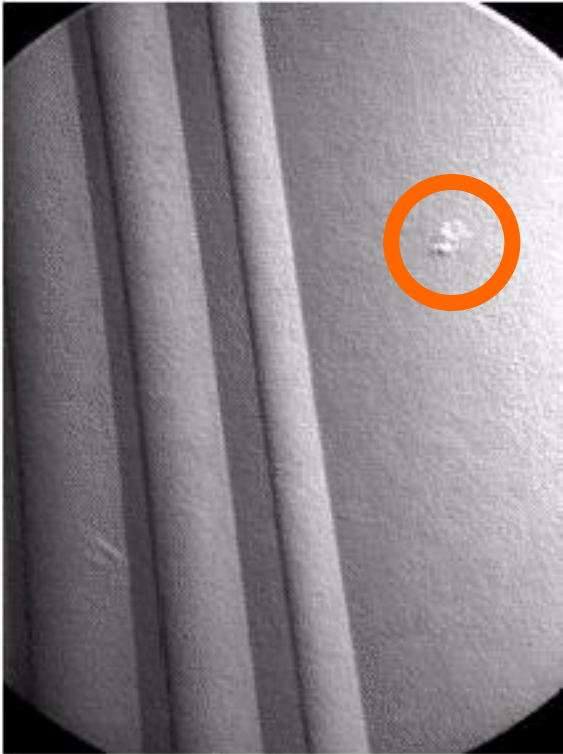
# Point Detection

- Point detection can be achieved simply using the mask below:

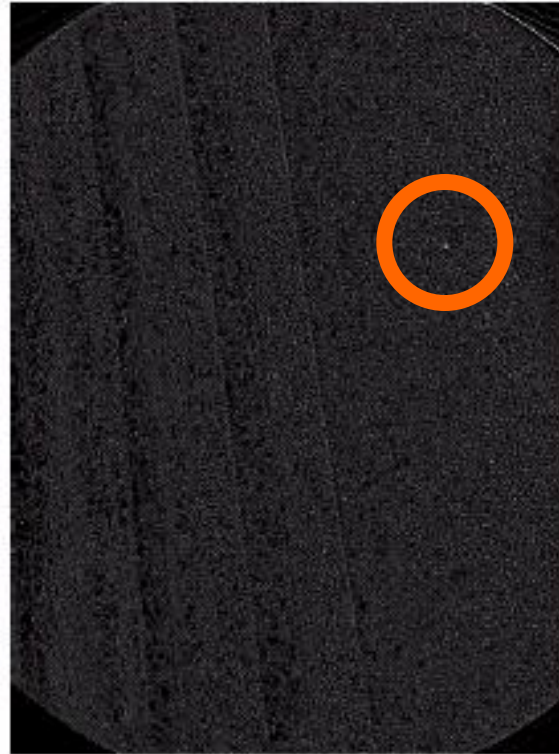
-1	-1	-1
-1	8	-1
-1	-1	-1

- Points are detected at those pixels in the subsequent filtered image that are above a set threshold

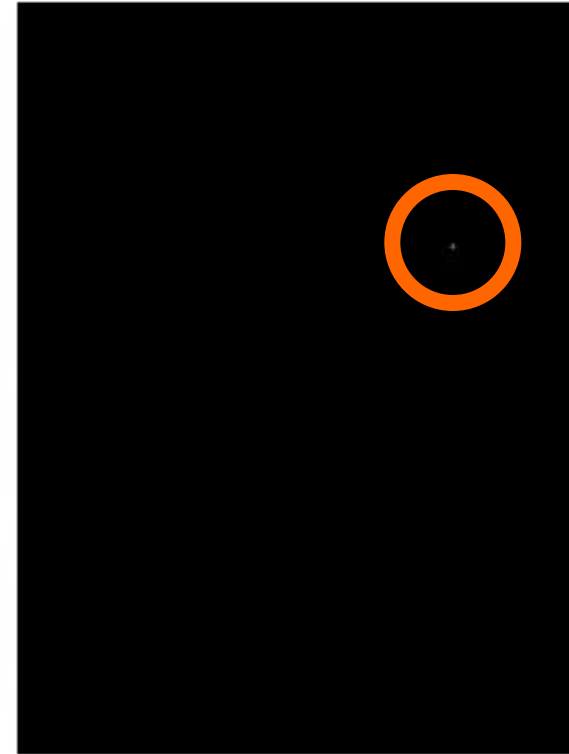
# Point Detection (cont...)



X-ray image of  
a turbine blade



Result of point  
detection



Result of  
thresholding

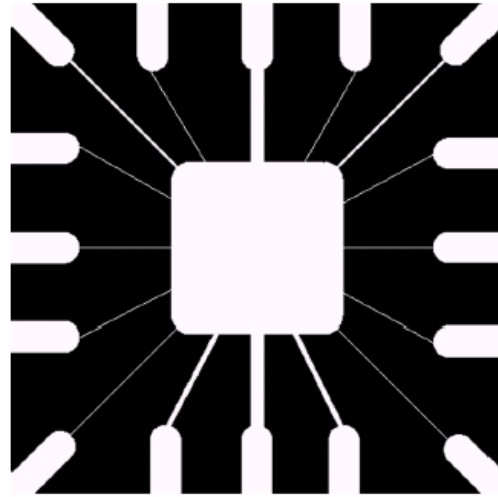
# Line Detection

- The next level of complexity is to try to detect lines
- The masks below will extract lines that are one pixel thick and running in a particular direction

-1	-1	-1	-1	-1	2	-1	2	-1	2	-1	-1
2	2	2	-1	2	-1	-1	2	-1	-1	2	-1
-1	-1	-1	2	-1	-1	-1	2	-1	-1	-1	2
Horizontal			+45°			Vertical			-45°		

# Line Detection (cont...)

Binary image of a wire  
bond mask



After  
processing  
with  $-45^\circ$  line  
detector

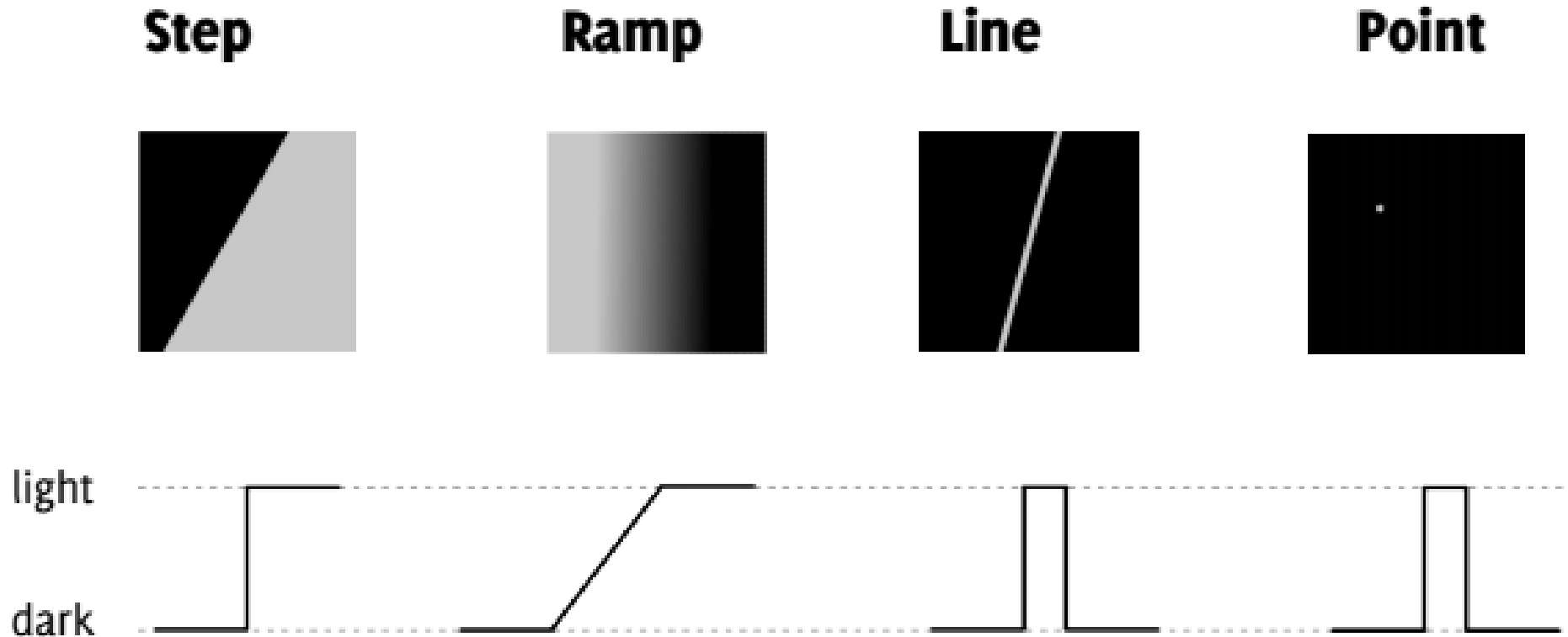


Result of  
thresholding  
filtering result



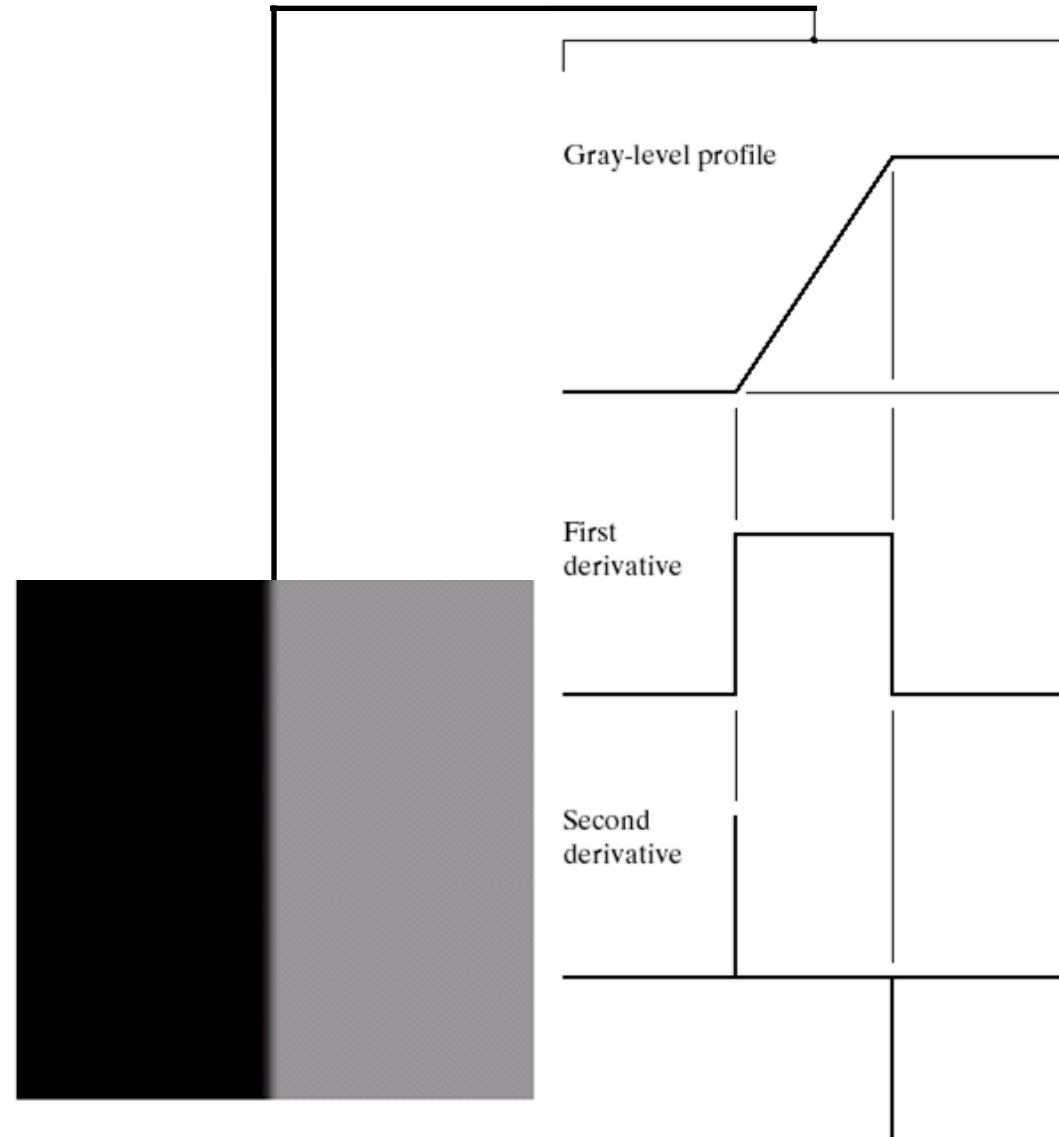
# Edge Detection

- An edge is a set of connected pixels that lie on the boundary between two regions
- Edge models



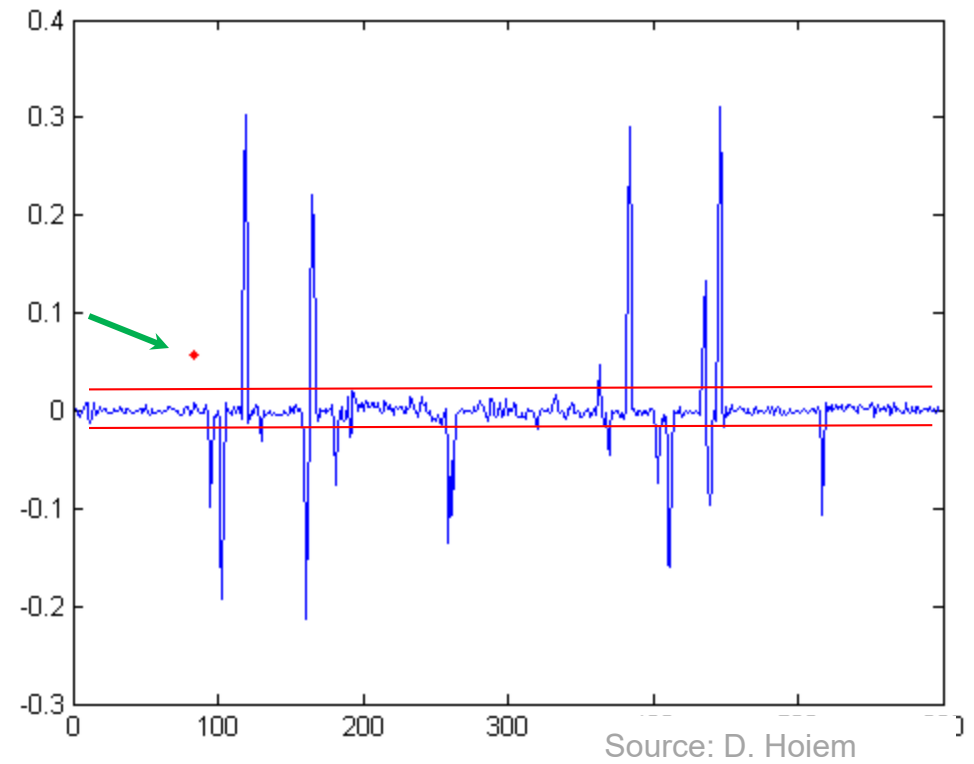
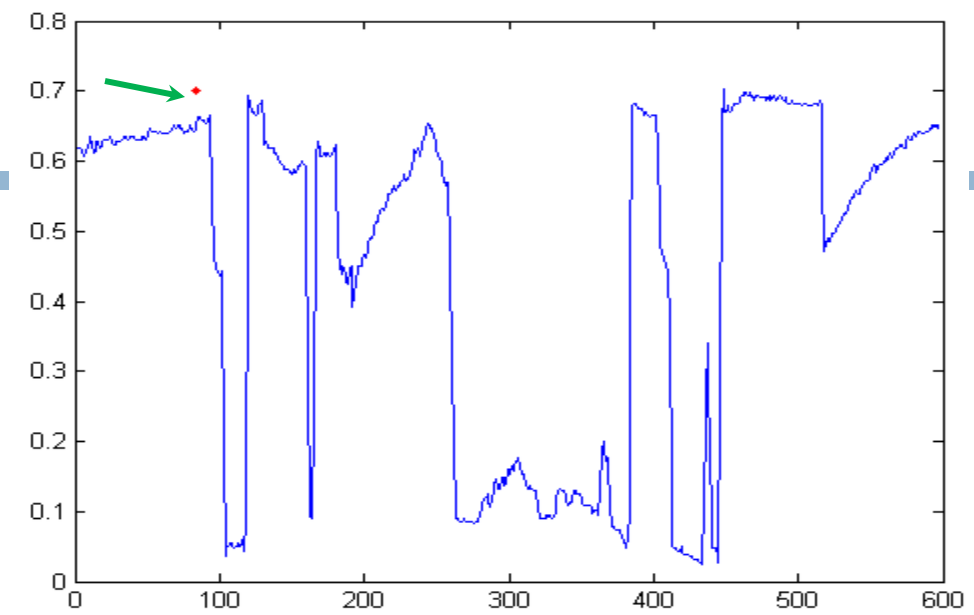
# Edges & Derivatives

- We have already spoken about how derivatives are used to find discontinuities
- 1<sup>st</sup> derivative tells us where an edge is
- 2<sup>nd</sup> derivative can be used to show edge side



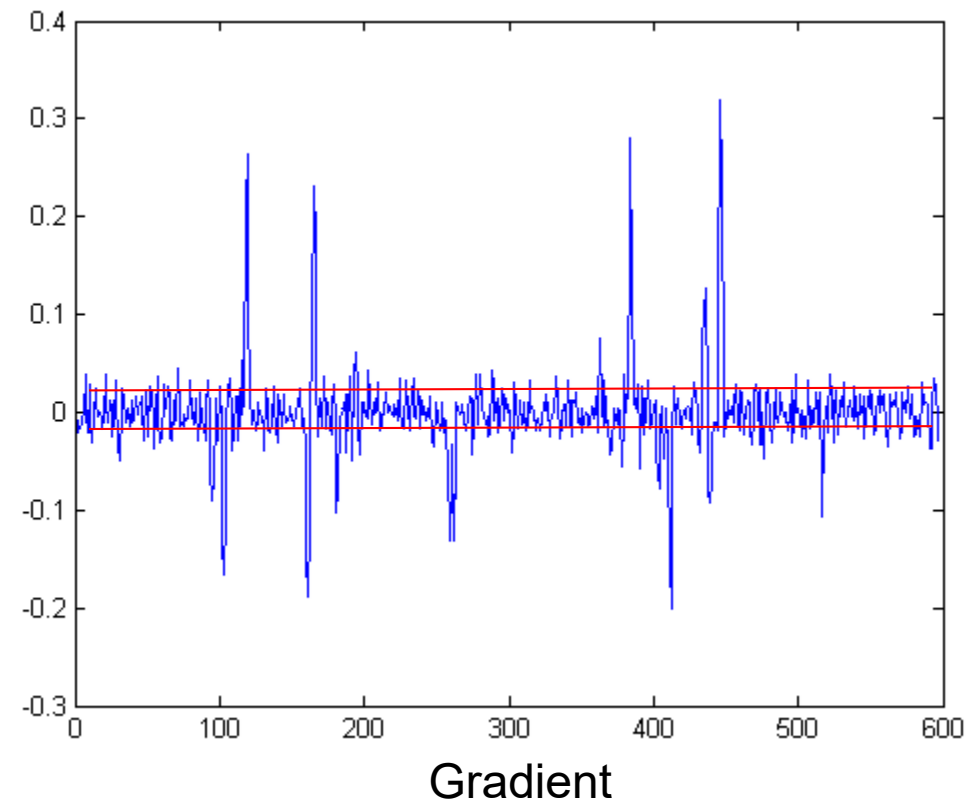
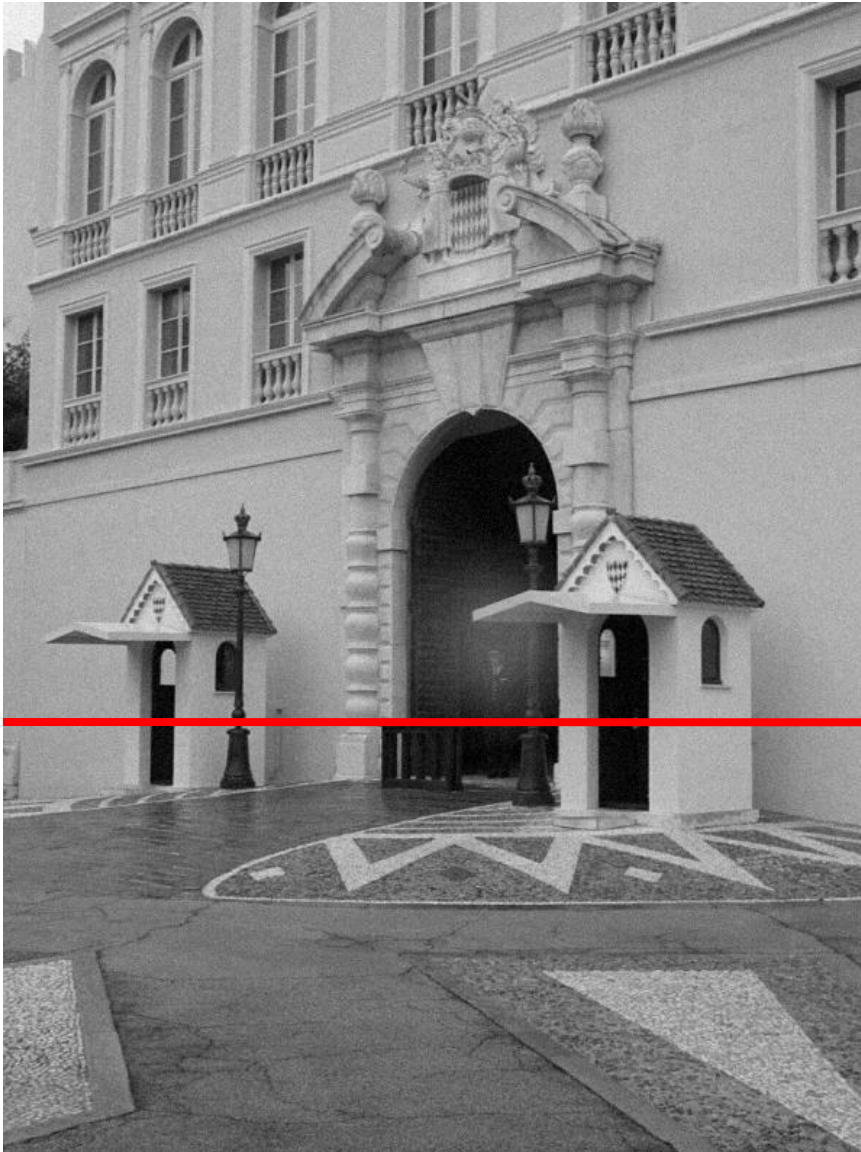


# Intensity profile



Source: D. Hoiem

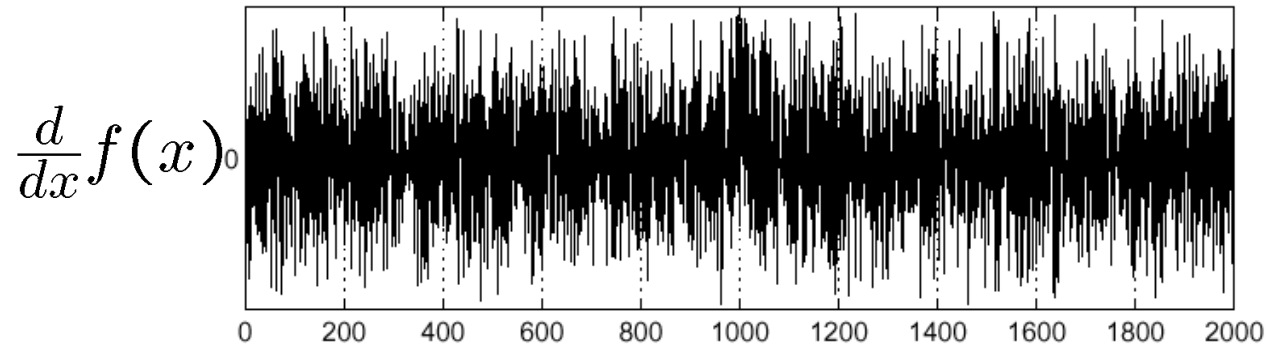
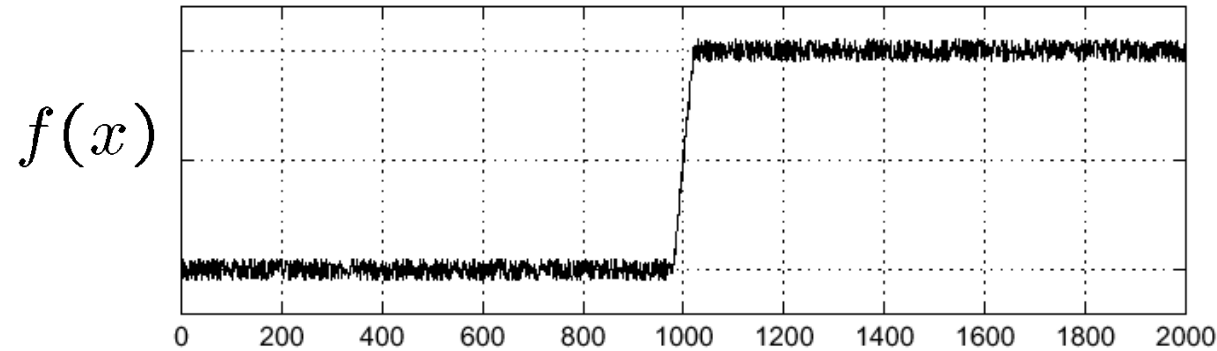
# With a little Gaussian noise



Source: D. Hoiem

# Effects of noise

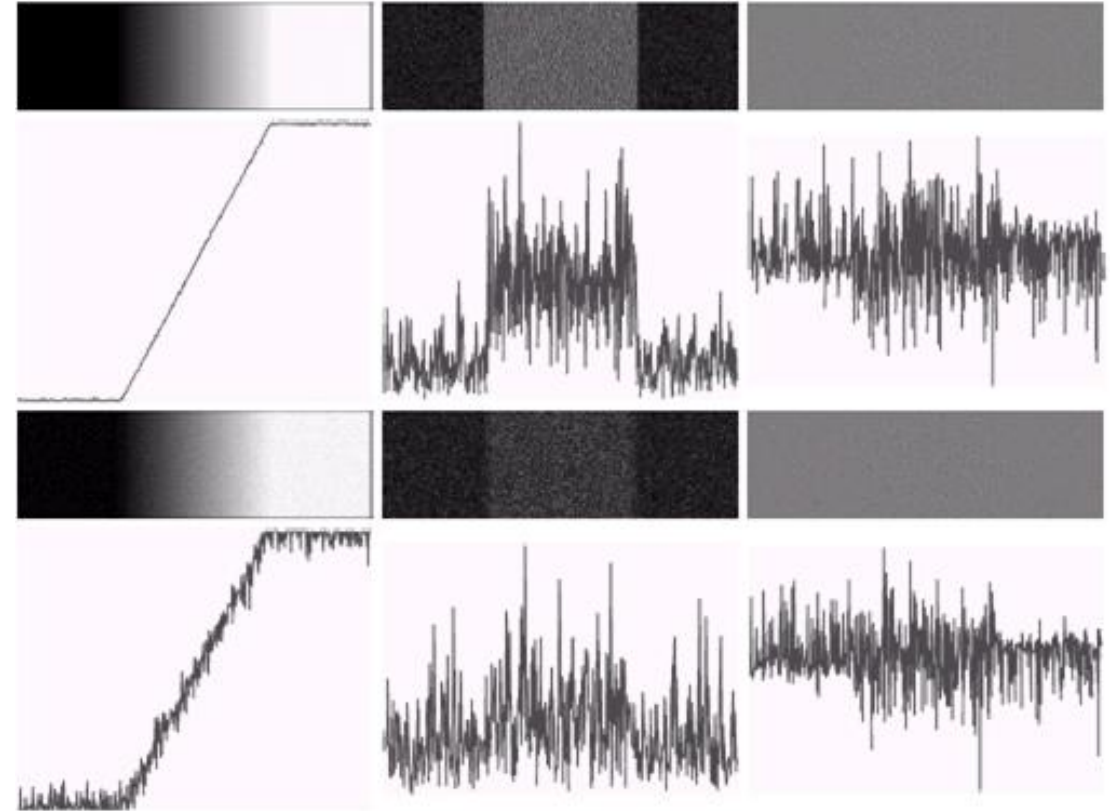
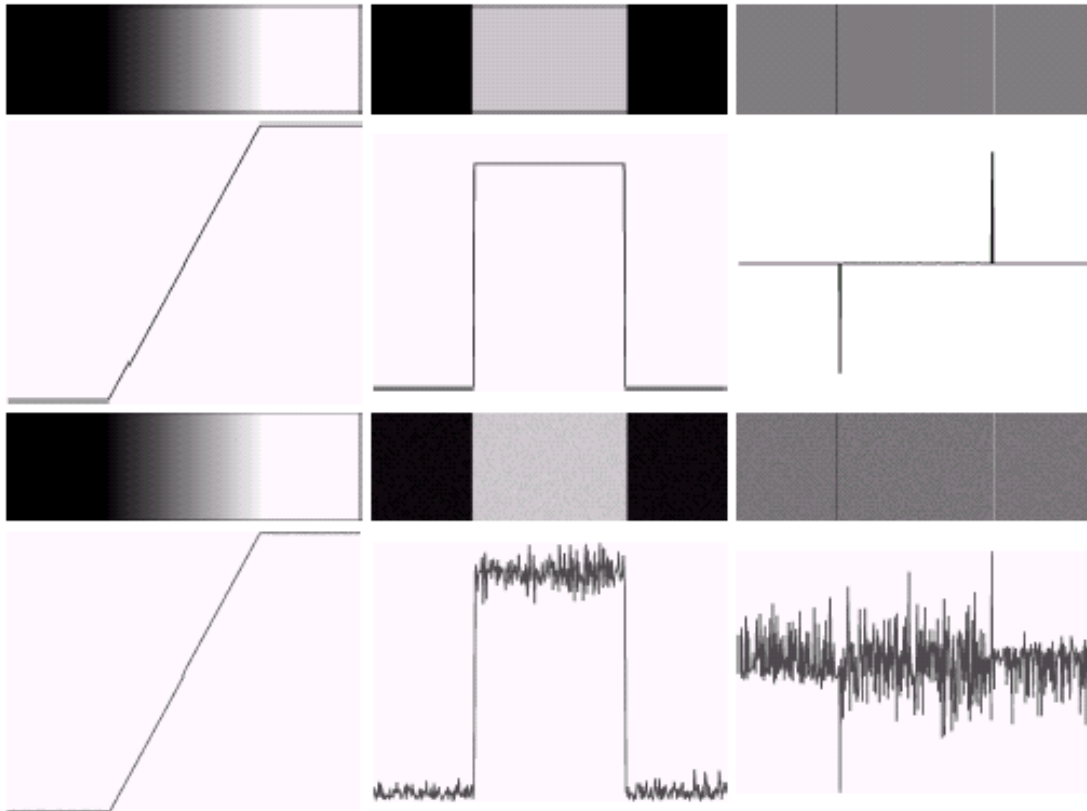
- Consider a single row or column of the image
  - Plotting intensity as a function of position gives a signal



Where is the edge?

# Derivatives & Noise

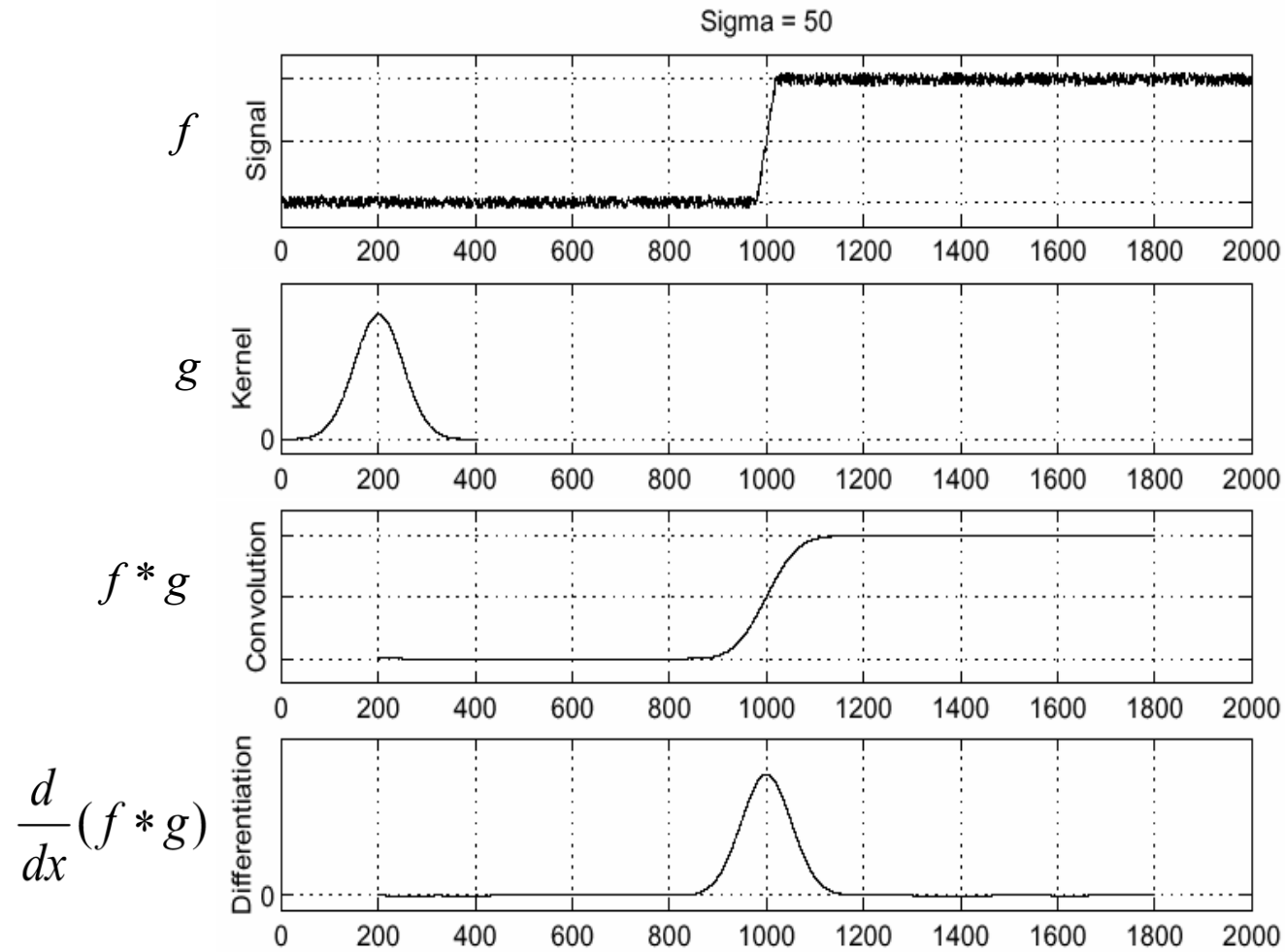
- Derivative based edge detectors are extremely sensitive to noise
- We need to keep this in mind



# Effects of noise

- Difference filters respond strongly to noise
  - Image noise results in pixels that look very different from their neighbors
  - Generally, the larger the noise the stronger the response
- What can we do about it?

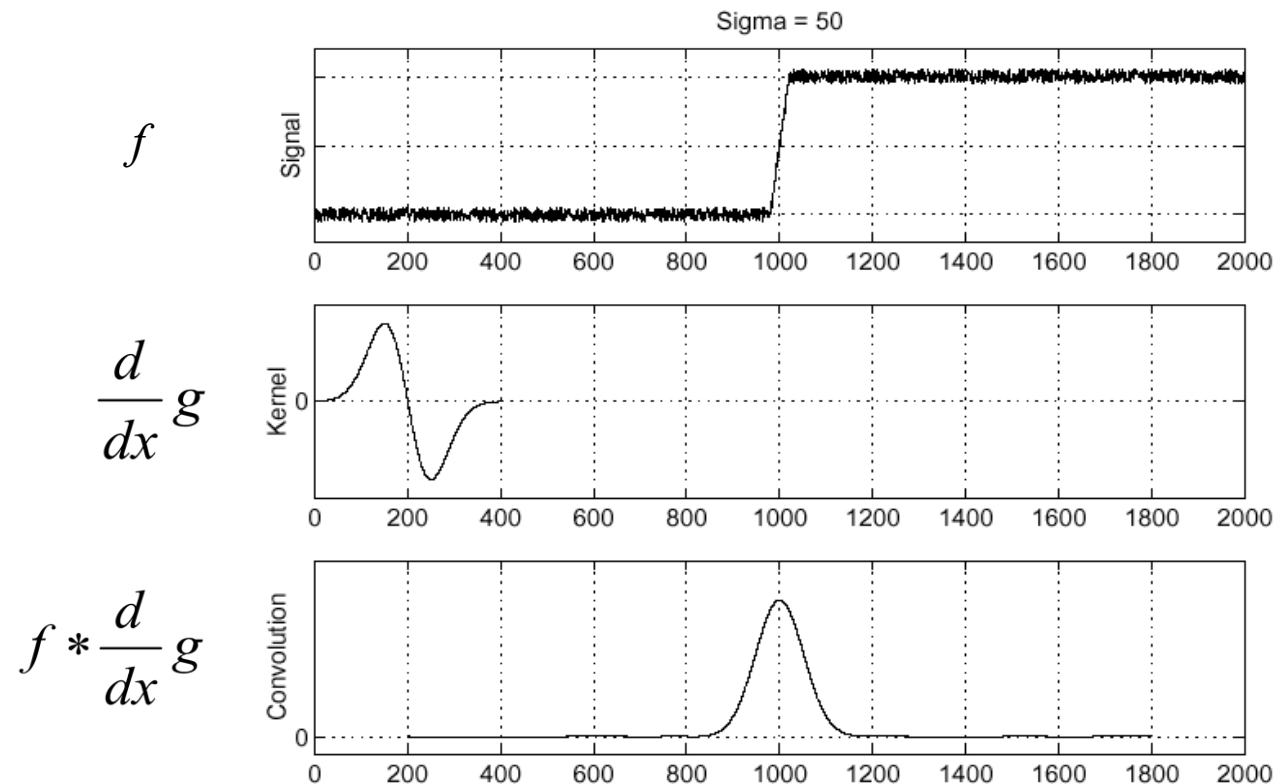
# Solution: smooth first



- To find edges, look for peaks in  $\frac{d}{dx}(f * g)$

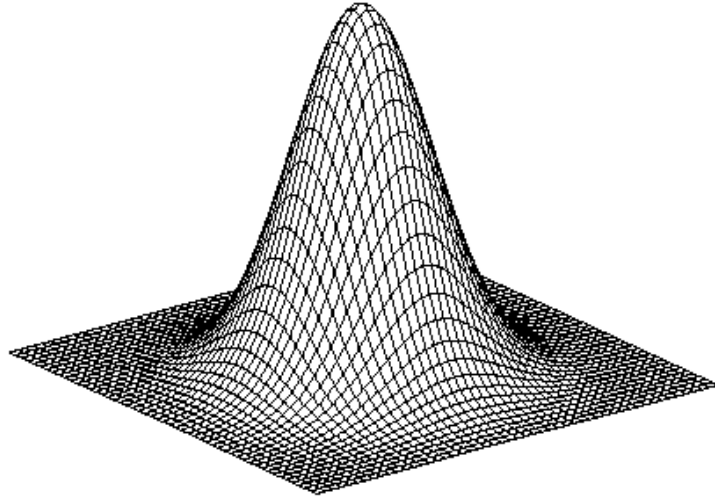
# Derivative theorem of convolution

- Differentiation is convolution, and convolution is associative:
$$\frac{d}{dx}(f * g) = f * \frac{d}{dx}g$$
- This saves us one operation:

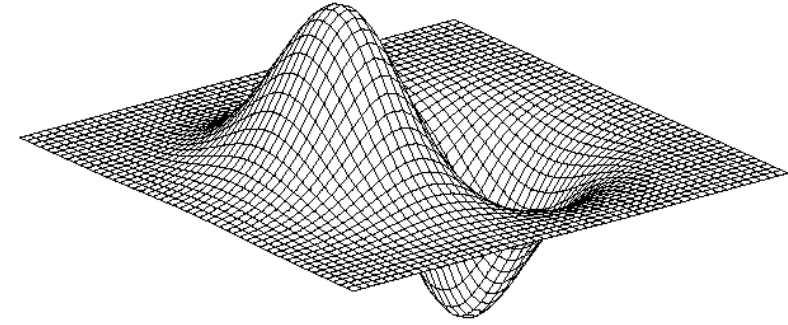


Source: S. Seitz

# Derivative of Gaussian filter



$$* [1 \ -1] =$$





# Discrete Derivative in 1D

$$\frac{df}{dx} = \lim_{\Delta x \rightarrow 0} \frac{f(x) - f(x - \Delta x)}{\Delta x} = f'(x)$$

$$\frac{df}{dx} = \frac{f(x) - f(x - 1)}{1} = f'(x)$$

$$\frac{df}{dx} = f(x) - f(x - 1) = f'(x)$$

# 1D Discrete derivatives & Filters

derivate filters		
Backward	$\frac{df}{dx} = f(x) - f(x-1) = f'(x)$	[0    1    -1]
Forward	$\frac{df}{dx} = f(x) - f(x+1) = f'(x)$	[-1    1    0]
Central	$\frac{df}{dx} = f(x+1) - f(x-1) = f'(x)$	[ 1    0    -1]

# Discrete derivate in 2D

Given function

$$f(x, y)$$

Gradient vector

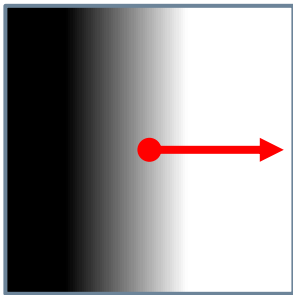
$$\nabla f(x, y) = \begin{bmatrix} \frac{\partial f(x, y)}{\partial x} \\ \frac{\partial f(x, y)}{\partial y} \end{bmatrix} = \begin{bmatrix} f_x \\ f_y \end{bmatrix}$$

Gradient magnitude

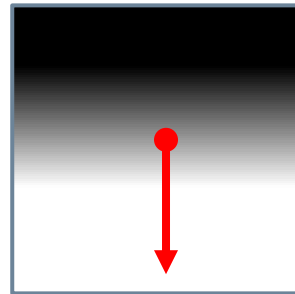
$$|\nabla f(x, y)| = \sqrt{f_x^2 + f_y^2}$$

Gradient direction

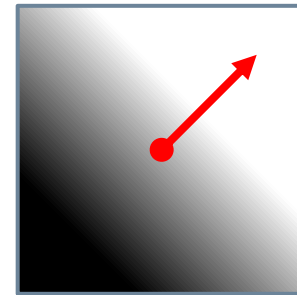
$$\theta = \tan^{-1} \left( \frac{\partial f}{\partial y} / \frac{\partial f}{\partial x} \right)$$



$$\nabla f = \left[ \frac{\partial f}{\partial x}, 0 \right]$$



$$\nabla f = \left[ 0, \frac{\partial f}{\partial y} \right]$$

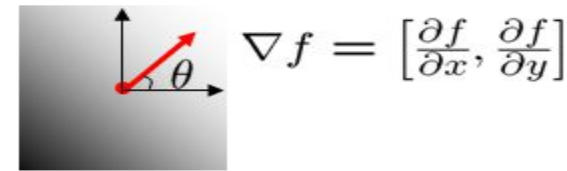
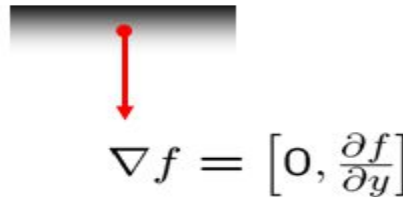
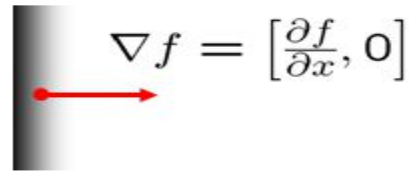


$$\nabla f = \left[ \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y} \right]$$

# Discrete derivate in 2D

## Image gradient

The gradient of an image:  $\nabla f = \left[ \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y} \right]$



The gradient points in the direction of most rapid increase in intensity

The gradient direction is given by  $\theta = \tan^{-1} \left( \frac{\partial f}{\partial y} / \frac{\partial f}{\partial x} \right)$

- how does this relate to the direction of the edge?

The *edge strength* is given by the gradient magnitude

$$\|\nabla f\| = \sqrt{\left(\frac{\partial f}{\partial x}\right)^2 + \left(\frac{\partial f}{\partial y}\right)^2}$$

Source: Steve Seitz

# 2D discrete derivative filters

$$\frac{1}{3} \begin{bmatrix} -1 & 0 & 1 \\ -1 & 0 & 1 \\ -1 & 0 & 1 \end{bmatrix}$$

$$\frac{1}{3} \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ -1 & -1 & -1 \end{bmatrix}$$

Normally, don't write

$$I = \begin{bmatrix} 10 & 10 & 20 & 20 & 20 \\ 10 & 10 & 20 & 20 & 20 \\ 10 & 10 & 20 & 20 & 20 \\ 10 & 10 & 20 & 20 & 20 \\ 10 & 10 & 20 & 20 & 20 \end{bmatrix}$$

$$I_x = \begin{bmatrix} 0 & 10 & 10 & 0 & 0 \\ 0 & 10 & 10 & 0 & 0 \\ 0 & 10 & 10 & 0 & 0 \\ 0 & 10 & 10 & 0 & 0 \\ 0 & 10 & 10 & 0 & 0 \end{bmatrix}$$

$$I_y = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

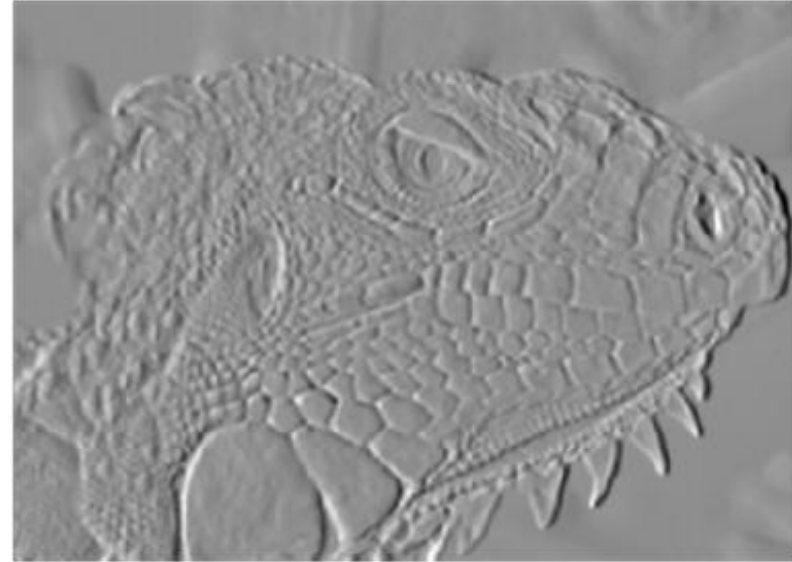
# 3x3 image gradient filters

Prewitt operator

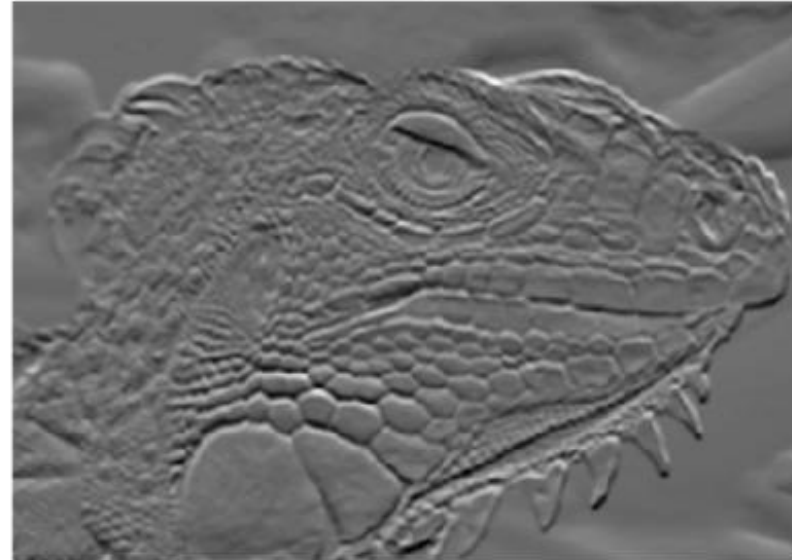


$$\begin{bmatrix} -1 & 0 & 1 \\ -1 & 0 & 1 \\ -1 & 0 & 1 \end{bmatrix}$$

Derivative in x direction



Derivative in y direction



# Sobel Operator

$$\mathbf{G}_x = \begin{bmatrix} +1 & 0 & -1 \\ +2 & 0 & -2 \\ +1 & 0 & -1 \end{bmatrix} \quad \mathbf{G}_y = \begin{bmatrix} +1 & +2 & +1 \\ 0 & 0 & 0 \\ -1 & -2 & -1 \end{bmatrix}$$

Sobel operation = Smoothing + differentiation

$$\mathbf{G}_x = \begin{bmatrix} +1 & 0 & -1 \\ +2 & 0 & -2 \\ +1 & 0 & -1 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} \begin{bmatrix} +1 & 0 & -1 \end{bmatrix}$$

Gaussian smoothing                      differentiation

# Other Edge operators

-1	0	0	-1
0	1	1	0

Roberts

$$\begin{bmatrix} +3 & 0 & -3 \\ +10 & 0 & -10 \\ +3 & 0 & -3 \end{bmatrix}$$

Scharr-x

$$\begin{bmatrix} +3 & +10 & +3 \\ 0 & 0 & 0 \\ -3 & -10 & -3 \end{bmatrix}$$

Scharr-y

<table><tr><td>-1</td><td>-1</td><td>-1</td></tr><tr><td>0</td><td>0</td><td>0</td></tr><tr><td>1</td><td>1</td><td>1</td></tr></table>	-1	-1	-1	0	0	0	1	1	1	<table><tr><td>-1</td><td>0</td><td>1</td></tr><tr><td>-1</td><td>0</td><td>1</td></tr><tr><td>-1</td><td>0</td><td>1</td></tr></table>	-1	0	1	-1	0	1	-1	0	1	<table><tr><td>1</td><td>1</td><td>1</td></tr><tr><td>0</td><td>0</td><td>0</td></tr><tr><td>-1</td><td>-1</td><td>-1</td></tr></table>	1	1	1	0	0	0	-1	-1	-1	<table><tr><td>1</td><td>0</td><td>-1</td></tr><tr><td>1</td><td>0</td><td>-1</td></tr><tr><td>1</td><td>0</td><td>-1</td></tr></table>	1	0	-1	1	0	-1	1	0	-1
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SE	SW	NW	NE																																				

Kirsch compass operator



# Simple Edge Detector

## □ Gradient based

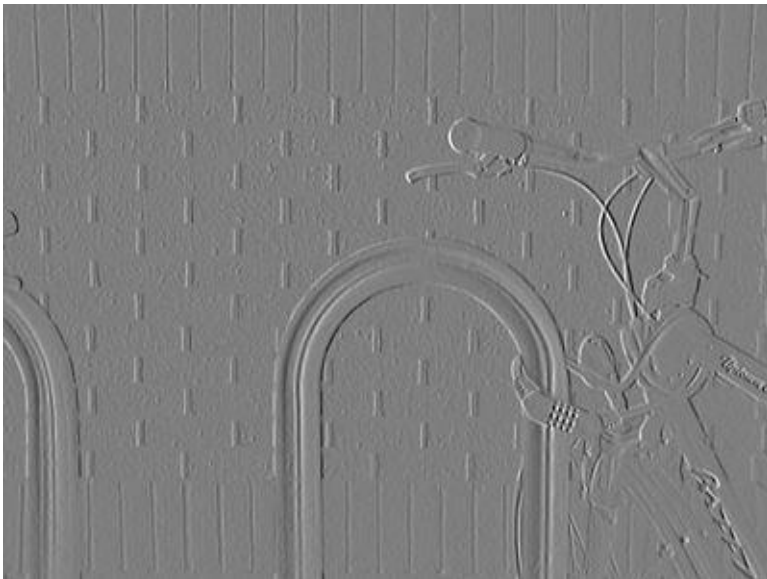
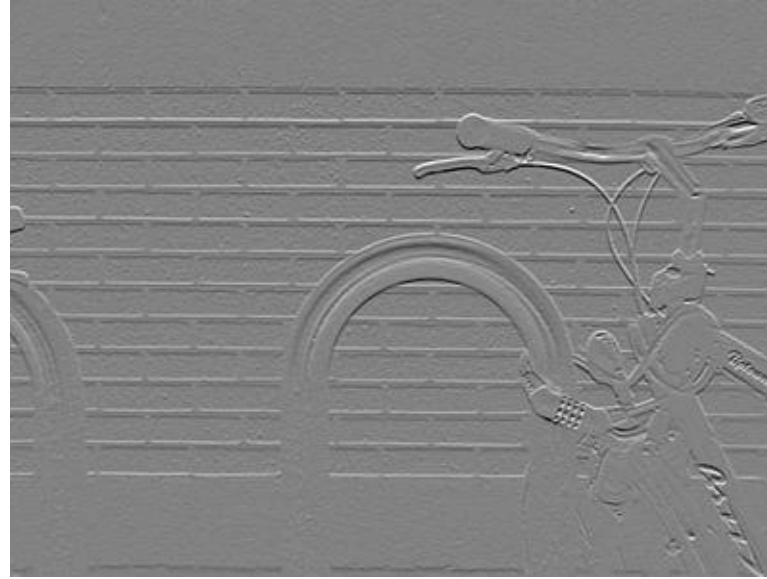
### ❑ Compute gradient magnitude

- Use Sobel or any other edge operator
- Optionally smooth before computing gradient

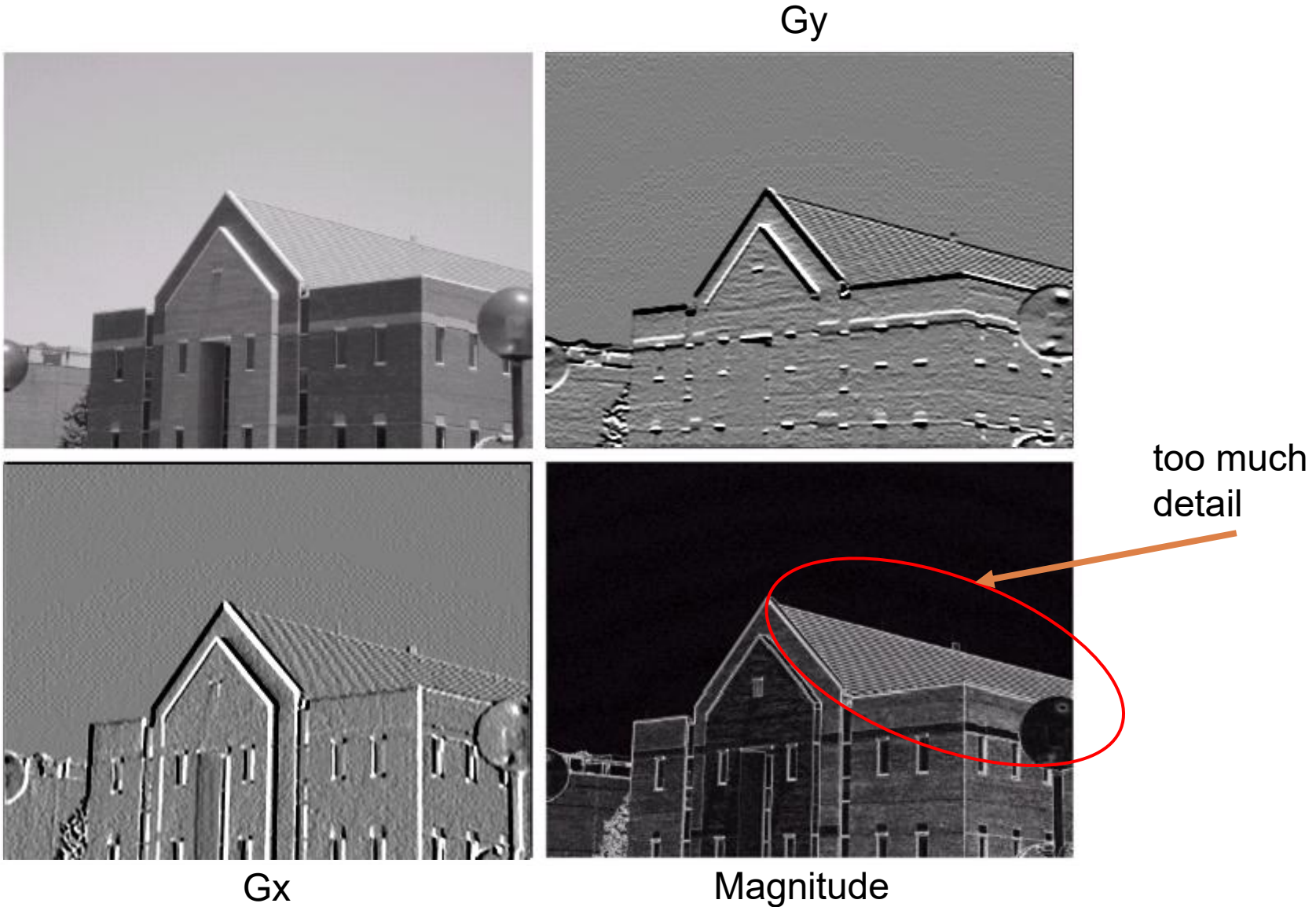
### ❑ Apply thresholding

$$G = \sqrt{G_x^2 + G_y^2}$$

# Simple Edge Detector – Sobel based



# Simple Edge Detector – Sobel based



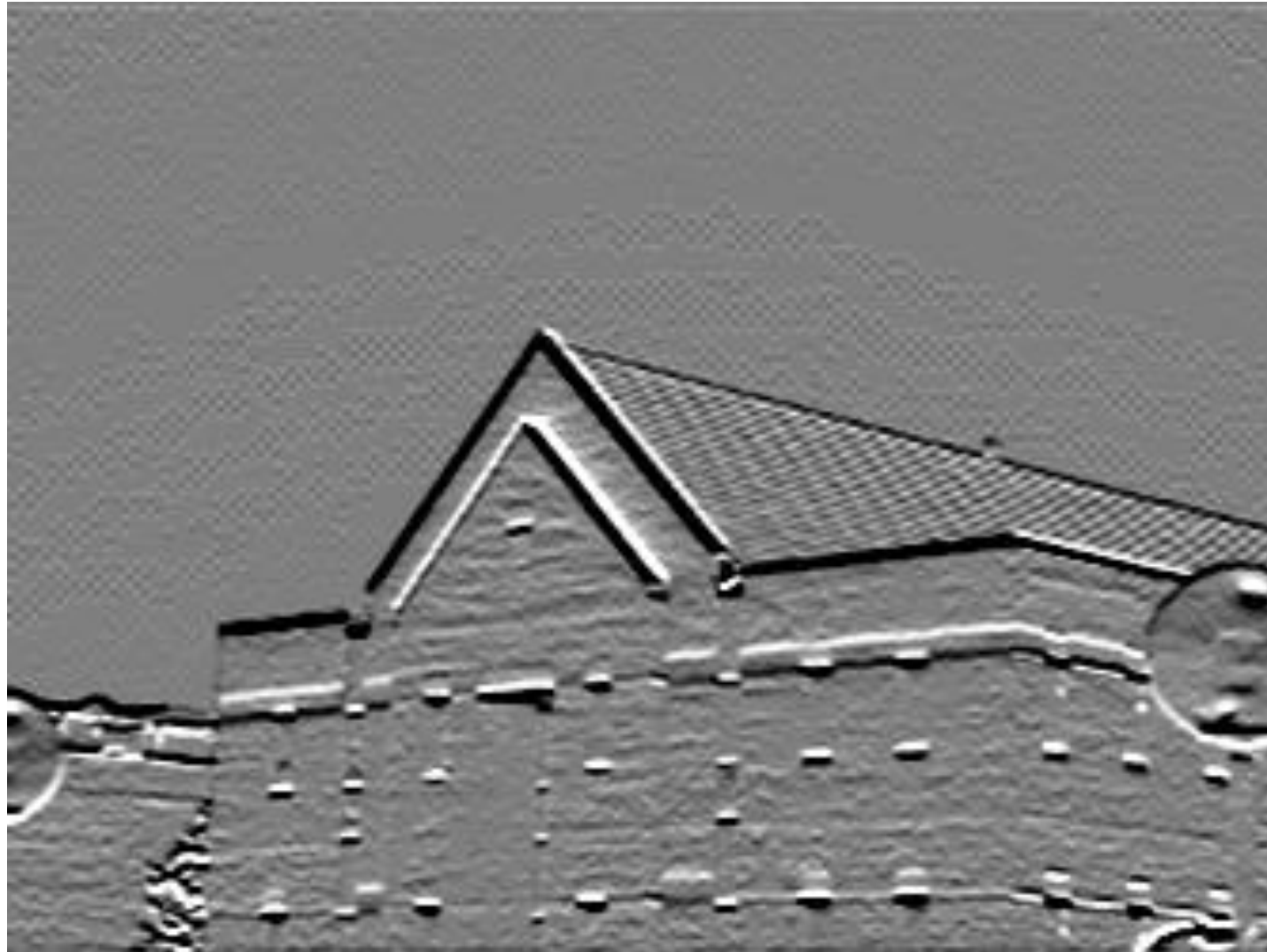
# Edge Detection Example

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# Edge Detection Example

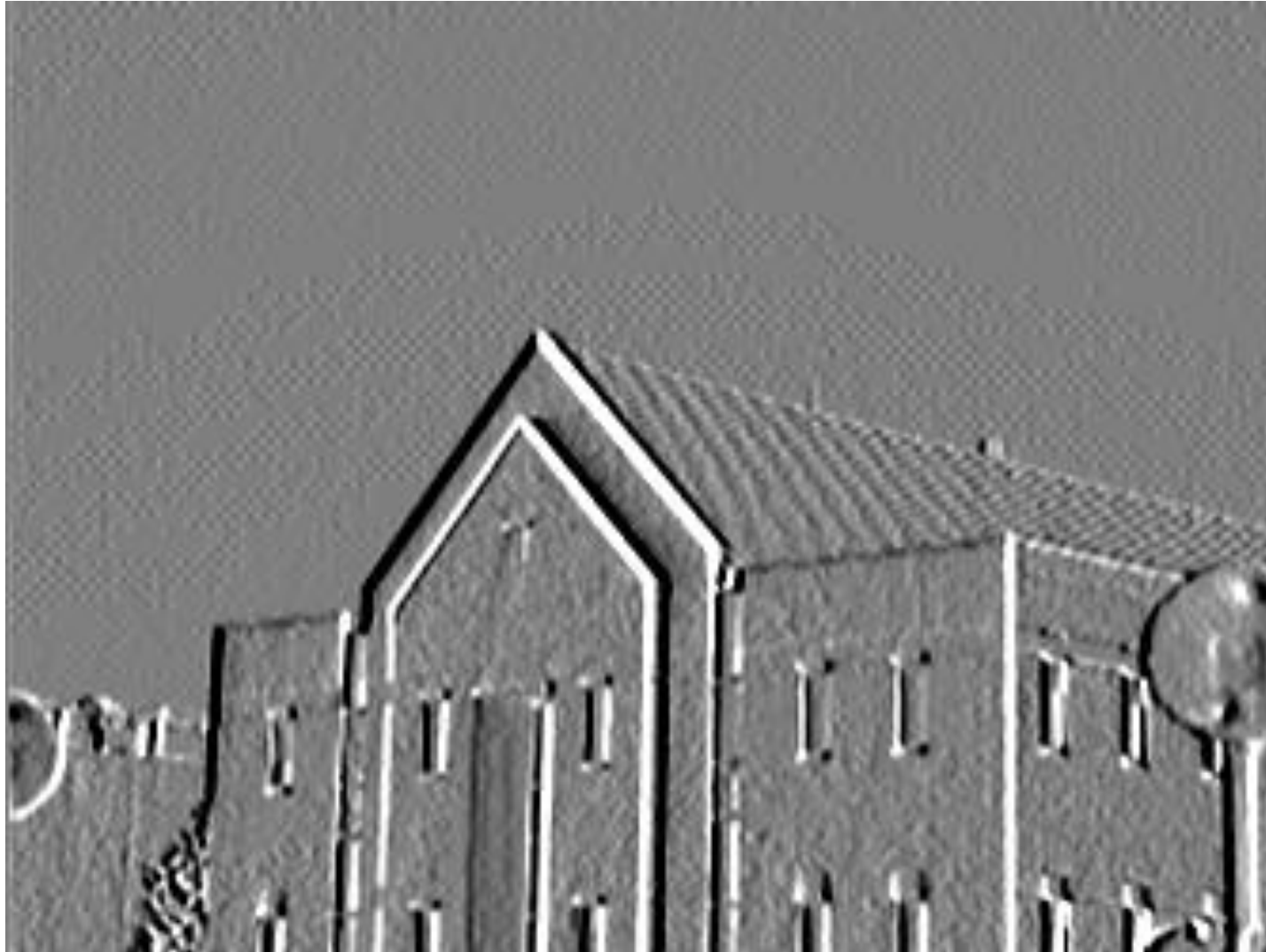
Horizontal Gradient Component





# Edge Detection Example

Vertical Gradient Component



# Edge Detection Example



# Edge Detection Problems

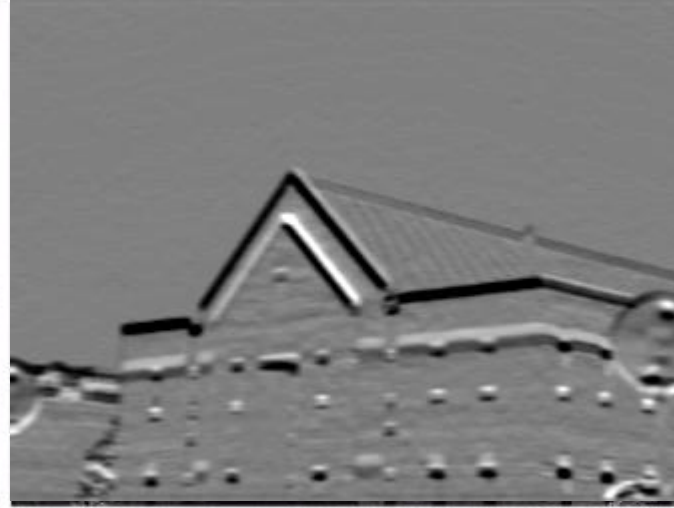
---

- Often, problems arise in edge detection in that there are is too much detail
- For example, the brickwork in the previous example
- One way to overcome this is to smooth images prior to edge detection

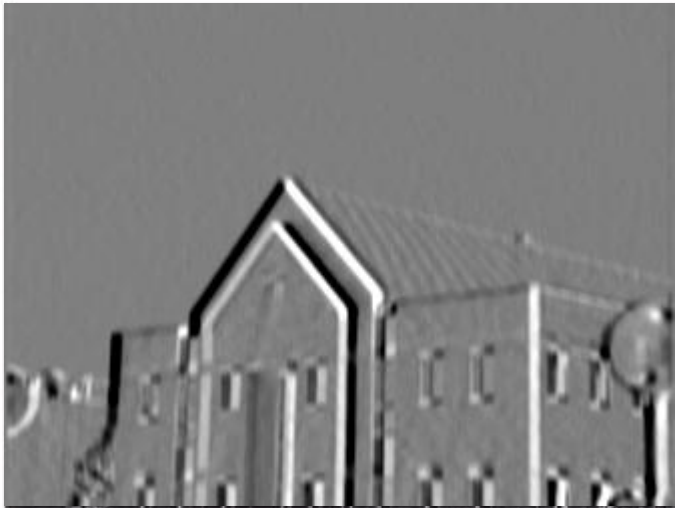


# Simple Edge Detector – Sobel based

- With Smoothing - 5x5 average filter



Gy

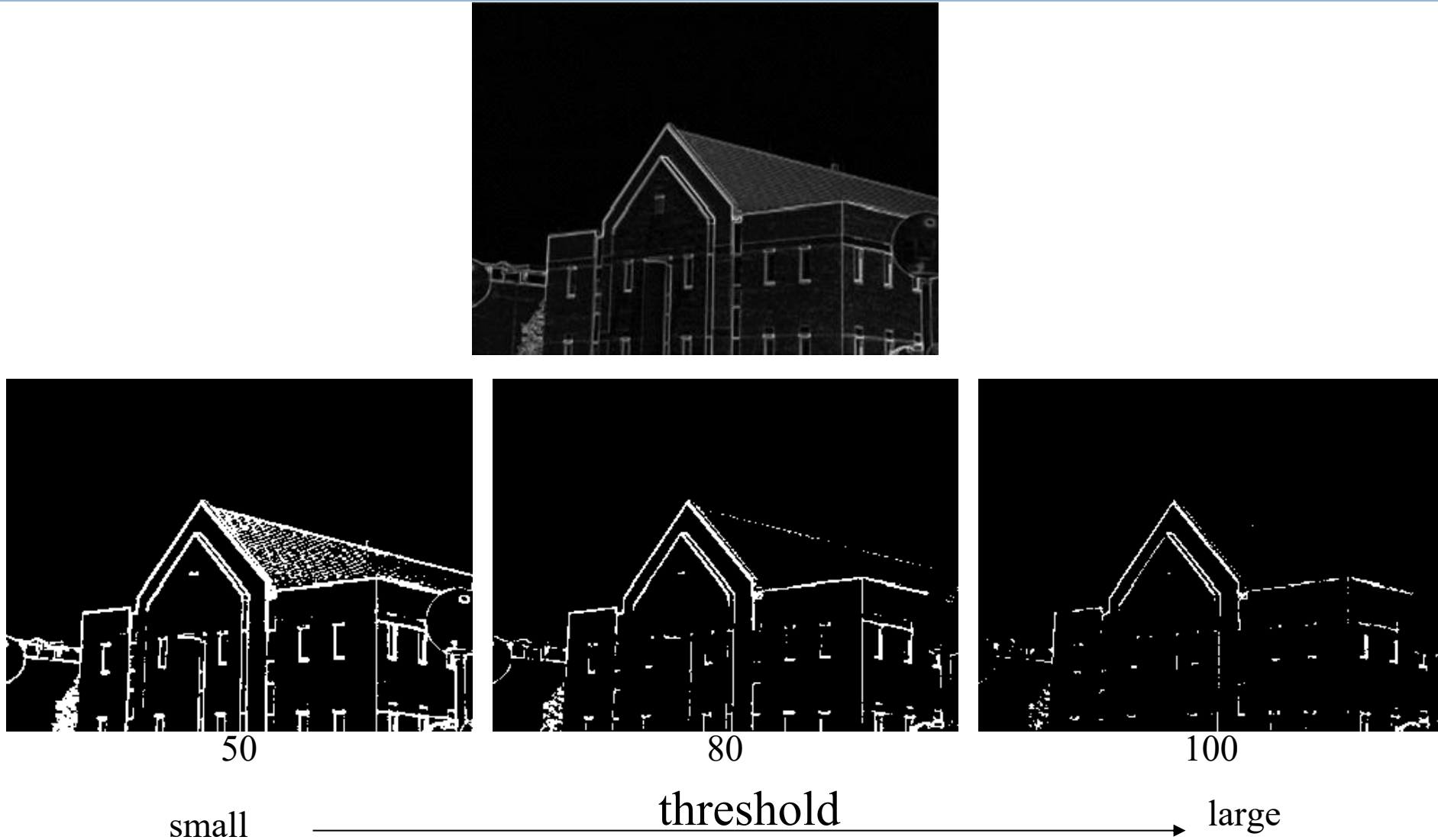


Gx



Magnitude

# Simple Edge Detector - threshold

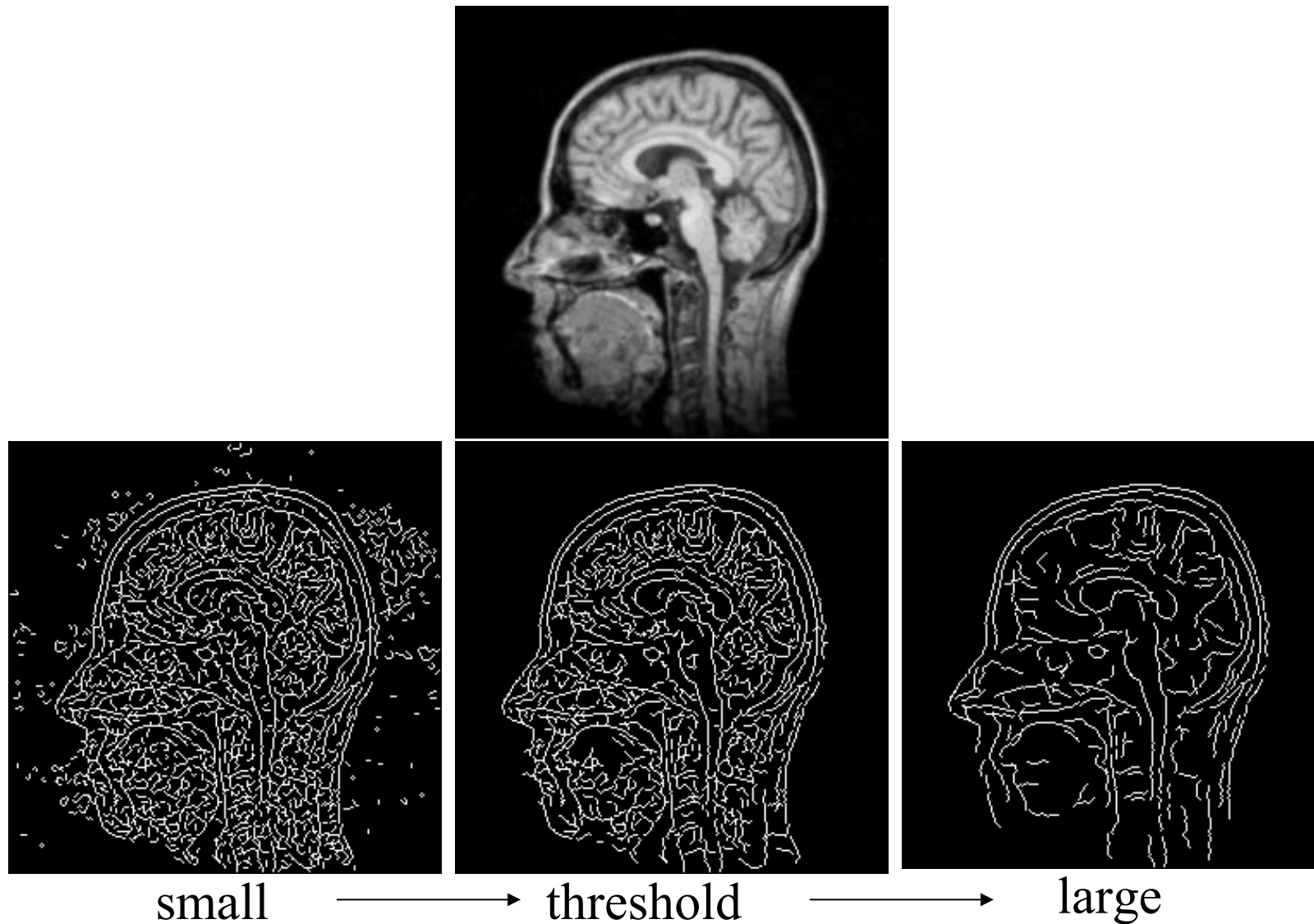


# Simple Edge Detector

## □ Issues

- ❑ Poor Localization (Trigger response in multiple adjacent pixels)
- ❑ Thresholding value favors certain directions over others
- ❑ Sobel can miss oblique edges more than horizontal or vertical edges
- ❑ False negatives

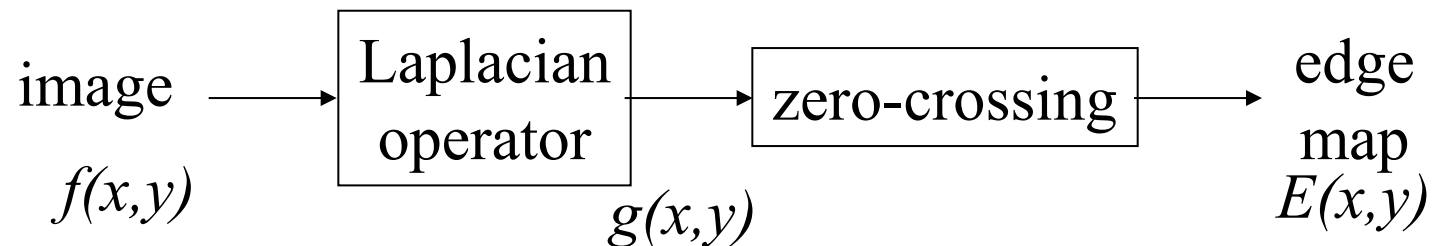
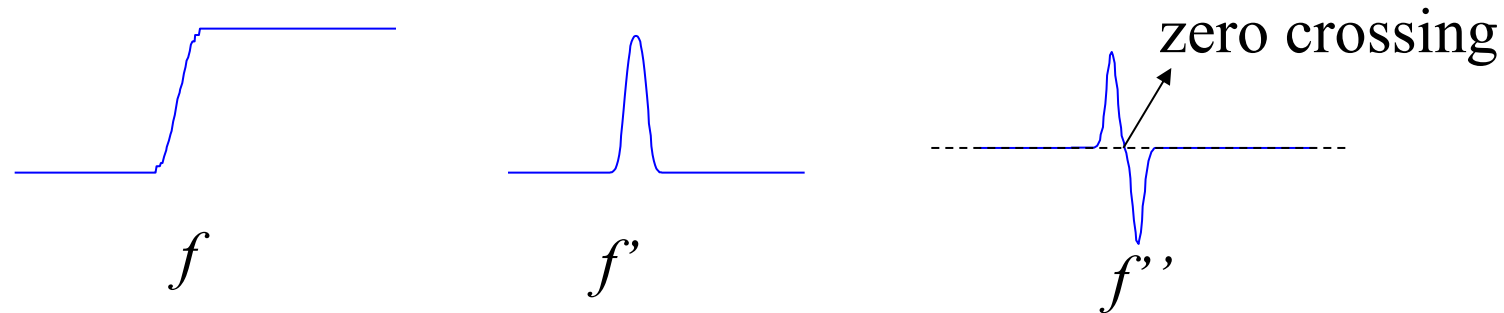
# Combining Gradient with thresholds



# Laplacian Edge Detection

- We encountered the 2<sup>nd</sup>-order derivative based Laplacian filter already

0	-1	0	-1	-1	-1
-1	4	-1	-1	8	-1
0	-1	0	-1	-1	-1

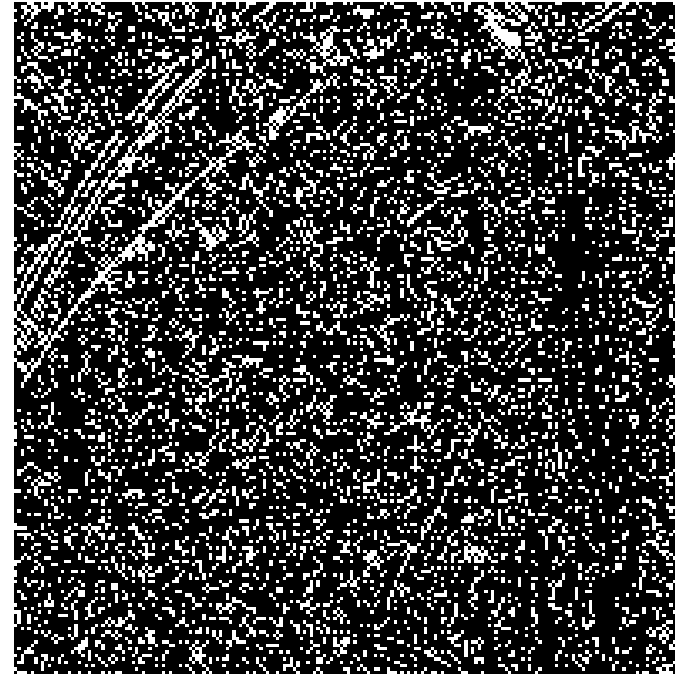


# Laplacian Edge Detection

## Examples



original image



zero-crossings

**Question:** why is it so sensitive to noise (many false alarms)?

**Answer:** a sign flip from 0.01 to -0.01 is treated the same as  
from 100 to -100

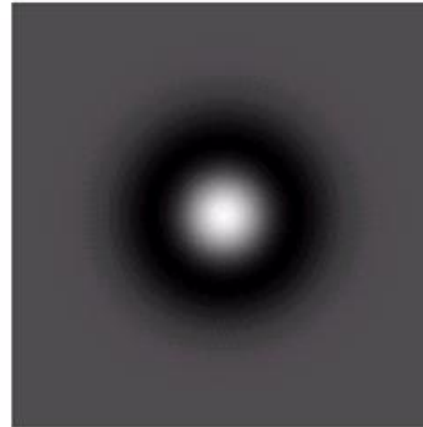
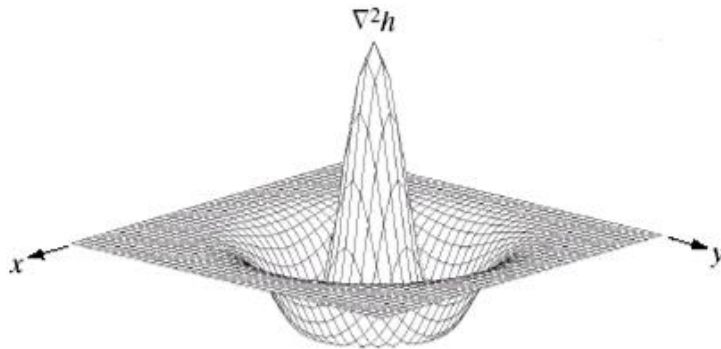
# Ideas to Improve Robustness (SS)

- The Laplacian is typically not used by itself as it is too sensitive to noise
- Linear filtering
  - ▣ Use a Gaussian filter to smooth out noise component → **Laplacian of Gaussian (LoG) filter (Marr – Hildreth operator)**
- Spatially-adaptive (Nonlinear) processing
  - ▣ Apply different detection strategies to smooth areas (low-variance) and non-smooth areas (high-variance) → Robust Laplacian edge detector
- Return single response to edges (not multiple edge pixels)
  - ▣ Hysteresis thresholding → Canny's edge detector

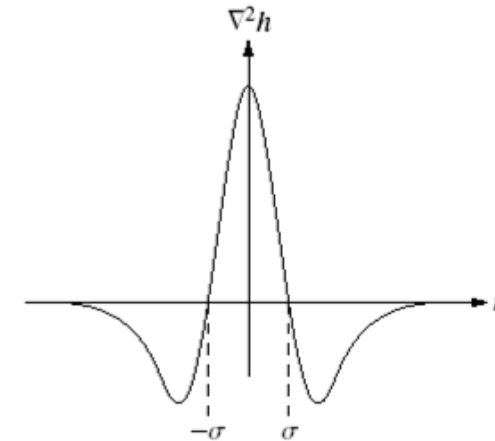
# Laplacian Of Gaussian

- The Laplacian of Gaussian (or Mexican hat) filter uses the Gaussian for noise removal and the Laplacian for edge detection

$$\nabla^2 G(x, y) = \left[ \frac{x^2 + y^2 - 2\sigma^2}{\sigma^4} \right] e^{-\frac{x^2 + y^2}{2\sigma^2}}$$

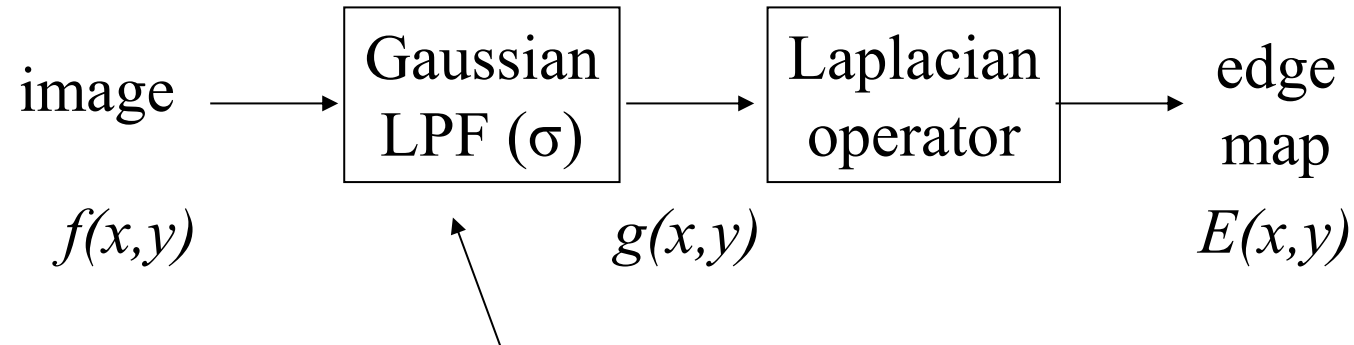


0	0	-1	0	0
0	-1	-2	-1	0
-1	-2	16	-2	-1
0	-1	-2	-1	0
0	0	-1	0	0





# Laplacian Of Gaussian

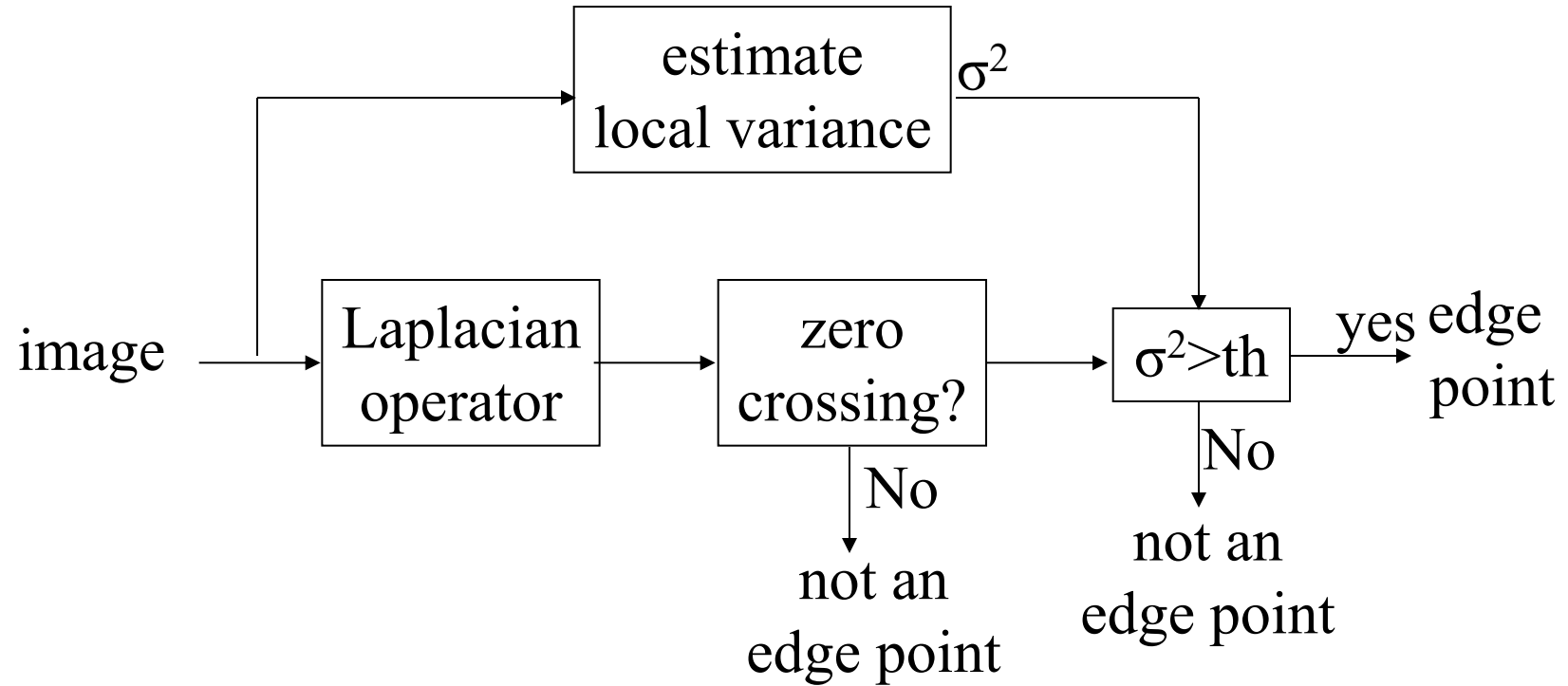


Pre-filtering: attenuate the noise sensitivity of the Laplacian

Better than  
Laplacian  
alone but still  
sensitive due to  
zero crossing



# Robust Laplacian-based Edge Detector



# Robust Laplacian-based Edge Detector

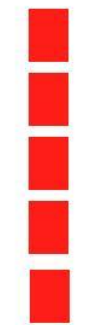


More robust but return multiple edge pixels  
(poor localization)

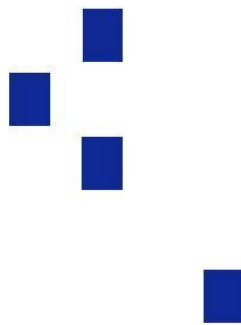
# Designing an edge detector

## □ Criteria for an “optimal” edge detector:

- ❓ **Good detection:** must minimize the probability of false positives (detecting spurious edges caused by noise), as well as that of false negatives (missing real edges)
- ❓ **Good localization:** the edges detected must be as close as possible to the true edges
- ❓ **Single response:** must return one point only for each true edge point; that is, minimize the number of local maxima around the true edge



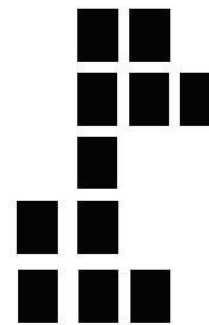
True  
edge



Poor robustness  
to noise



Poor  
localization



Too many  
responses

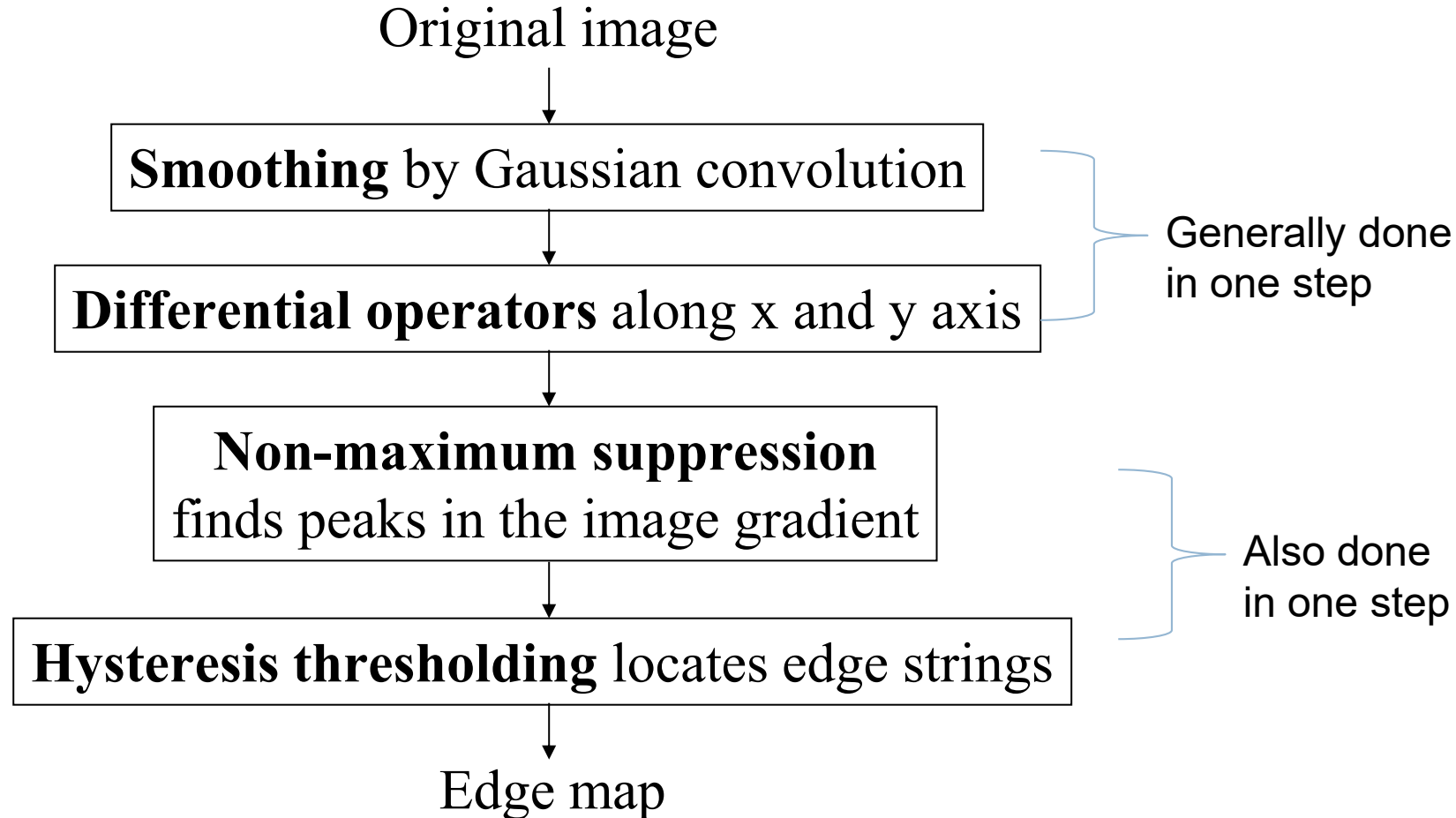
# Canny Edge Detector

---

- most widely used edge detector in computer vision
- Low error rate of detection
  - ▣ Well match human perception results
- Good localization of edges
  - ▣ The distance between actual edges in an image and the edges found by a computational algorithm should be minimized
- Single response
  - ▣ The algorithm should not return multiple edges pixels when only a single one exists

# Flow-chart of Canny Edge Detector\*

(J. Canny'1986)



# Example

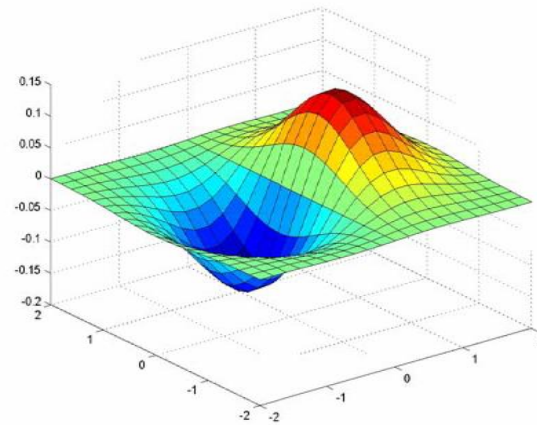
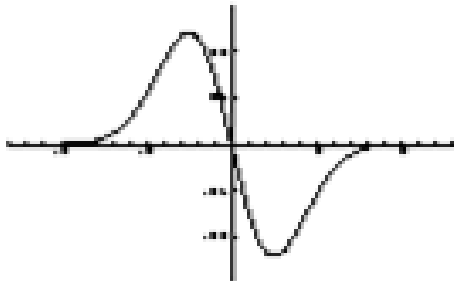
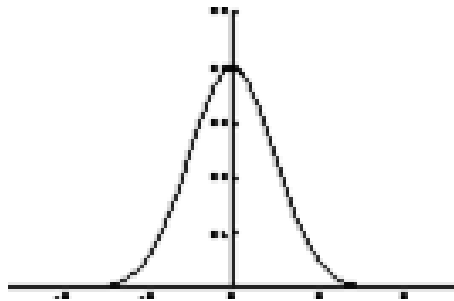


original image (Lena)

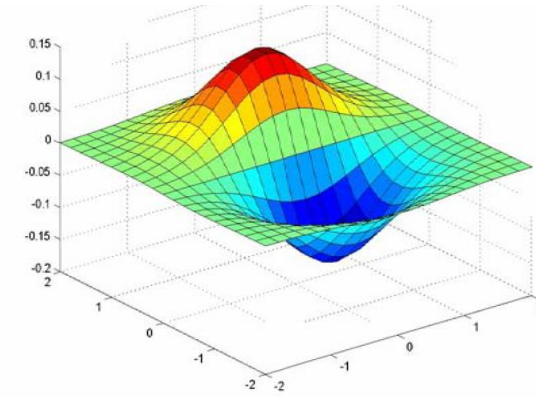
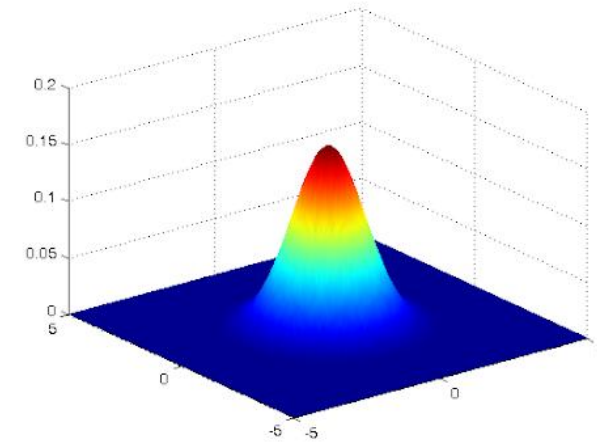
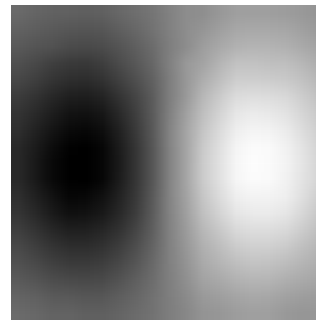
# Derivative of Gaussian filter

$$G(x, y) = \frac{1}{2\pi\sigma^2} e^{\frac{-(x^2+y^2)}{2\sigma^2}} = \frac{1}{2\pi\sigma^2} e^{\frac{-r^2}{2\sigma^2}} = G(r)$$

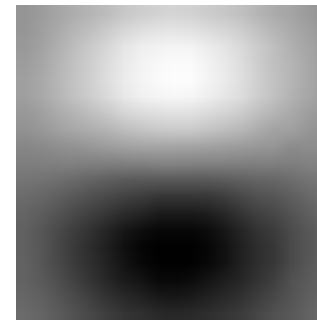
$$G'(r) = \frac{-r}{\sigma^2} G(r)$$



x-direction



y-direction





# Compute Gradients



X-Derivative of Gaussian



Y-Derivative of Gaussian



Gradient Magnitude

# Get Orientation at Each Pixel

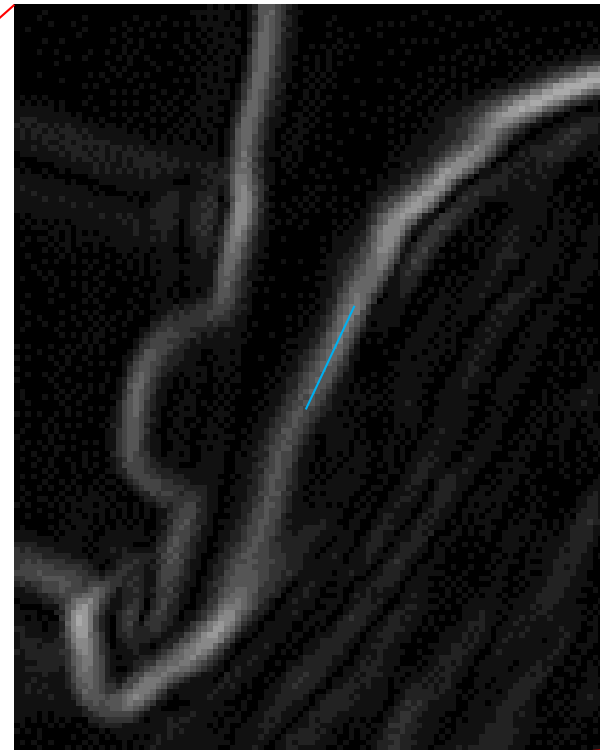
- Get orientation



$$\text{theta} = \text{atan2}(\text{Gy}, \text{Gx})$$

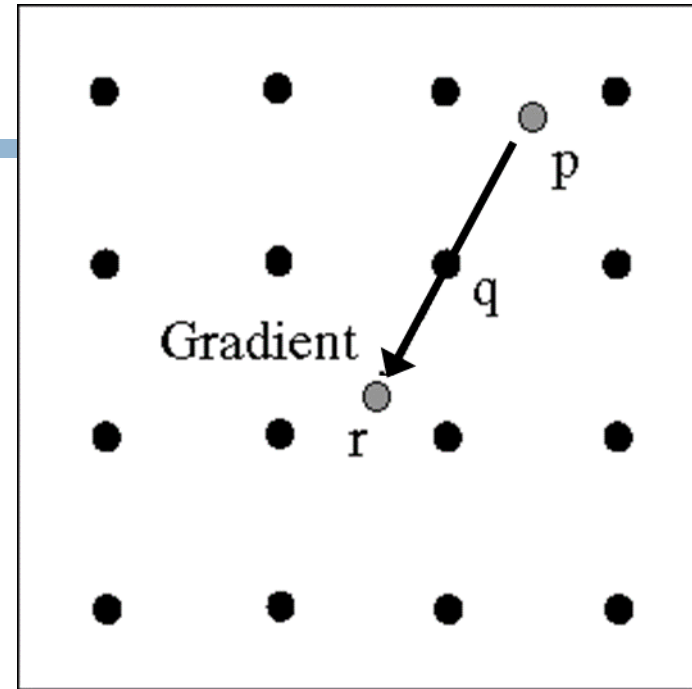
# Non-maximum suppression

- Edge occurs where gradient reaches a maxima
- Suppress non-maxima gradient even if it passes threshold
- Only eight angle directions possible
  - ▢ Suppress all pixels in each direction which are not maxima
  - ▢ Do this in each marked pixel neighborhood



# Non-maximum suppression

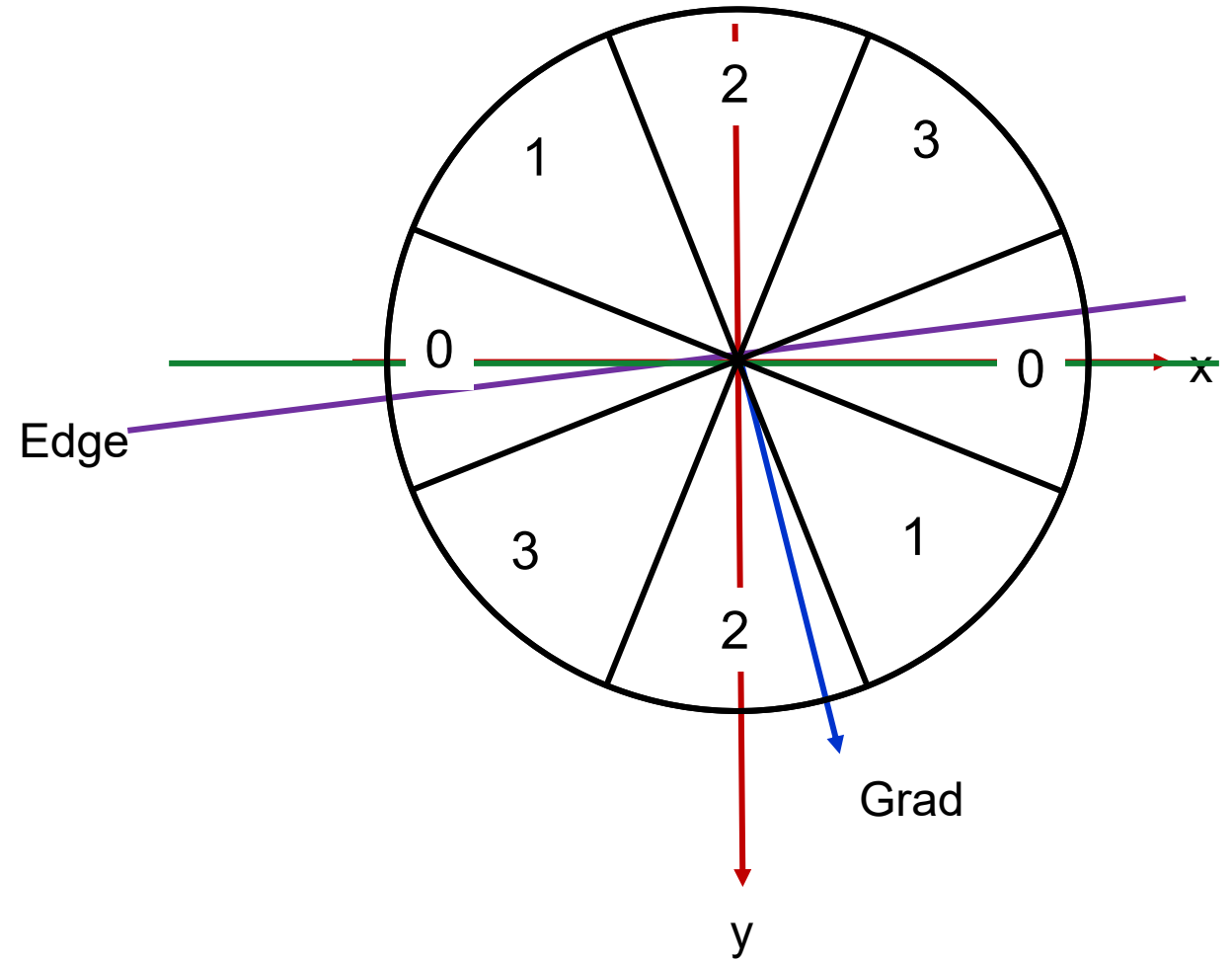
At  $q$ , we have a maximum if the value is larger than those at both  $p$  and at  $r$ .



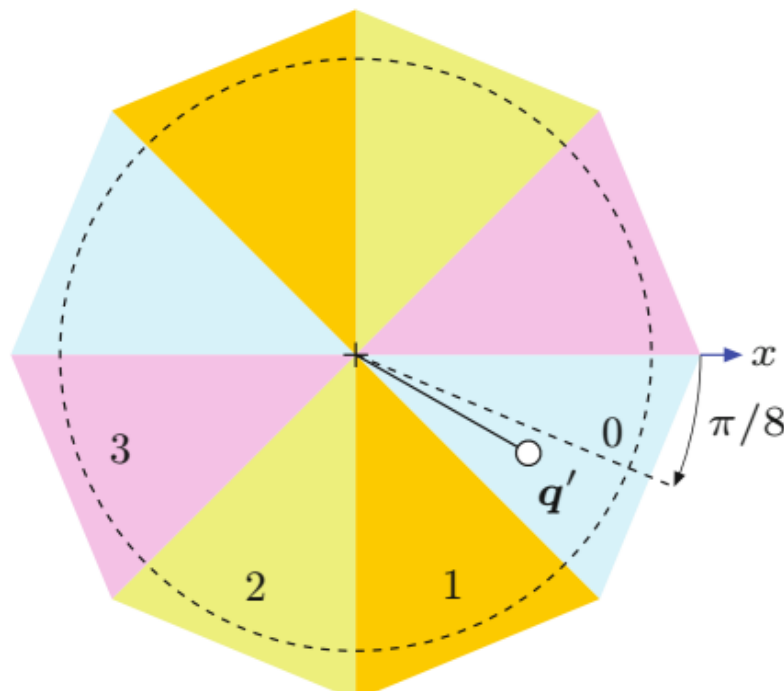
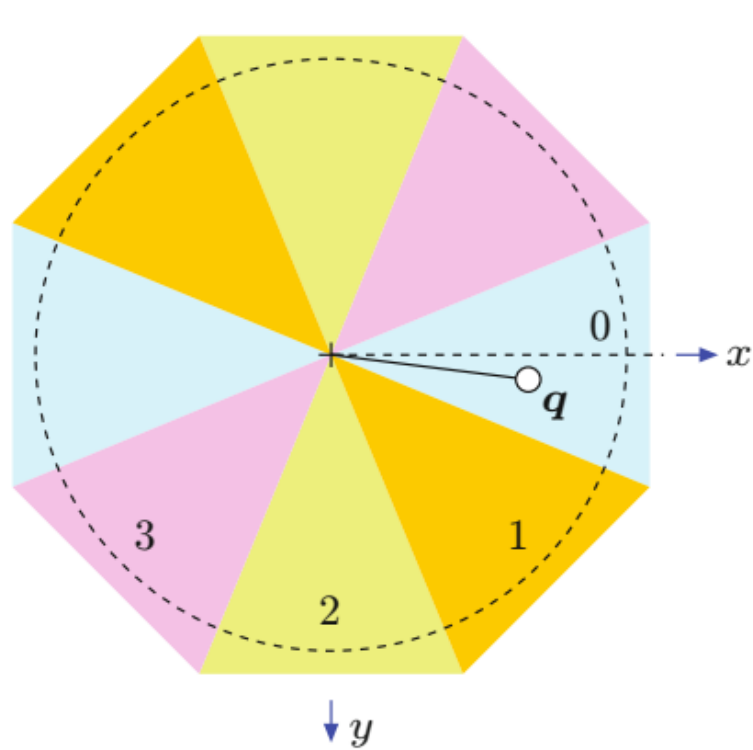
$$M(q) = \begin{cases} |\nabla f(q)| & \text{if } |\nabla f(q)| > |\nabla f(p)| \\ & \text{and } |\nabla f(q)| > |\nabla f(r)| \\ 0 & \text{otherwise} \end{cases}$$

$q$  = current pixel  $(x,y)$ ,  
 $p, r$  = neighbor positions along gradient direction  
**Interpolate** to get magnitude of these values.

# Non-maximum suppression – avoid **tan** function



# Non-maximum suppression – avoid **tan** function

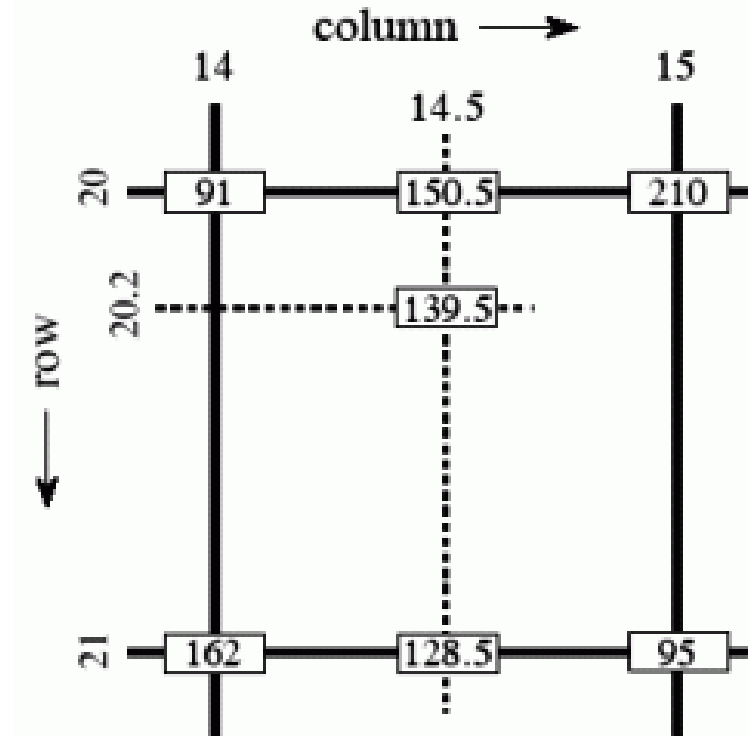
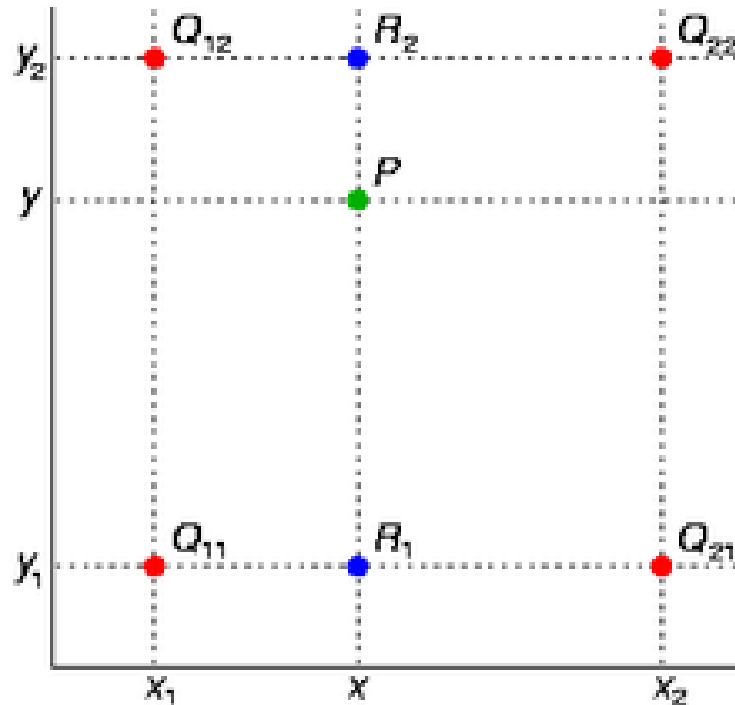


$$\begin{pmatrix} d'_x \\ d'_y \end{pmatrix} \leftarrow \begin{pmatrix} \cos(\pi/8) & -\sin(\pi/8) \\ \sin(\pi/8) & \cos(\pi/8) \end{pmatrix} \cdot \begin{pmatrix} d_x \\ d_y \end{pmatrix}$$

$$s_\theta \leftarrow \begin{cases} 0 & \text{if } (d'_x \geq 0) \wedge (d'_x \geq d'_y) \\ 1 & \text{if } (d'_x \geq 0) \wedge (d'_x < d'_y) \\ 2 & \text{if } (d'_x < 0) \wedge (-d'_x < d'_y) \\ 3 & \text{if } (d'_x < 0) \wedge (-d'_x \geq d'_y) \end{cases}$$

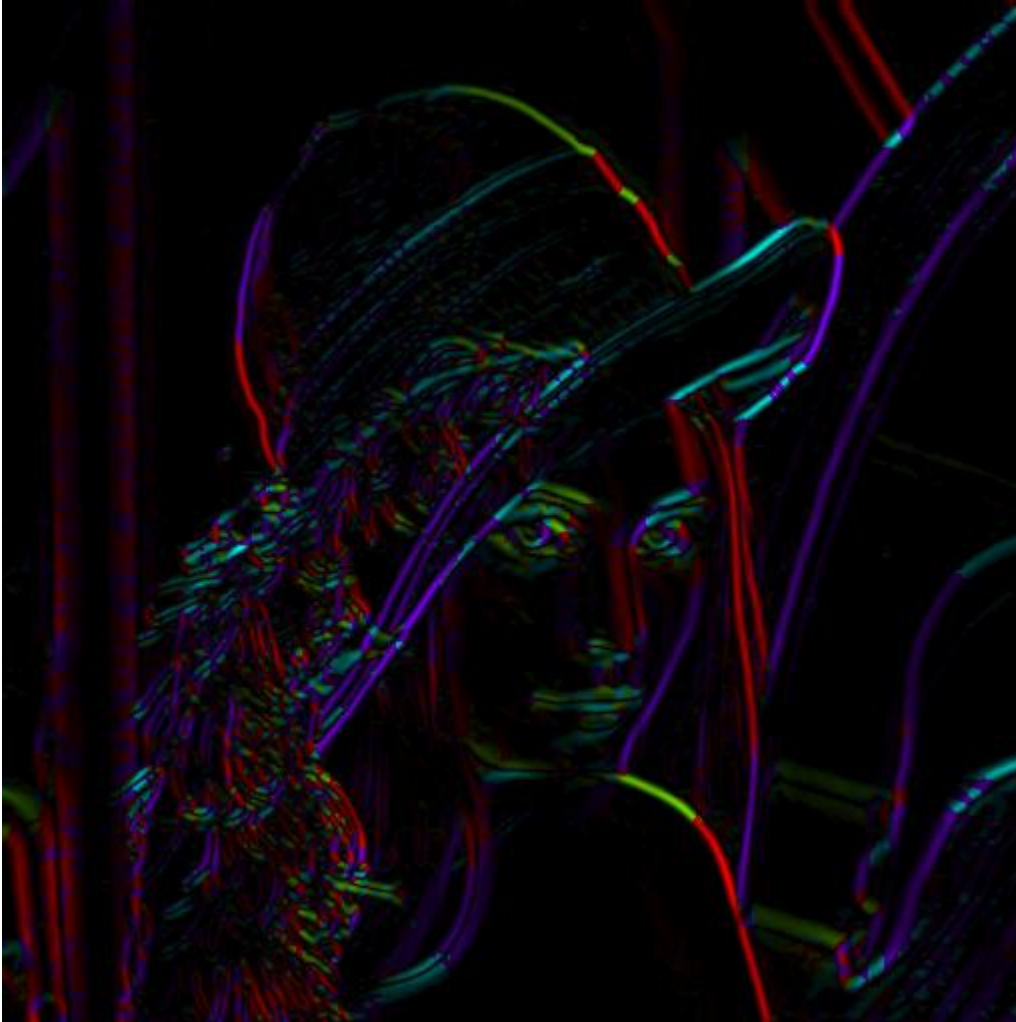
# Sidebar: Bilinear Interpolation

$$f(x, y) \approx \begin{bmatrix} 1-x & x \end{bmatrix} \begin{bmatrix} f(0,0) & f(0,1) \\ f(1,0) & f(1,1) \end{bmatrix} \begin{bmatrix} 1-y \\ y \end{bmatrix}.$$





# Before Non-max Suppression





# After non-max suppression



# Before Non-max Suppression



# After non-max suppression



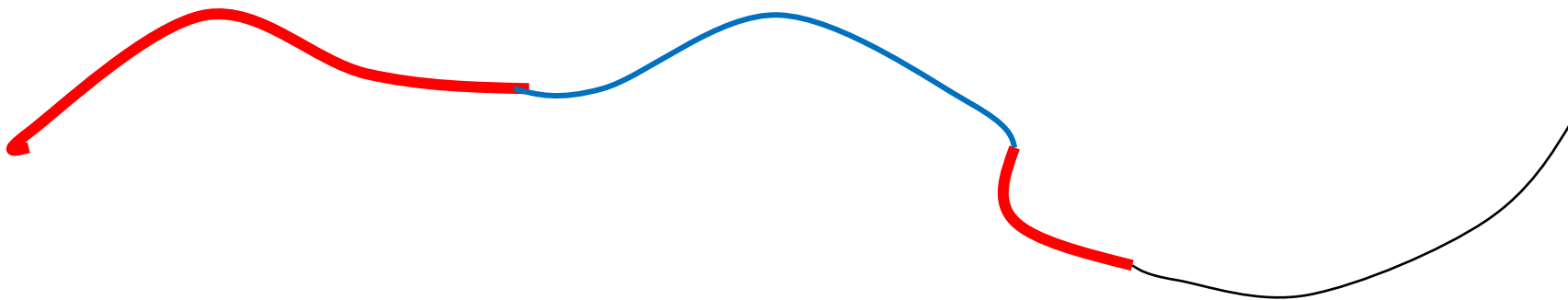
# Hysteresis thresholding

- Threshold at low/high levels to get weak/strong edge pixels
- Do connected components, starting from strong edge pixels



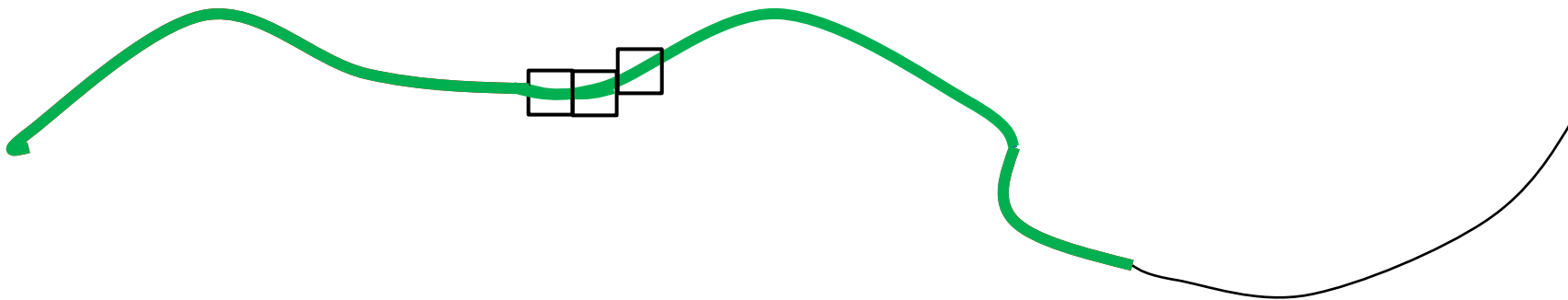
# Hysteresis Threshold

- Canny uses hysteresis threshold to fill up the narrow gap along the edge.
- Uses two threshold - high threshold  $T_h$  and low threshold  $T_l$ .
- Pixels with gray values greater than  $T_h$  is set as the initial edge pixels
- Pixels are set as background if their values are lower than  $T_l$ .



# Hysteresis Threshold

- A following recursive process takes charge of pixels lying between  $T_h$  and  $T_l$ .
  - ❑ Let, call them as vibrating pixels.
  - ❑ The recurs checks 8-adjacent neighbors of each initial edge pixel to see whether there are vibrating pixels.
  - ❑ Vibrating pixels will be added to the initial edge pixel set.
  - ❑ This process will go on until all the initial edge pixels have been recursed, and vibrating pixels that have not been visited will be set as background pixel.



# Hysteresis thresholding

Hysteresis  
threshold



# Canny Algorithm

1: **CannyEdgeDetector**( $I, \sigma, t_{hi}, t_{lo}$ )

Input:  $I$ , a grayscale image of size  $M \times N$ ;  $\sigma$ , scale (radius of Gaussian filter  $H^{G,\sigma}$ );  $t_{hi}$ ,  $t_{lo}$ , hysteresis thresholds ( $t_{hi} > t_{lo}$ ).  
Returns a binary edge map of size  $M \times N$ .

2:  $\bar{I} \leftarrow I * H^{G,\sigma}$   $\triangleright$  blur with Gaussian of width  $\sigma$

3:  $\bar{I}_x \leftarrow \bar{I} * \begin{bmatrix} -0.5 & 0 & 0.5 \end{bmatrix}$   $\triangleright$   $x$ -gradient

4:  $\bar{I}_y \leftarrow \bar{I} * \begin{bmatrix} -0.5 & 0 & 0.5 \end{bmatrix}^\top$   $\triangleright$   $y$ -gradient

5:  $(M, N) \leftarrow \text{Size}(I)$

6: Create maps:

7:  $E_{\text{mag}} : M \times N \mapsto \mathbb{R}$   $\triangleright$  gradient magnitude

8:  $E_{\text{nms}} : M \times N \mapsto \mathbb{R}$   $\triangleright$  maximum magnitude

9:  $E_{\text{bin}} : M \times N \mapsto \{0, 1\}$   $\triangleright$  binary edge pixels

10: **for all** image coordinates  $(u, v) \in M \times N$  **do**

11:  $E_{\text{mag}}(u, v) \leftarrow [\bar{I}_x^2(u, v) + \bar{I}_y^2(u, v)]^{1/2}$

12:  $E_{\text{nms}}(u, v) \leftarrow 0$

13:  $E_{\text{bin}}(u, v) \leftarrow 0$



# Canny Algorithm

```
14:   for  $u \leftarrow 1, \dots, M-2$  do
15:     for  $v \leftarrow 1, \dots, N-2$  do
16:        $d_x \leftarrow \bar{I}_x(u, v), \quad d_y \leftarrow \bar{I}_y(u, v)$ 
17:        $s_\theta \leftarrow \text{GetOrientationSector}(d_x, d_y)$   $\triangleright$  Alg. 6.2
18:       if  $\text{IsLocalMax}(E_{\text{mag}}, u, v, s_\theta, \mathbf{t}_{\text{lo}})$  then  $\triangleright$  Alg. 6.2
19:          $E_{\text{nms}}(u, v) \leftarrow E_{\text{mag}}(u, v)$   $\triangleright$  only keep local maxima
20:     for  $u \leftarrow 1, \dots, M-2$  do
21:       for  $v \leftarrow 1, \dots, N-2$  do
22:         if  $(E_{\text{nms}}(u, v) \geq \mathbf{t}_{\text{hi}}) \wedge (E_{\text{bin}}(u, v) = 0)$  then
23:            $\text{TraceAndThreshold}(E_{\text{nms}}, E_{\text{bin}}, u, v, \mathbf{t}_{\text{lo}})$   $\triangleright$  Alg. 6.2
24:   return  $E_{\text{bin}}$ .
```

# Canny Algorithm

1: **GetOrientationSector**( $d_x, d_y$ )

Returns the discrete octant  $s_\theta$  for the orientation vector  $(d_x, d_y)^\top$ .  
See Fig. 6.13 for an illustration.

2:  $\begin{pmatrix} d'_x \\ d'_y \end{pmatrix} \leftarrow \begin{pmatrix} \cos(\pi/8) & -\sin(\pi/8) \\ \sin(\pi/8) & \cos(\pi/8) \end{pmatrix} \cdot \begin{pmatrix} d_x \\ d_y \end{pmatrix}$   $\triangleright$  rotate  $\begin{pmatrix} d_x \\ d_y \end{pmatrix}$  by  $\pi/8$

3: **if**  $d'_y < 0$  **then**

4:  $d'_x \leftarrow -d'_x, \quad d'_y \leftarrow -d'_y$   $\triangleright$  mirror to octants  $0, \dots, 3$

5:  $s_\theta \leftarrow \begin{cases} 0 & \text{if } (d'_x \geq 0) \wedge (d'_x \geq d'_y) \\ 1 & \text{if } (d'_x \geq 0) \wedge (d'_x < d'_y) \\ 2 & \text{if } (d'_x < 0) \wedge (-d'_x < d'_y) \\ 3 & \text{if } (d'_x < 0) \wedge (-d'_x \geq d'_y) \end{cases}$

6: **return**  $s_\theta$ .  $\triangleright$  sector index  $s_\theta \in \{0, 1, 2, 3\}$

# Canny Algorithm

7: **IsLocalMax**( $E_{\text{mag}}, u, v, s_{\theta}, t_{\text{lo}}$ )

Determines if the gradient magnitude  $E_{\text{mag}}$  is a local maximum at position  $(u, v)$  in direction  $s_{\theta} \in \{0, 1, 2, 3\}$ .

8:  $m_C \leftarrow E_{\text{mag}}(u, v)$

9: **if**  $m_C < t_{\text{lo}}$  **then**

10:     **return** false

11: **else**

12:      $m_L \leftarrow \begin{cases} E_{\text{mag}}(u-1, v) & \text{if } s_{\theta} = 0 \\ E_{\text{mag}}(u-1, v-1) & \text{if } s_{\theta} = 1 \\ E_{\text{mag}}(u, v-1) & \text{if } s_{\theta} = 2 \\ E_{\text{mag}}(u-1, v+1) & \text{if } s_{\theta} = 3 \end{cases}$

13:      $m_R \leftarrow \begin{cases} E_{\text{mag}}(u+1, v) & \text{if } s_{\theta} = 0 \\ E_{\text{mag}}(u+1, v+1) & \text{if } s_{\theta} = 1 \\ E_{\text{mag}}(u, v+1) & \text{if } s_{\theta} = 2 \\ E_{\text{mag}}(u+1, v-1) & \text{if } s_{\theta} = 3 \end{cases}$

14:     **return**  $(m_L \leq m_C) \wedge (m_C \geq m_R)$ .

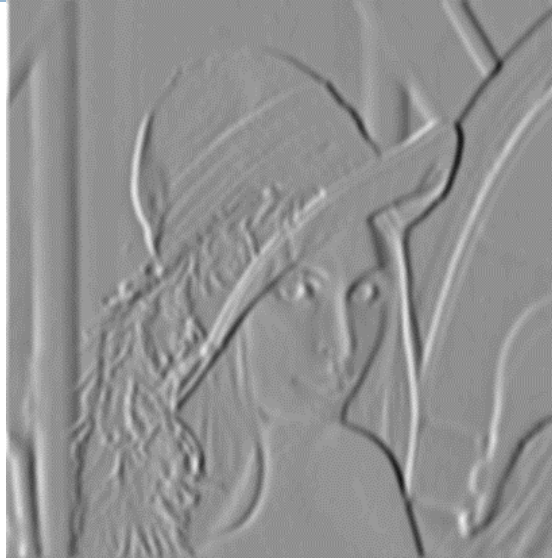
# Canny Algorithm

```
15: TraceAndThreshold( $E_{\text{nms}}, E_{\text{bin}}, u_0, v_0, t_{\text{lo}}$ )  
    Recursively collects and marks all pixels of an edge that are 8-  
    connected to  $(u_0, v_0)$  and have a gradient magnitude above  $t_{\text{lo}}$ .  
16:    $E_{\text{bin}}(u_0, v_0) \leftarrow 1$  ▷ mark  $(u_0, v_0)$  as an edge pixel  
17:    $u_L \leftarrow \max(u_0 - 1, 0)$  ▷ limit to image bounds  
18:    $u_R \leftarrow \min(u_0 + 1, M - 1)$   
19:    $v_T \leftarrow \max(v_0 - 1, 0)$   
20:    $v_B \leftarrow \min(v_0 + 1, N - 1)$   
21:   for  $u \leftarrow u_L, \dots, u_R$  do  
22:     for  $v \leftarrow v_T, \dots, v_B$  do  
23:       if  $(E_{\text{nms}}(u, v) \geq t_{\text{lo}}) \wedge (E_{\text{bin}}(u, v) = 0)$  then  
24:         TraceAndThreshold( $E_{\text{nms}}, E_{\text{bin}}, u, v, t_{\text{lo}}$ )  
25:   return
```

# Canny Edge Detector Example



original image



vertical edges



horizontal edges



norm of the gradient



after NMS



after H. Thresholding



# Canny – effect of sigma



# Canny – effect of sigma

Gradient magnitude ( $E_{\text{mag}}$ )

Edge points



(e)



$\sigma = 2.0$

(f)



(g)



$\sigma = 5.0$

(h)

# Edge-based segmentation

- Edge-based methods center around contour detection
- General workflow
  1. Detect edges, i.e., mark each pixel as "edge" or "not edge".
  1. Divide the image into regions, based on the detected edges. (Edge linking, Hough transform)



This part is non-trivial!

- Weakness in connecting broken contour lines make them prone to failure in the presence of blurring.



# An edge is not a line...



How can we detect *lines* ?

# Finding lines in an image

---

- Option 1:

- ▣ Search for the line at every possible position/orientation
- ▣ What is the cost of this operation?

- Option 2:

- ▣ Use a voting scheme: **Hough transform**

# Hough Transform

- Performed after Edge Detection
- It is a technique to isolate the curves of a given shape / shapes in a given image
- Classical Hough Transform can locate regular curves like straight lines, circles, parabolas, ellipses, etc.
  - Requires that the curve be specified in some parametric form
- Generalized Hough Transform can be used where a simple analytic description of feature is not possible

# Hough Transform

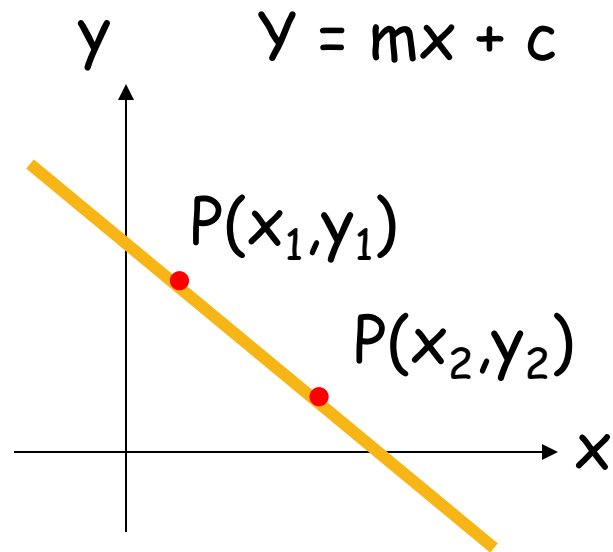
---

## □ Advantages

- ❑ The Hough Transform is tolerant of gaps in the edges
- ❑ It is relatively unaffected by noise
- ❑ It is also unaffected by occlusion in the image

# Hough Transform

- Mathematical model of a line:



$$y_1 = m x_1 + c$$

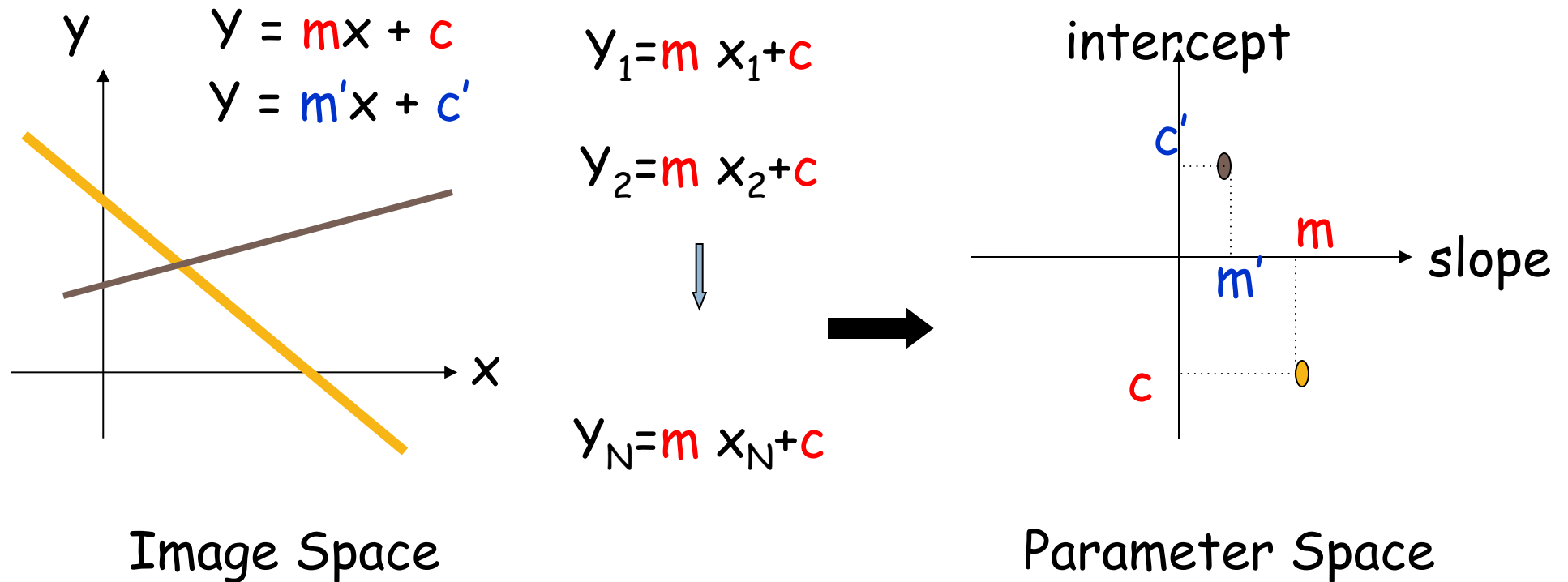
$$y_2 = m x_2 + c$$



$$y_N = m x_N + c$$

# Hough Transform

## □ Image and Parameter Spaces



Line in Img. Space ~ Point in Param. Space

# Hough Transform

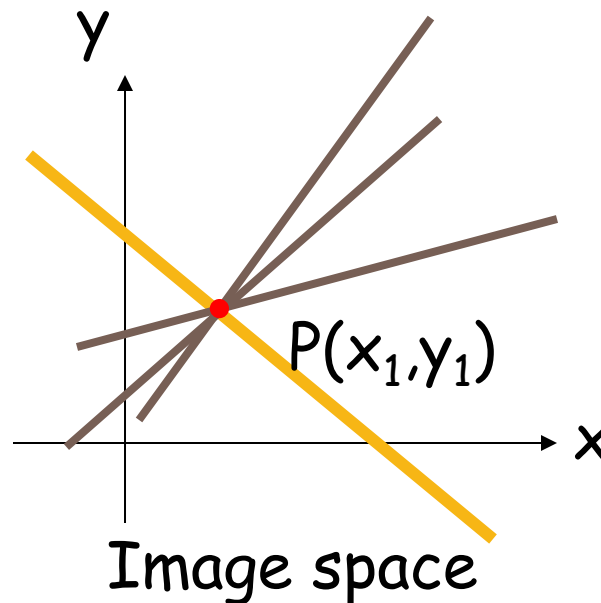
## □ Image and Parameter Spaces

Image space

$$y = mx + c$$

Fix  $(m,c)$ , Vary  $(x,y)$  - Line

Fix  $(x_1,y_1)$ , Vary  $(m,c)$  - Lines thru a Point



$$y_1 = m_1 x_1 + c_1$$

$$y_1 = m_2 x_1 + c_2$$

:

$$y_1 = m_n x_1 + c_n$$

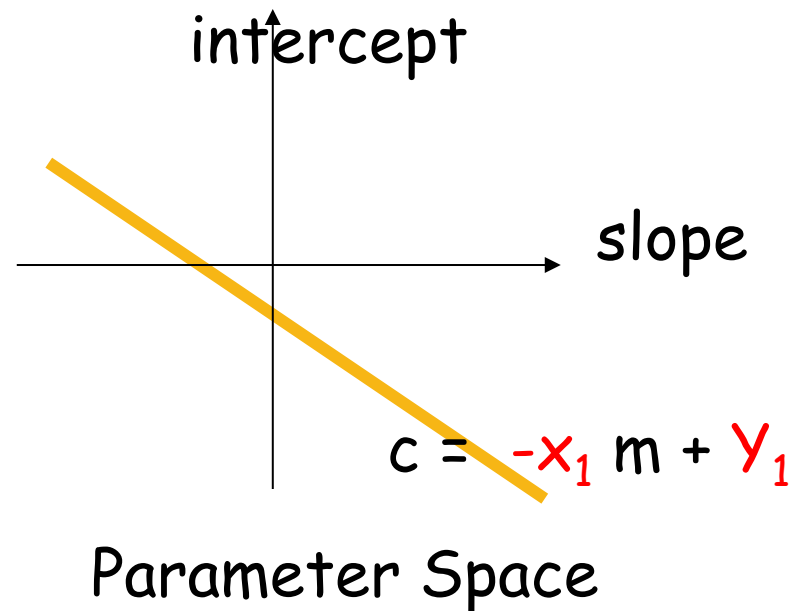
# Hough Transform

## □ Image and Parameter Spaces

Parameter space

$y_1 = m x_1 + c$  Can be re-written as:  $c = -x_1 m + y_1$

Fix  $(-x_1, y_1)$ , Vary  $(m, c)$  - Line

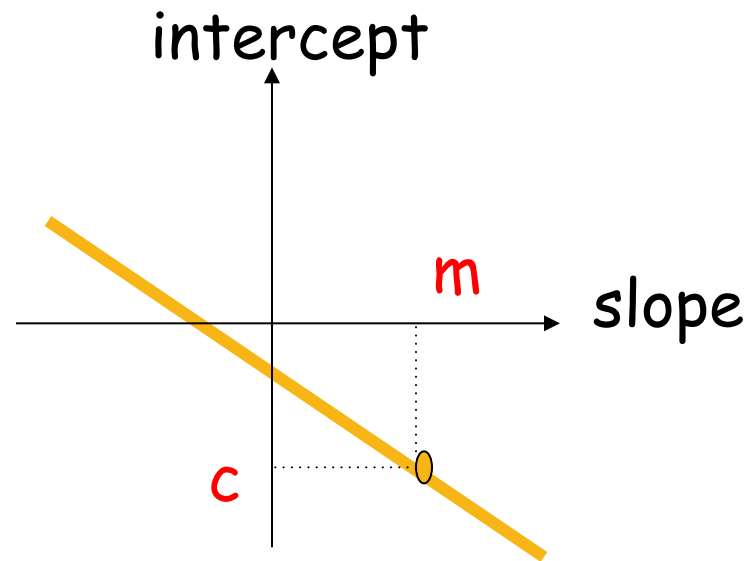
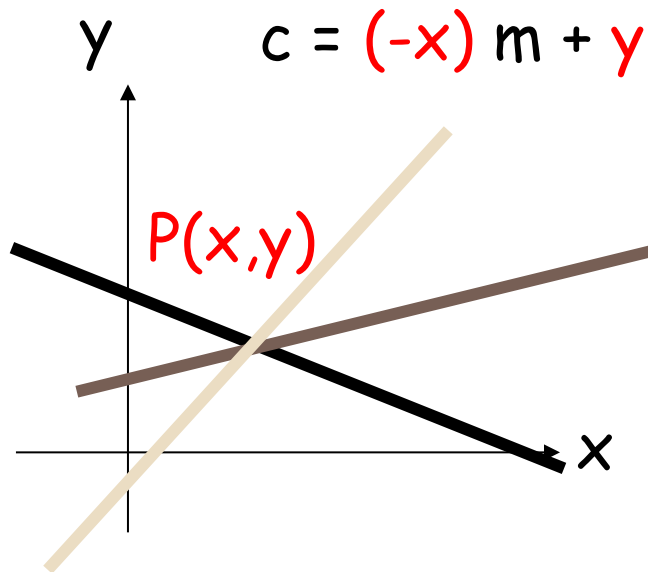




# Hough Transform

## □ Image and Parameter Spaces

- Given an edge point / pixel, there is an infinite number of lines passing through it (Vary  $m$  and  $c$ ).
  - These lines can be represented as a single line in parameter space.



Parameter Space

# Hough Transform

## Image and Parameter Spaces

- Given a set of **collinear edge points**, each of them have **associated a line** in parameter space.
- These lines **intersect at the point  $(m', c')$**  corresponding to the **parameters of the line in the image space**.

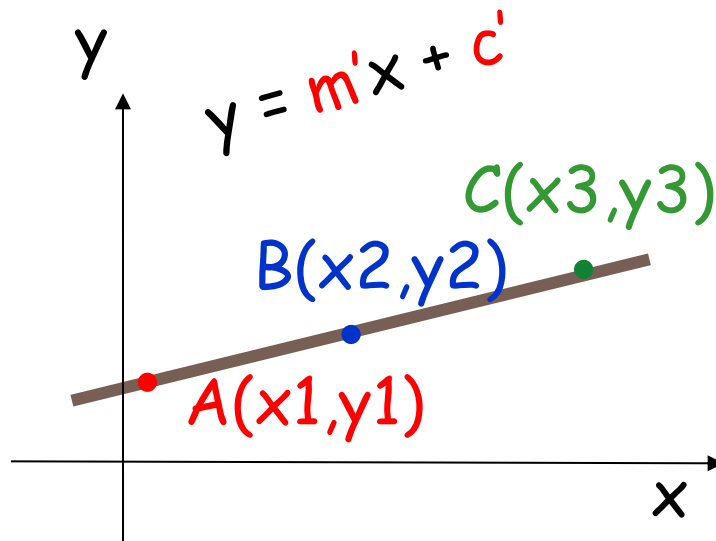
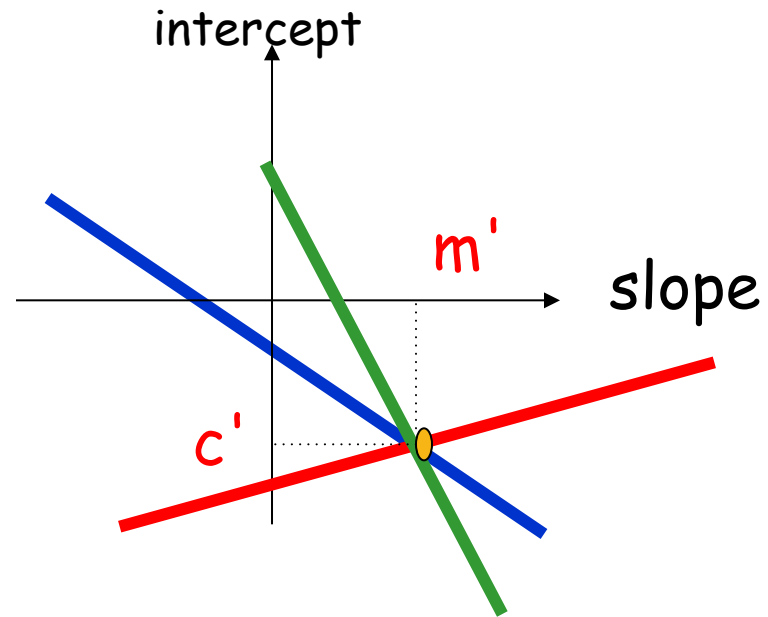


Image Space



Parameter Space

# Hough Transform

## Image and Parameter Spaces

- Image Space

- ▣ Lines
- ▣ Points
- ▣ Collinear points

- Parameter Space

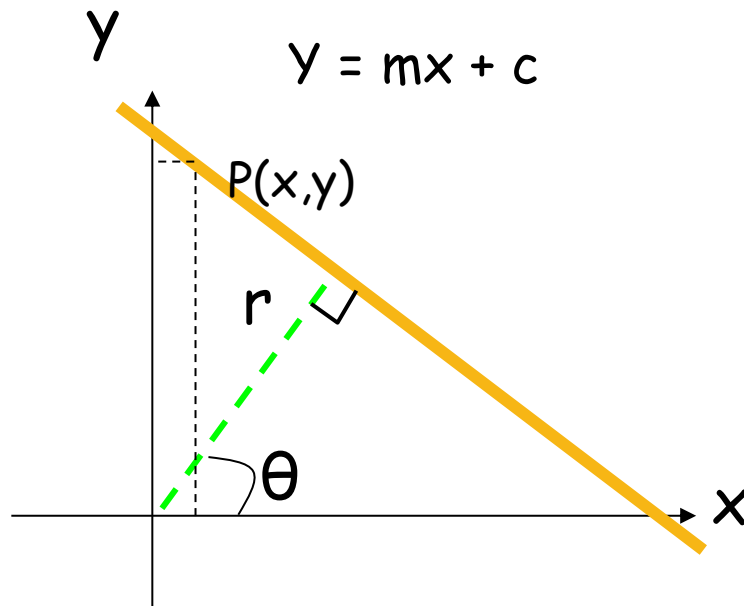
- ▣ Points
- ▣ Lines
- ▣ Intersecting lines

# Hough Parameterization

## □ Practical Issues

- The slope of the line is  $-\infty < m < \infty$ , parameter space is INFINITE
- The representation  $y = mx + c$  **does not express lines of the form  $x = k$**

□ **Solution:** Use the “Normal”/ polar equation of a line:

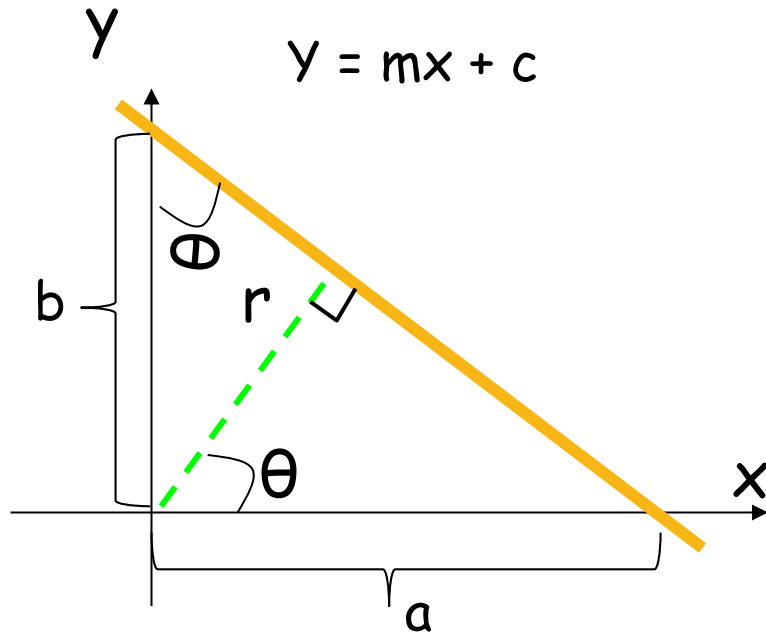


$$r = x \cos\theta + y \sin\theta$$

$\theta$  Is the line orientation

$r$  Is the distance between the origin and the line

# Hough Parameterization



$$\frac{x}{a} + \frac{y}{b} = 1$$

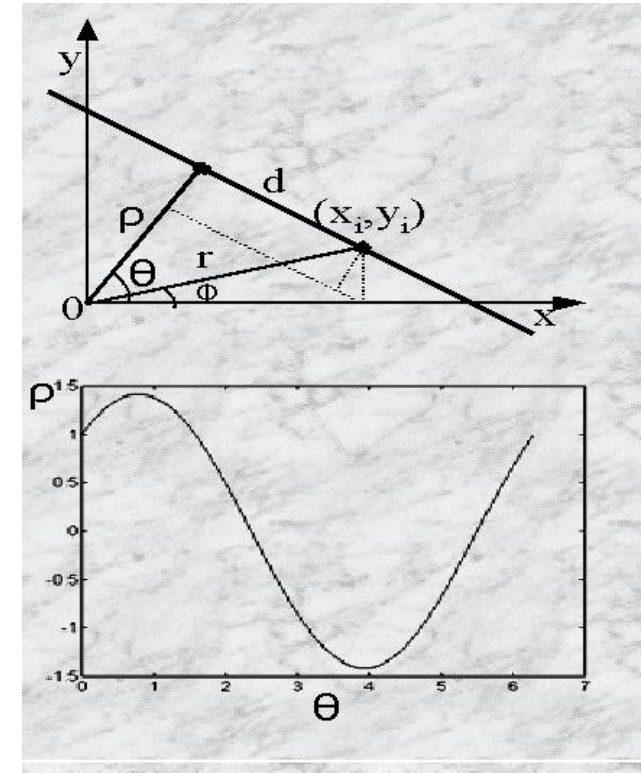
$$r = x \cos\theta + y \sin\theta$$

$$\frac{r}{a} = \cos\theta; \quad a = \frac{\cos\theta}{r}$$

$$\frac{r}{b} = \sin\theta; \quad b = \frac{\sin\theta}{r}$$

# Consequence:

- A **Point**  $P(x, y)$  in Image Space is now represented as a **SINUSOID** in the parameter space
  - $r(\theta) = x \cos\theta + y \sin\theta$
- Use the parameter space  $(r, \theta)$
- The new space is **FINITE**
  - $0 < r < D$  , where  $D$  is the image diagonal.
  - $0 < \theta < \pi$
- The new space **can represent all lines**
  - $Y = k$  is represented with  $r = k, \theta=90$
  - $X = k$  is represented with  $r = k, \theta=0$



# $r, \theta$ space

- In (slope, intercept ) space
  - ▢ point in image space == line in (m,c) space
- In  $(r, \theta)$  space
  - ▢ point in image space == sinusoid in  $(r, \theta)$  space
  - ▢ where sinusoids overlap, accumulator = max
  - ▢ maxima still = lines in image space
- Practically, finding maxima in accumulator is non-trivial
  - ▢ often smooth the accumulator for better results

# Hough transform

- An early type of voting scheme
- General outline:
  - ❑ Discretize parameter space into bins
  - ❑ For each feature point in the image, put a vote in every bin in the parameter space that could have generated this point
  - ❑ Find bins that have the most votes

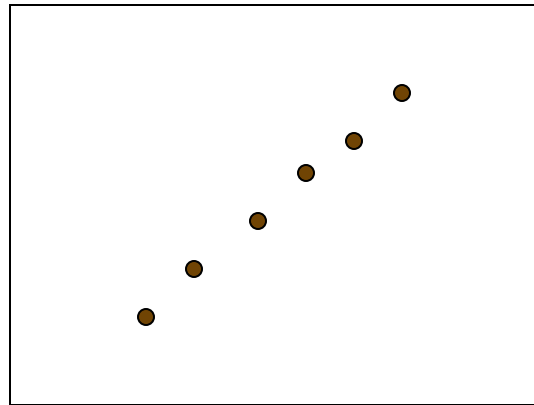
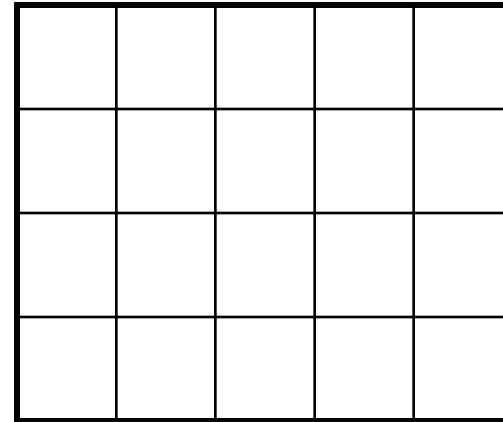
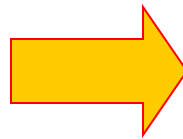


Image space

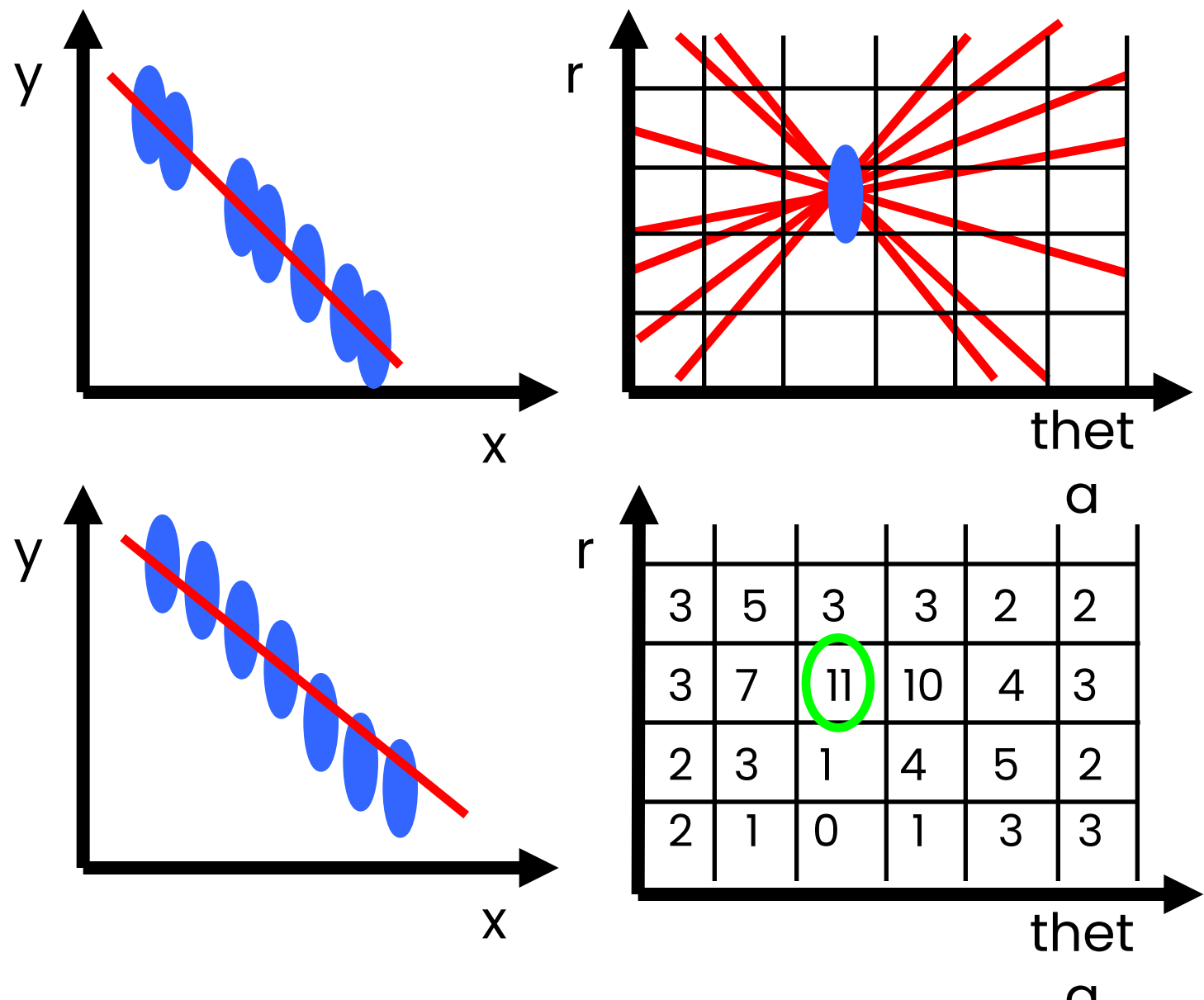


Hough parameter space

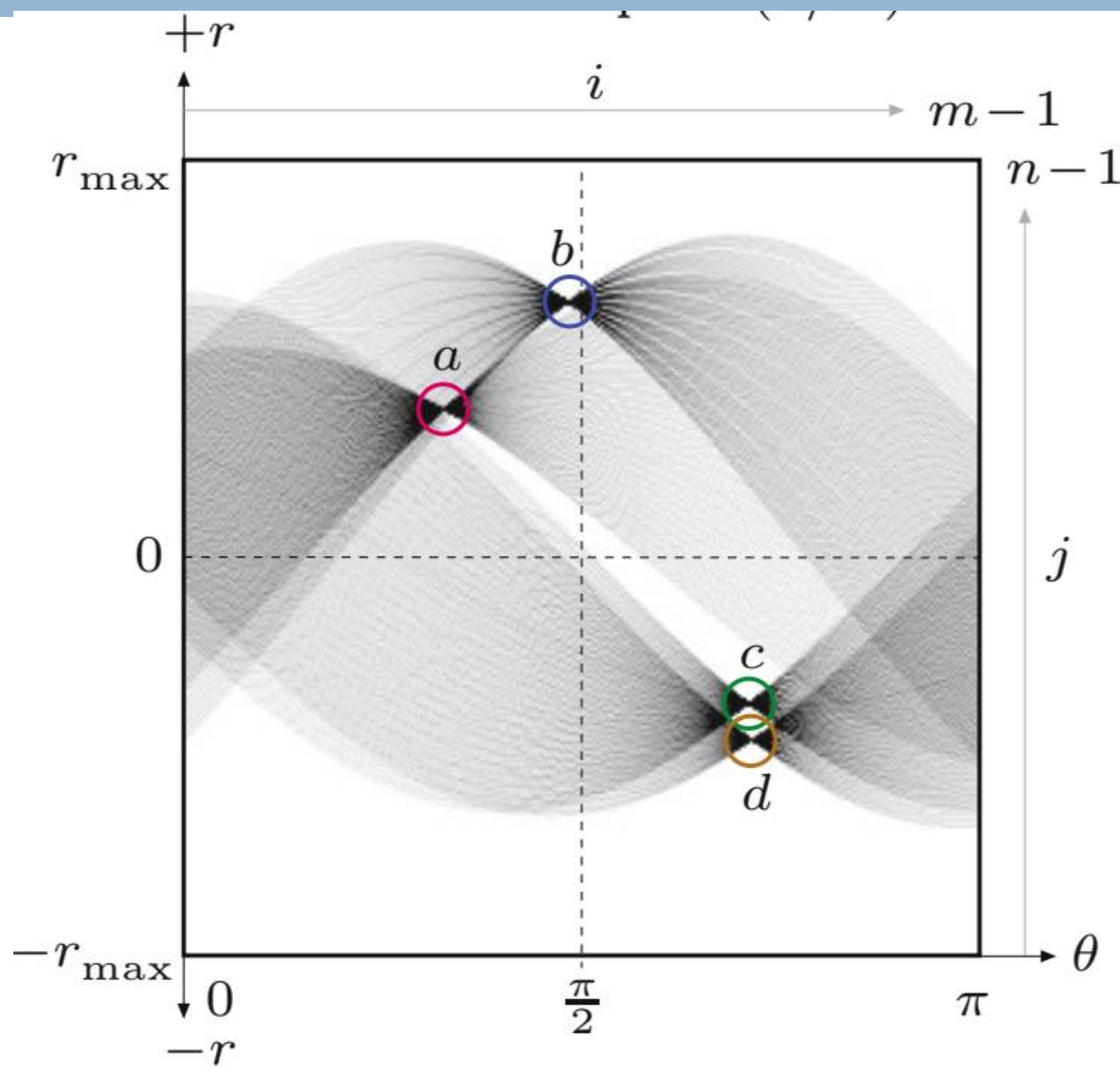
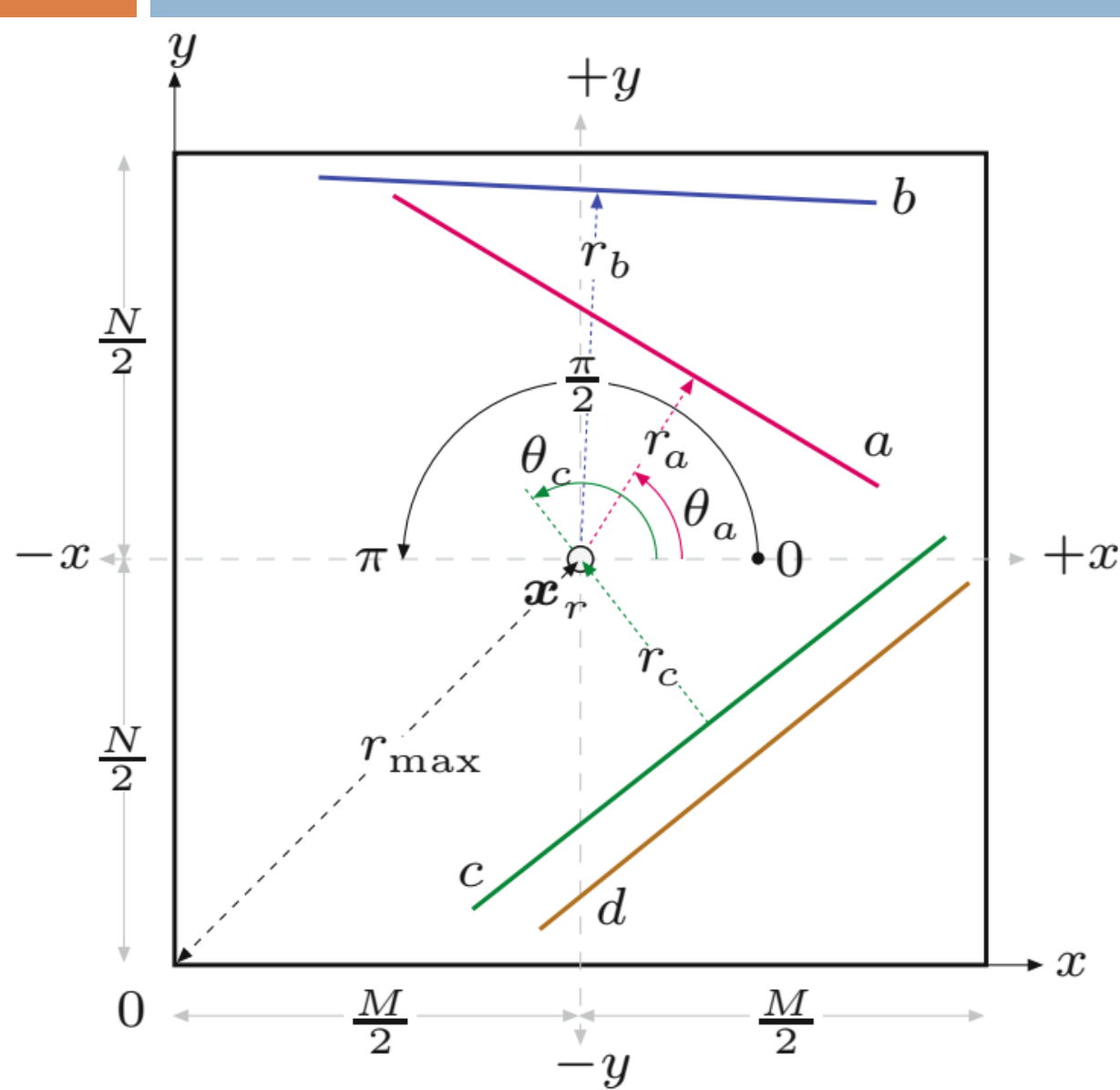
P.V.C. Hough, *Machine Analysis of Bubble Chamber Pictures*, Proc. Int. Conf. High Energy Accelerators and Instrumentation, 1959



# Hough transform



# Hough Transform Algorithm



# Hough Transform Algorithm

$$\mathbf{x}_r = \begin{pmatrix} x_r \\ y_r \end{pmatrix} = \frac{1}{2} \cdot \begin{pmatrix} M \\ N \end{pmatrix}$$

$$-r_{\max} \leq r(\theta) \leq r_{\max}, \quad \text{with} \quad r_{\max} = \frac{1}{2} \sqrt{M^2 + N^2}$$

$$d_\theta = \pi/m \quad \text{and} \quad d_r = \sqrt{M^2 + N^2}/n$$

$$j_0 = n \div 2$$

For each relevant image point  $(u, v)$

$$r(\theta_i) = (u - x_r) \cdot \cos(\theta_i) + (v - y_r) \cdot \sin(\theta_i)$$

$$\theta_i = \theta_0, \dots, \theta_{m-1} = i \cdot d_\theta$$

$$j = j_0 + \text{round} \left( \frac{r(\theta_i)}{d_r} \right)$$

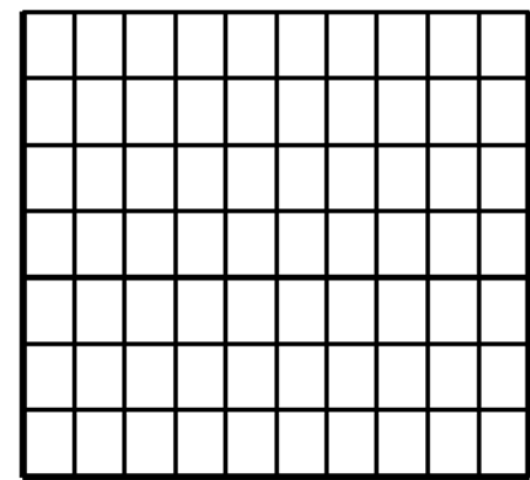
The line parameters  $\theta_i$  and  $r_j$  for a given accumulator position  $(i, j)$  can be calculated as

$$\theta_i = i \cdot d_\theta \quad \text{and} \quad r_j = (j - j_0) \cdot d_r$$

Increment accumulator cell  $A(i, j)$  by one

# Hough Transform Algorithm

A: accumulator array (votes)



$m \times$   
 $n$

1: **HoughTransformLines**( $I, m, n, a_{\min}$ )

Input:  $I$ , a binary image of size  $M \times N$ ;  $m$ , angular accumulator steps;  $n$ , radial accumulator steps;  $a_{\min}$ , minimum accumulator count per line. Returns a sorted sequence  $\mathcal{L} = (L_1, L_2, \dots)$  of the most dominant lines found.

2:  $(M, N) \leftarrow \text{Size}(I)$

3:  $(x_r, y_r) \leftarrow \frac{1}{2} \cdot (M, N)$   $\triangleright$  reference point  $x_r$  (image center)

4:  $d_\theta \leftarrow \pi / m$   $\triangleright$  angular step size

5:  $d_r \leftarrow \sqrt{M^2 + N^2} / n$   $\triangleright$  radial step size

6:  $j_0 \leftarrow n \div 2$   $\triangleright$  map index for  $r = 0$

**Step 1** – set up and fill the Hough accumulator:

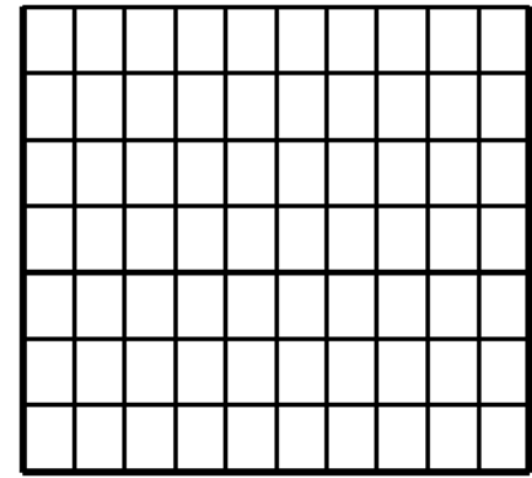
7: Create map  $A: [0, m-1] \times [0, n-1] \mapsto \mathbb{Z}$   $\triangleright$  accumulator

8: **for all** accumulator cells  $(i, j)$  **do**

9:  $A(i, j) \leftarrow 0$   $\triangleright$  initialize accumulator

# Hough Transform Algorithm

A : accumulator array (votes)



m ×  
n

10: **for all**  $(u, v) \in M \times N$  **do**

11:     **if**  $I(u, v) > 0$  **then**

12:          $(x, y) \leftarrow (u - x_r, v - y_r)$

13:         **for**  $i \leftarrow 0, \dots, m-1$  **do**

14:              $\theta \leftarrow d_\theta \cdot i$

15:              $r \leftarrow x \cdot \cos(\theta) + y \cdot \sin(\theta)$

16:              $j \leftarrow j_0 + \text{round}(r/d_r)$

17:              $A(i, j) \leftarrow A(i, j) + 1$

▷ scan the image

▷  $I(u, v)$  is a foreground pixel

▷ shift to reference point

▷ angular coordinate

▷ angle,  $0 \leq \theta < 2\pi$

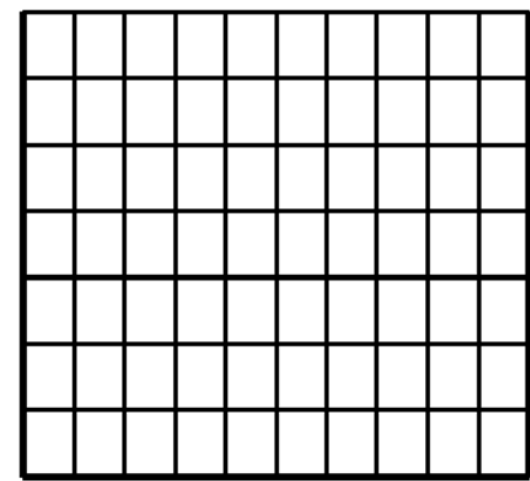
▷ see Eqn. 8.1

▷ radial coordinate

▷ increment  $A(i, j)$

# Hough Transform Algorithm

A : accumulator array (votes)



m×  
n

**Step 2** – extract the most dominant lines:

```

18:  $\mathcal{L} \leftarrow ()$  ▷ start with empty sequence of lines
19: for all accumulator cells  $(i, j)$  do ▷ collect local maxima
20:   if  $(A(i, j) \geq a_{\min}) \wedge \text{IsLocalMax}(A, i, j)$  then
21:      $\theta \leftarrow i \cdot d_{\theta}$  ▷ angle
22:      $r \leftarrow (j - j_0) \cdot d_r$  ▷ radius
23:      $a \leftarrow A(i, j)$  ▷ accumulated value
24:      $L \leftarrow \langle \theta, r, a \rangle$  ▷ create a new line
25:      $\mathcal{L} \leftarrow \mathcal{L} \cup (L)$  ▷ add line  $L$  to sequence
26:   Sort( $\mathcal{L}$ ) ▷ sort  $\mathcal{L}$  by descending accumulator count
27:   return  $\mathcal{L}$ 
  
```

# Hough Transform Algorithm

Input: edge image ( $E(x,y)=1$  for edgels)

1. Discretize  $r$  ( $<D$ ) in increments of  $d\rho$

Discretize  $\theta$  ( $<\pi$ ) in increments of  $d\theta$  ( total#  $T$  ), and

Let  $A(R,T)$  be an accumulator array, initialized to 0.

2. For **each pixel  $E(x,y)=1$**  and For  $t=1,2,\dots,T$  do

- i.  $\theta = t * d\theta$
- ii.  $\rho = x \cos(\theta) + y \sin(\theta)$
- iii. Find closest integer  $r$  corresponding to  $\rho$
- iv. Increment counter  $A(r,t)$  by one i.e.  $A(r,t) = A(r,t) + 1$

A : accumulator array (votes)


# Hough Transform Algorithm

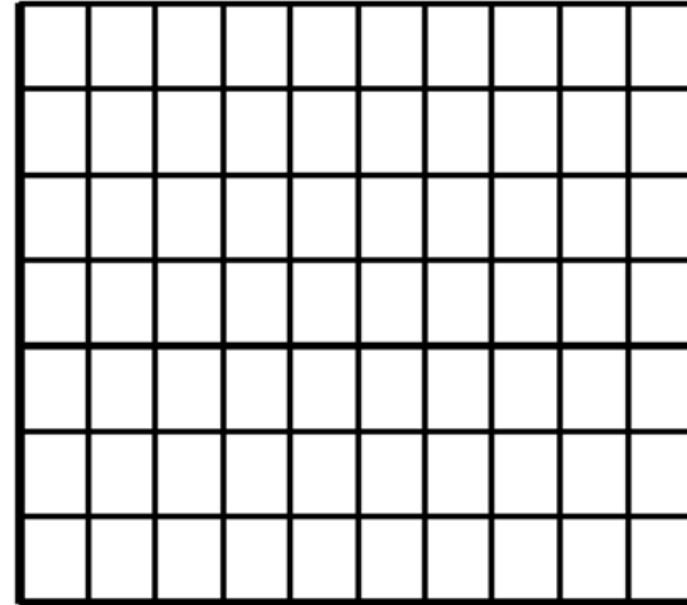
3. Compute the value(s) of  $(r, \theta)$  by finding  $A[r, t]$  is maximum, where  $\theta = t * d\theta$

4. The detected line in the image space is given by

$$r = x \cos\theta + y \sin\theta$$

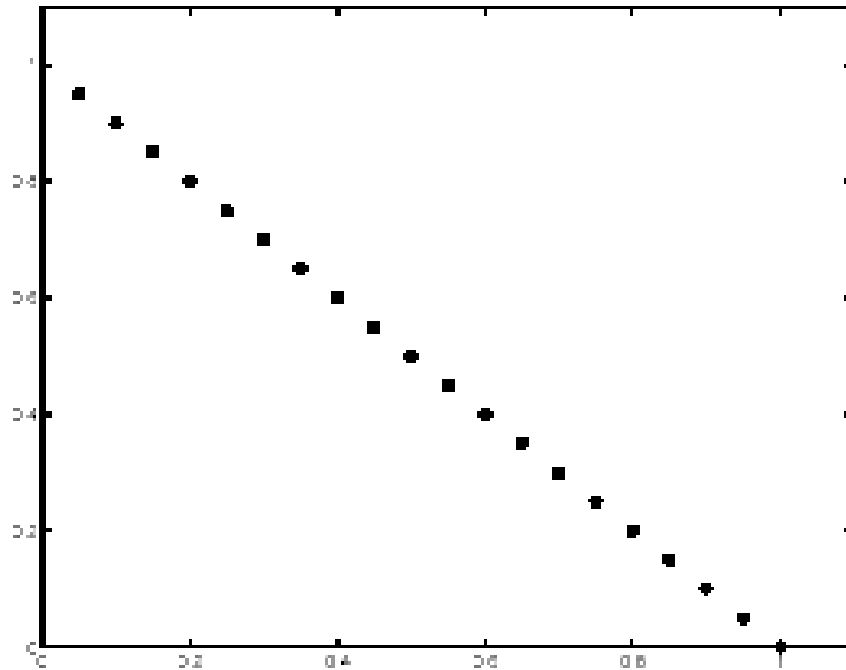
They are now constant

A : accumulator array (votes)

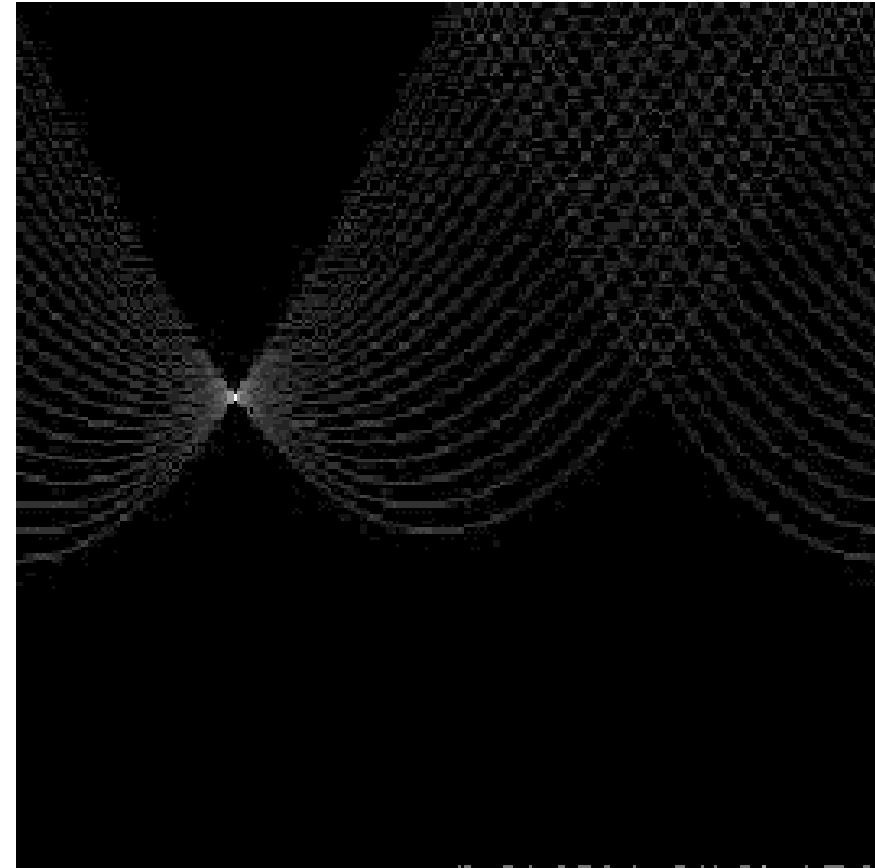




# Hough Transform - Basic illustration

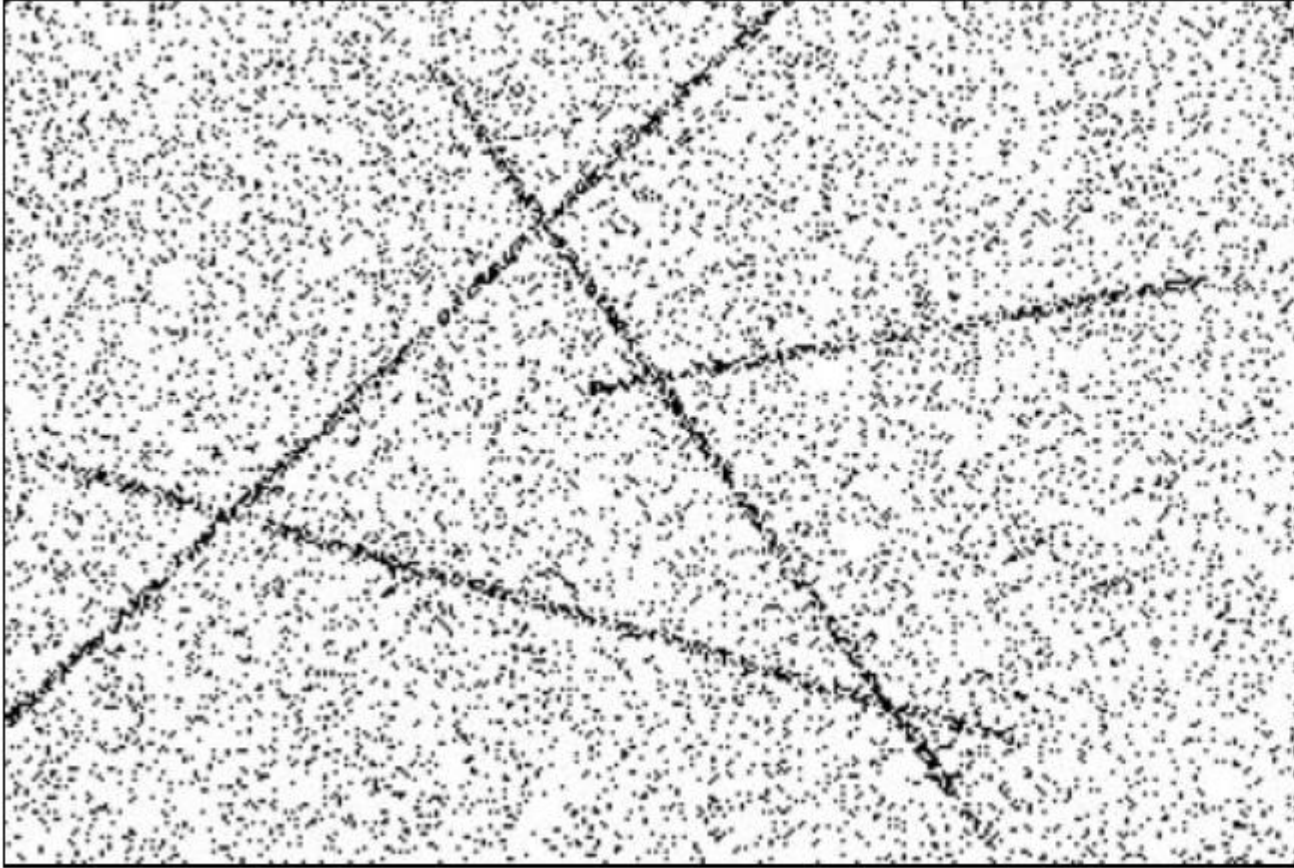


features

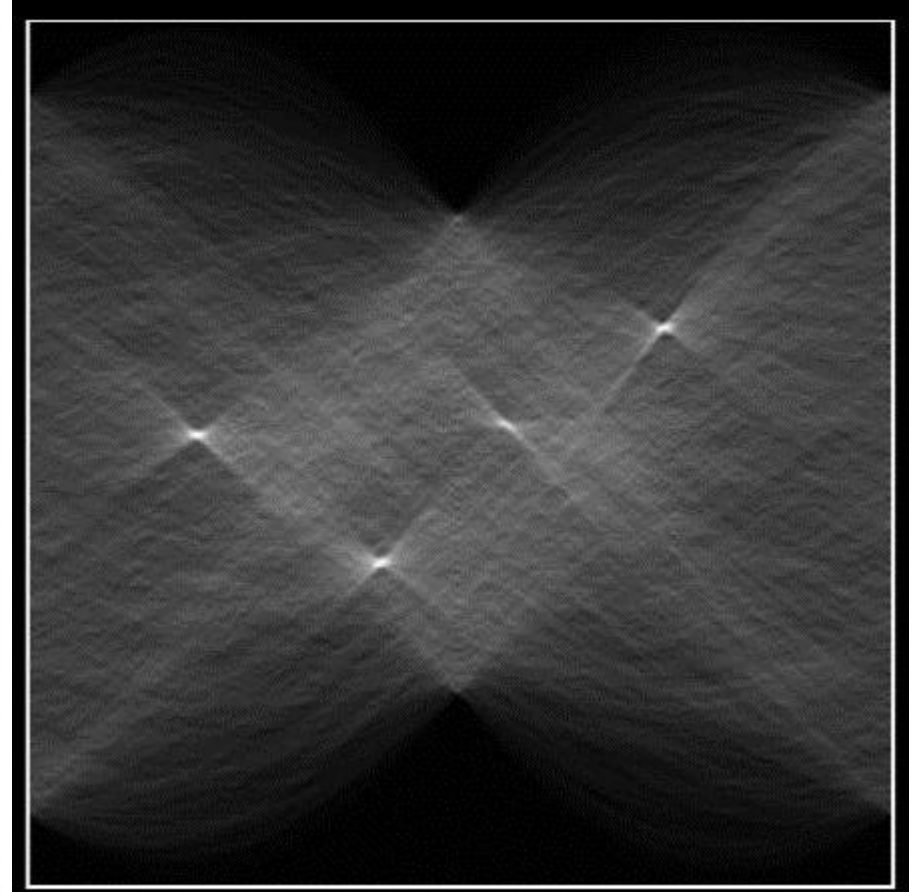


votes

# Hough Transform - Basic illustration

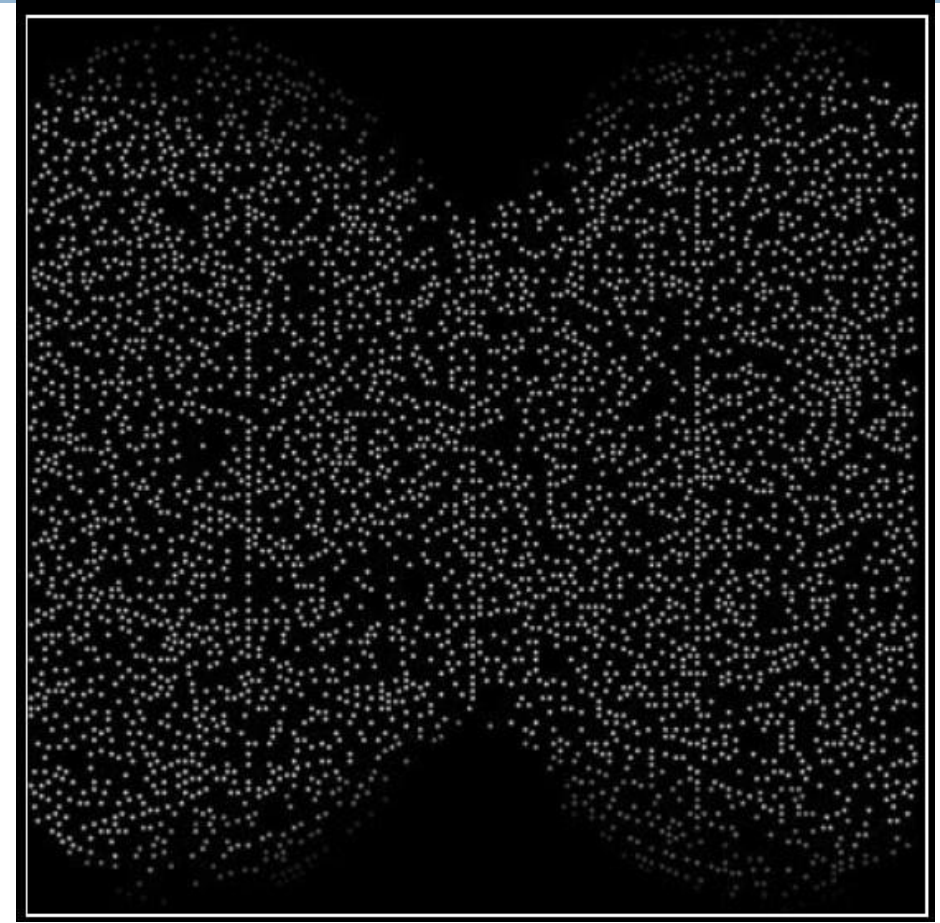
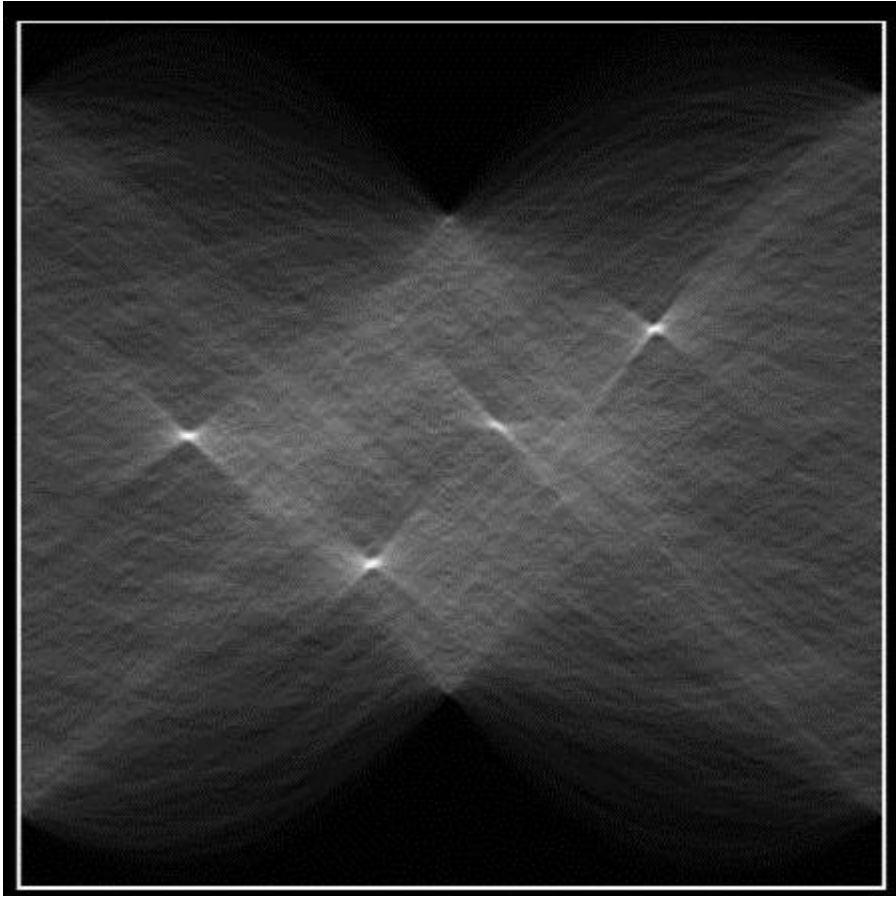


features



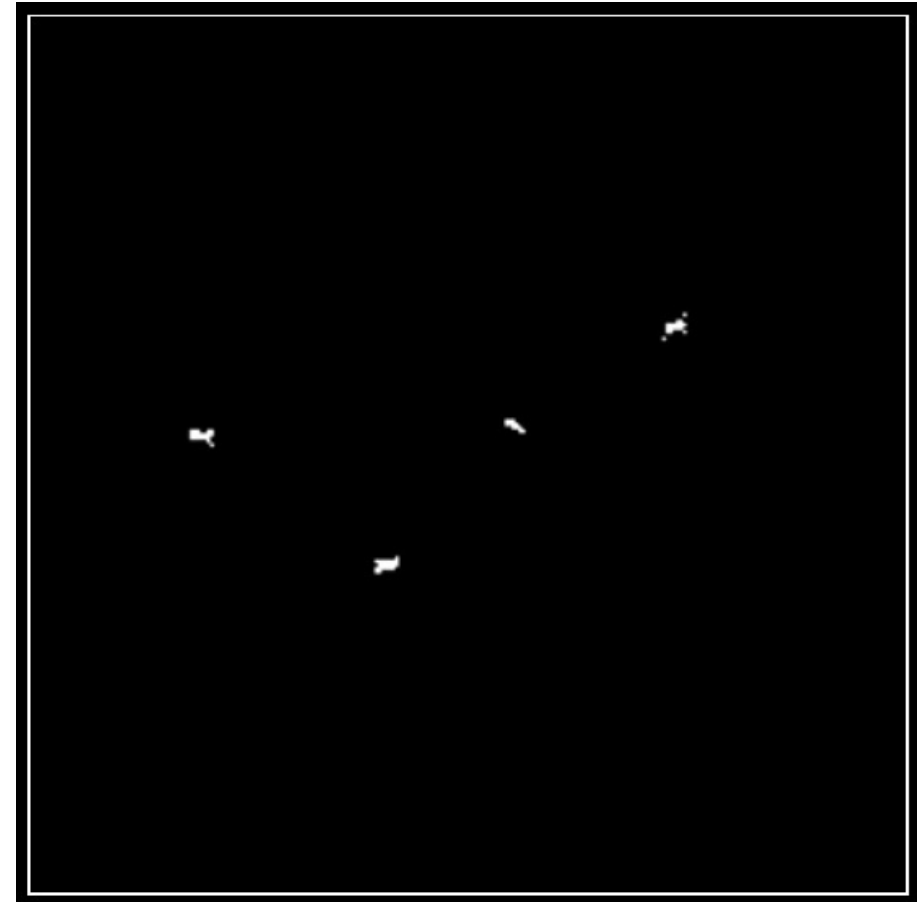
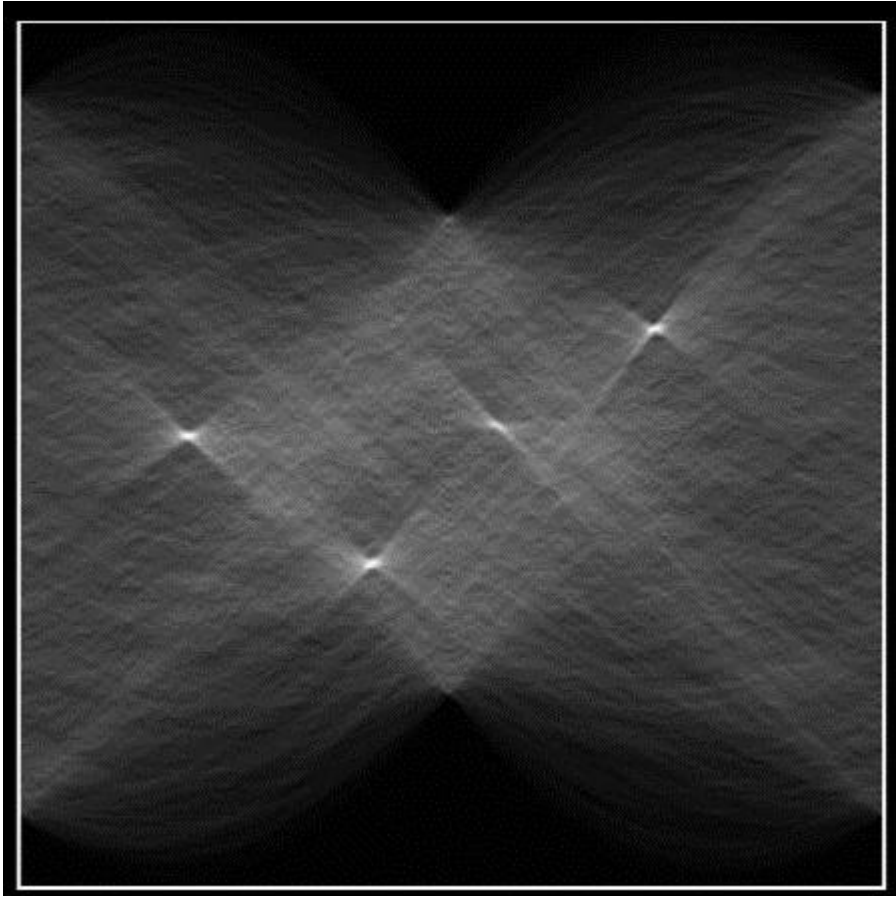
votes

# Hough Transform - issues



Using only non max suppression

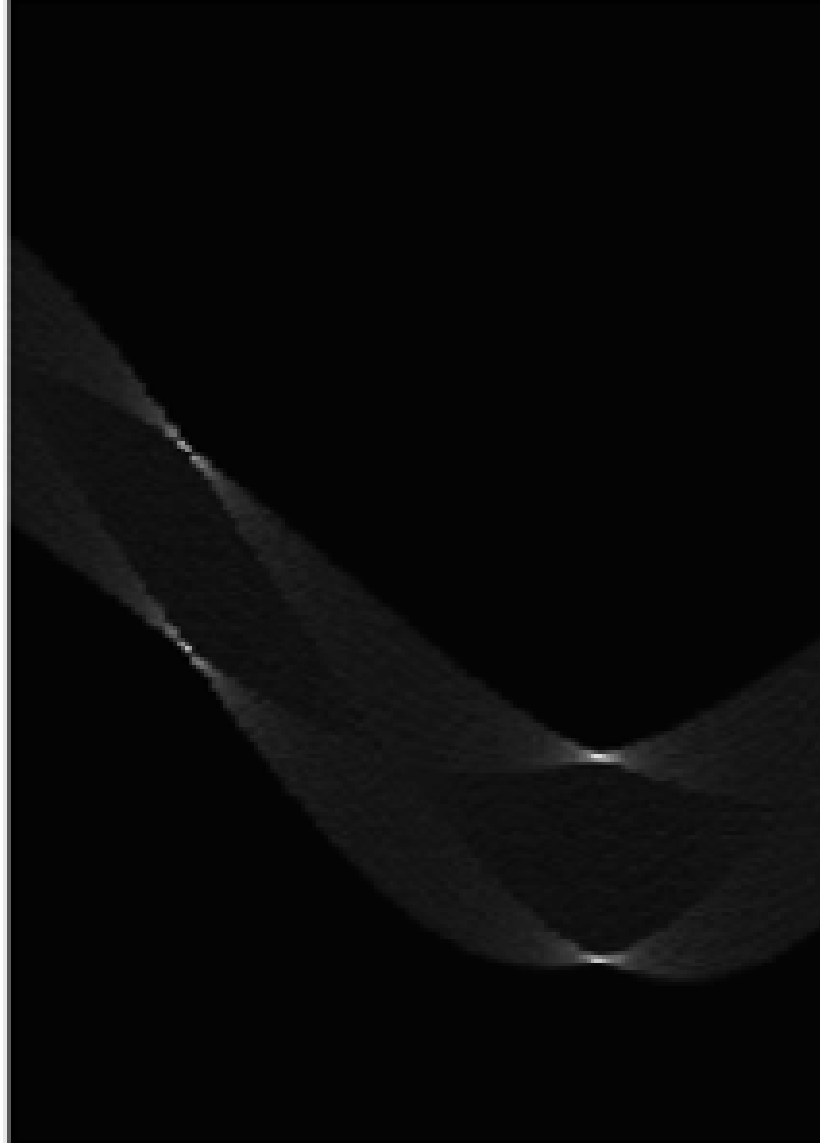
# Hough Transform - issues



*Threshold operation* using 50% of the maximum value

# Hough Transform- Other shapes

Square

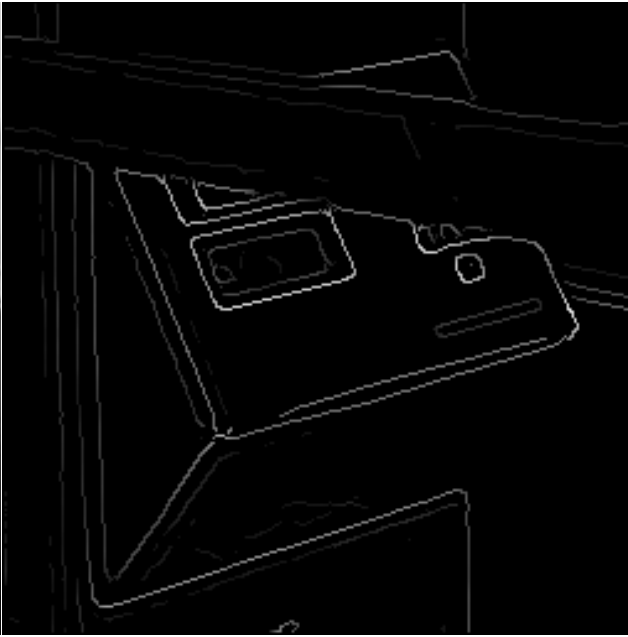




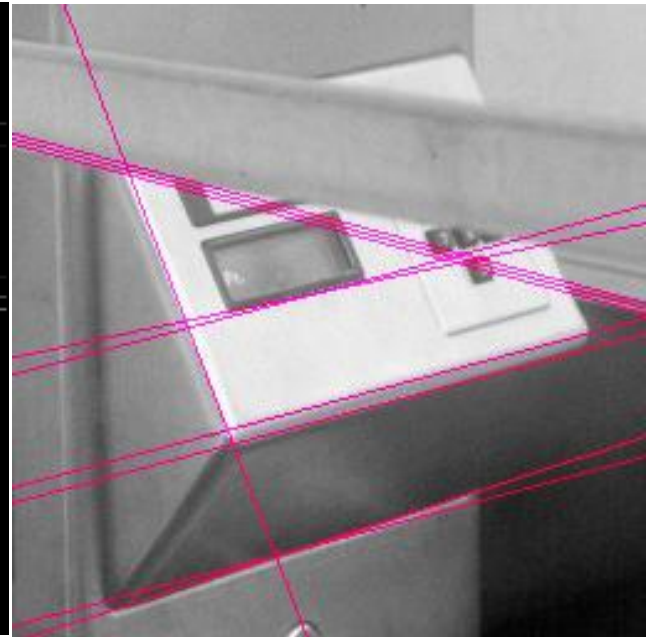
# Hough Transform- Real World Example



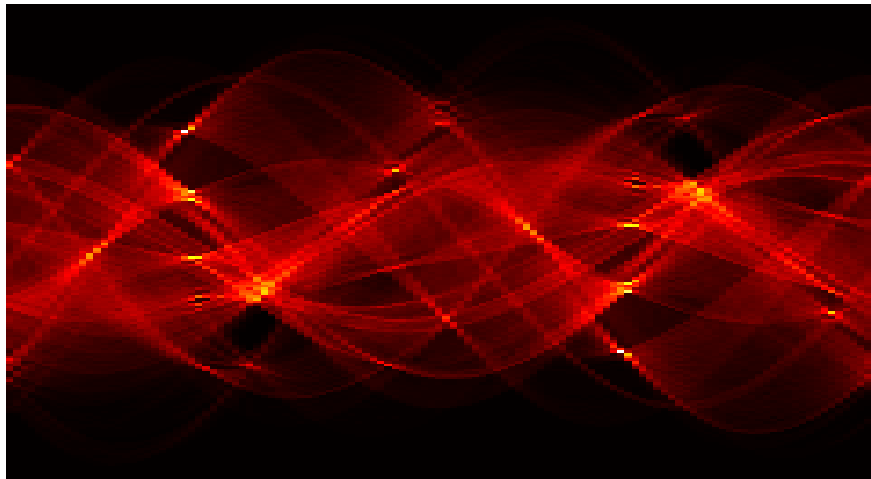
Original



Edge Detection



Found Lines



Parameter Space

# Hough Transform - Speed Up

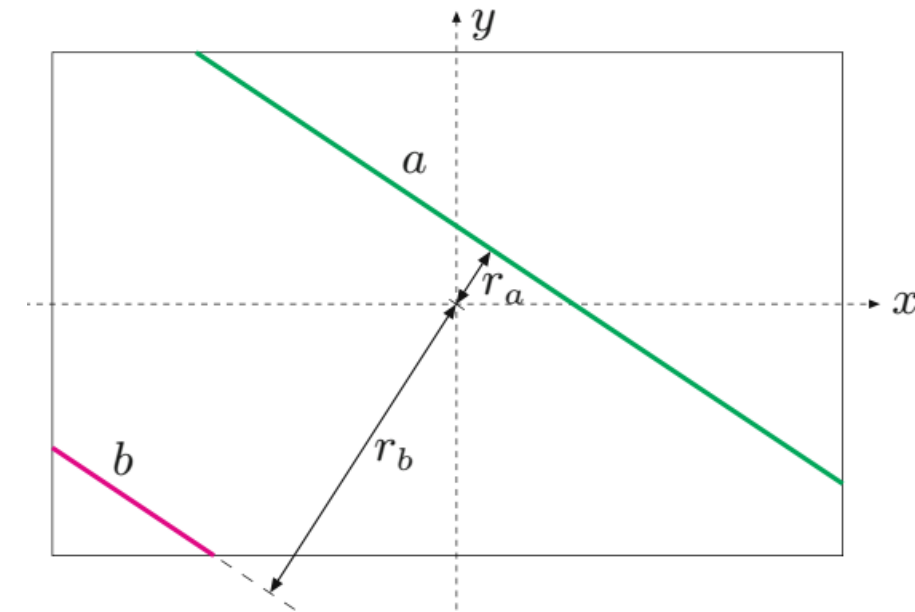
- If we **know the orientation of the edge** – usually available from the **edge detection step**
  - We **fix theta** in the parameter space and increment **only one** counter!
  - We can allow for orientation uncertainty by incrementing a **few counters around** the “nominal” counter.

# Hough Transform - Bias compensation

- if we only search the accumulator array for maximal values, it is likely that we will completely miss short line segments

$$A(i, j) \leftarrow \frac{A(i, j)}{\max(1, A_{\max}(i, j))}$$

$A_{\max}(i, j)$  = maximum number of image points possible for a line with the corresponding parameters





# Hough Transform - Line endpoints

- For this, every cell of the accumulator array is supplemented with two additional coordinate pairs

$$\mathbf{x}_s = (x_s, y_s), \mathbf{x}_e = (x_e, y_e)$$

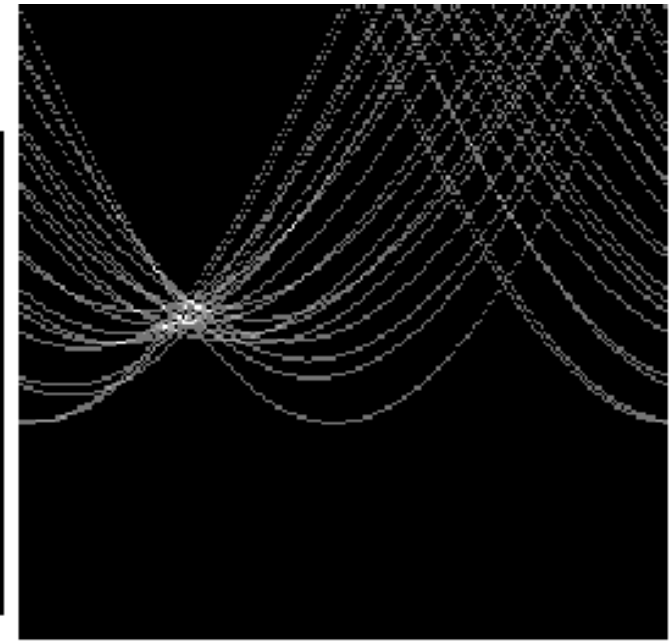
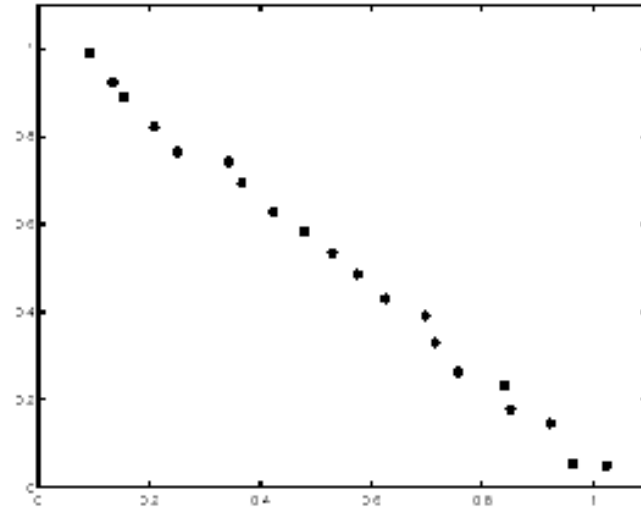
$$A(i, j) = \langle count, \mathbf{x}_s, \mathbf{x}_e \rangle$$

# Hough Transform – Modified accumulation

- Due to the discrete nature of the image and accumulator coordinates,
  - ▢ rounding errors usually cause the parameter curves not to intersect in a single accumulator cell, even when the associated image lines are exactly straight
- for a given angle  $\theta = i \cdot d\theta$ , increment
  - ▢ not only the main accumulator cell  $A(i, j)$
  - ▢ but also the neighboring cells  $A(i, j-1)$  and  $A(i, j+1)$ , with different weights.

# Hough Transform- Effect of noise

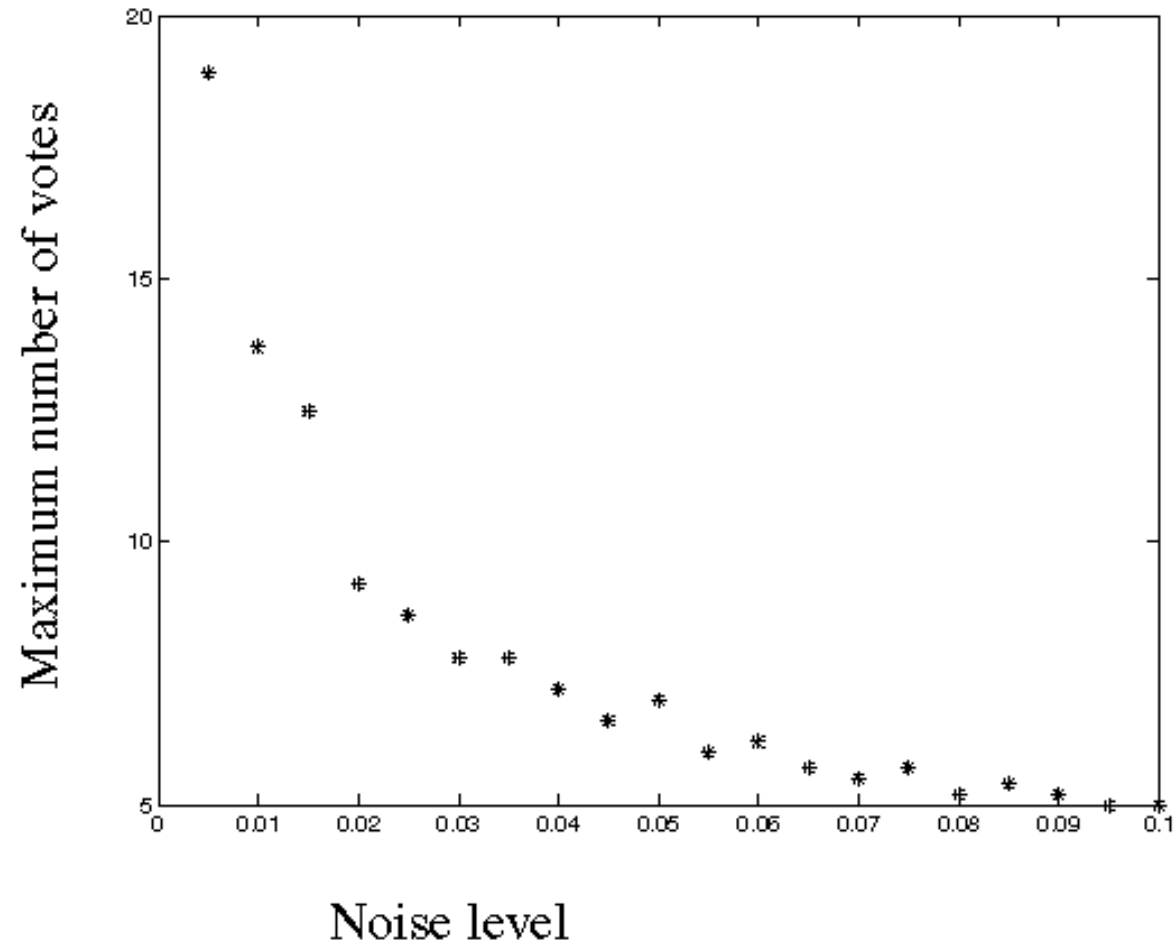
- Peak gets fuzzy and hard to locate



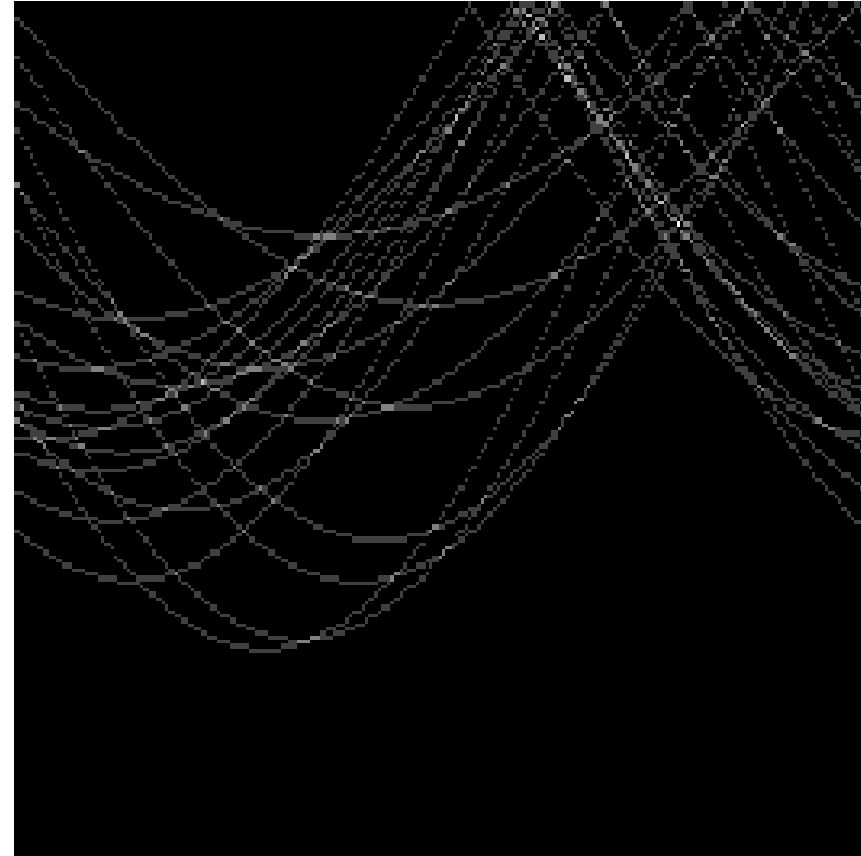
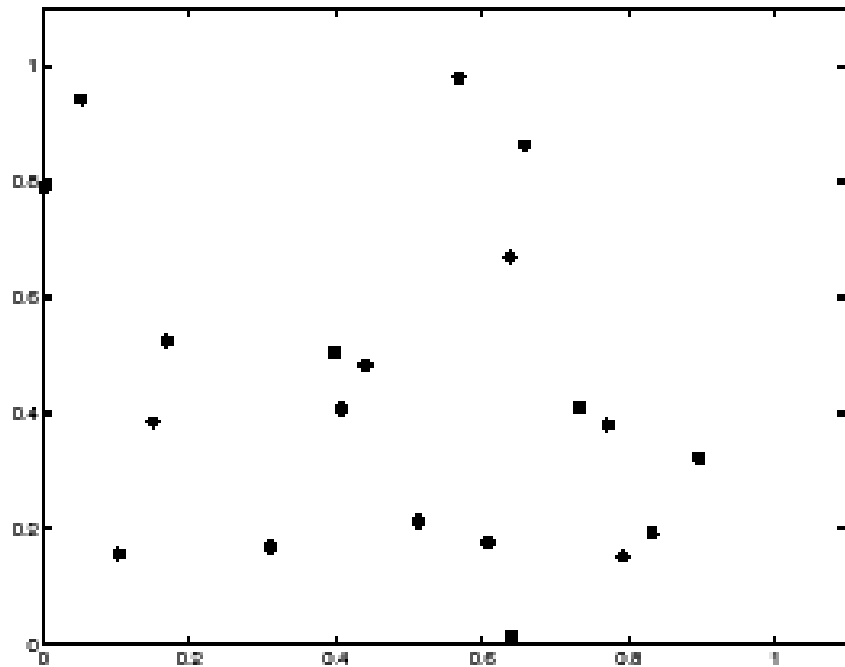
- ❑ The resulting scattering of points, or point clouds, are first coalesced into regions using a technique such as a morphological **closing** operation
- ❑ Next the remaining regions must be localized, for instance using the region-finding technique,
- ❑ and then each **region's centroid** can be utilized as the (noninteger) coordinates for the potential image space line.

# Effect of noise

- Number of votes for a line of 20 points with increasing noise:



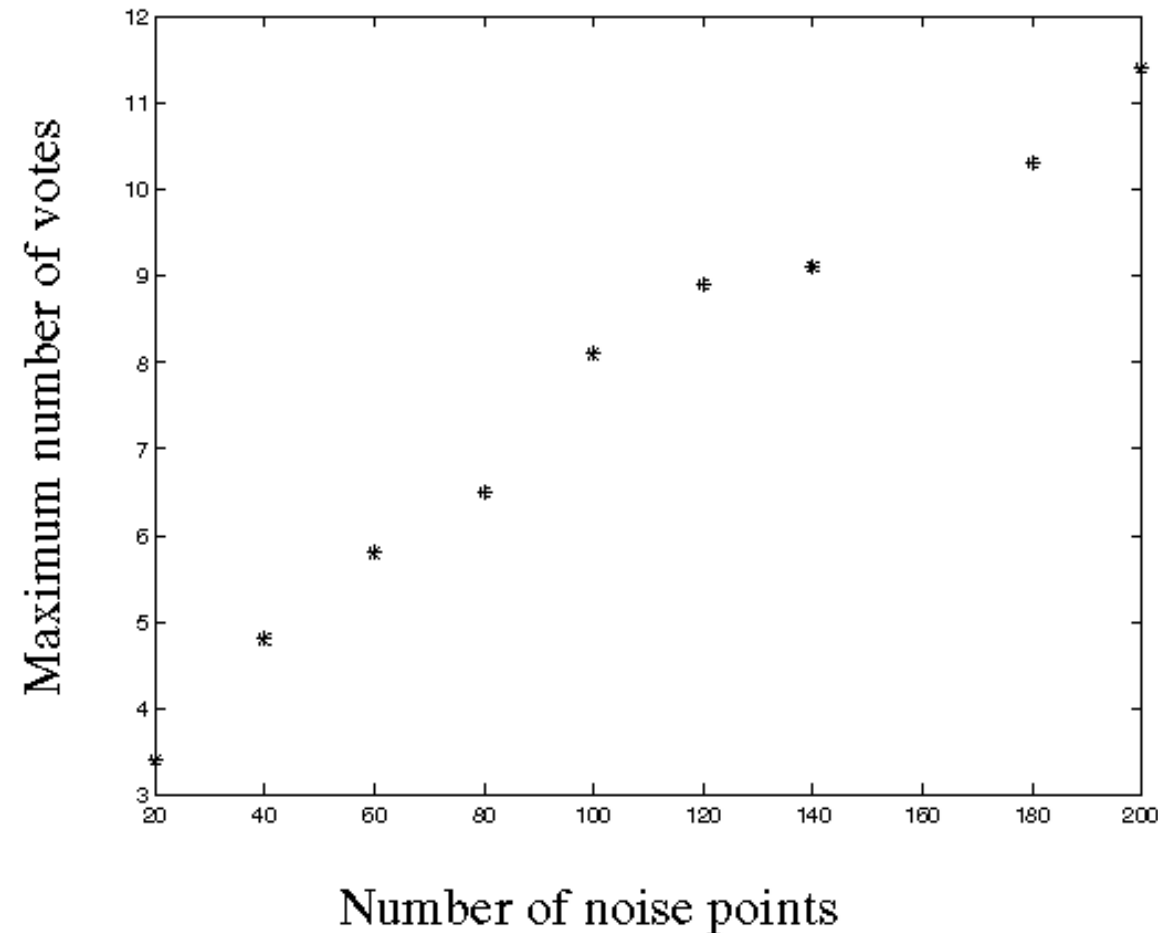
# Random points



- Uniform noise can lead to spurious peaks in the array

# Random points

- As the level of uniform noise increases, the maximum number of votes increases too:



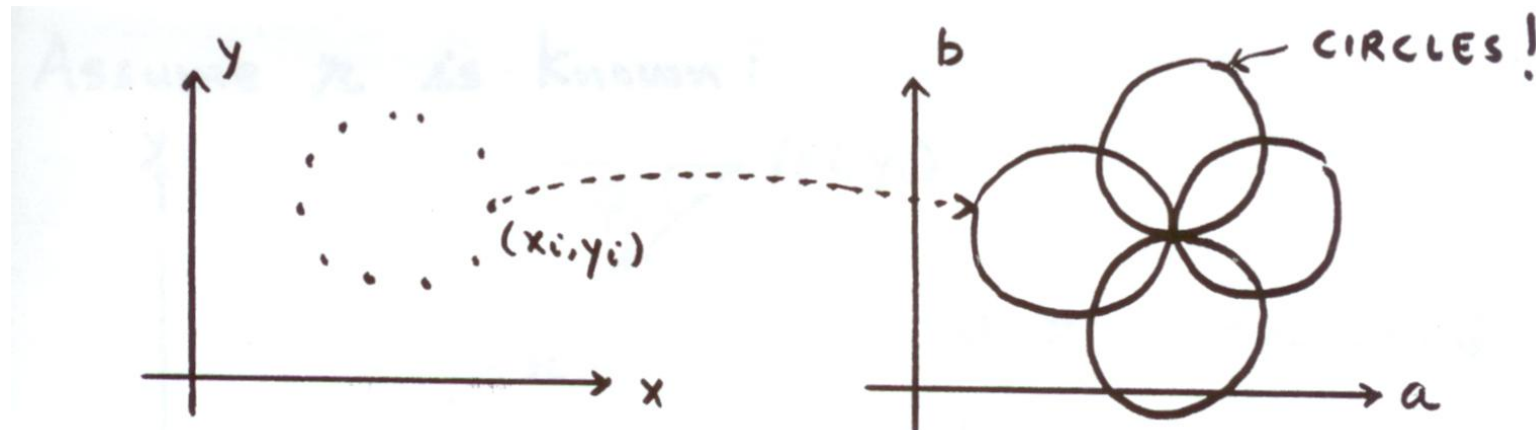
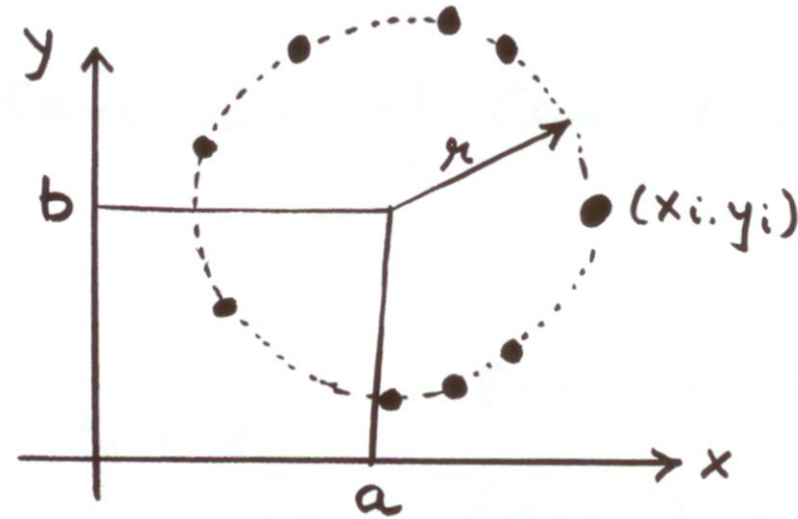
# Finding Circles by Hough Transform

Equation of Circle:

$$(x_i - a)^2 + (y_i - b)^2 = r^2$$

If radius is known: (2D Hough Space)

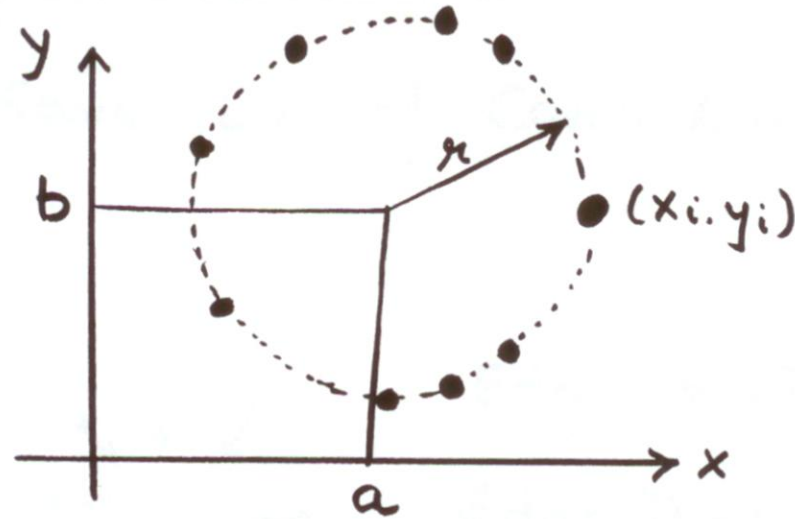
Accumulator Array  $A(a, b)$



# Finding Circles by Hough Transform

Equation of Circle:

$$(x_i - a)^2 + (y_i - b)^2 = r^2$$



If radius is not known: 3D Hough Space!

Use Accumulator array  $A(a, b, r)$

What is the surface in the hough space?



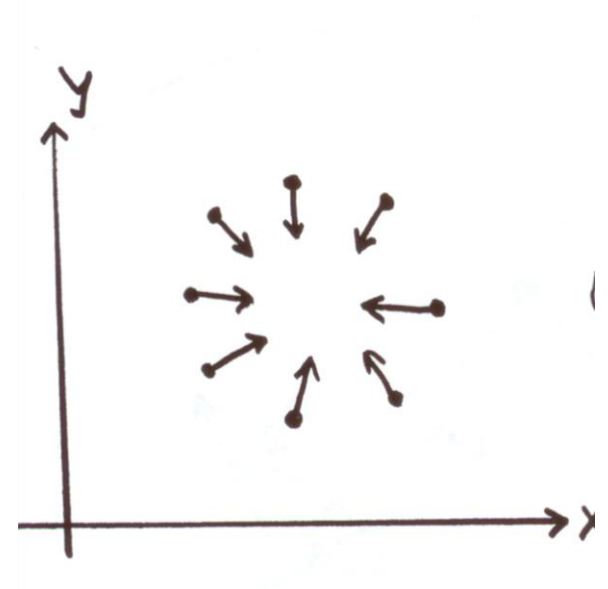
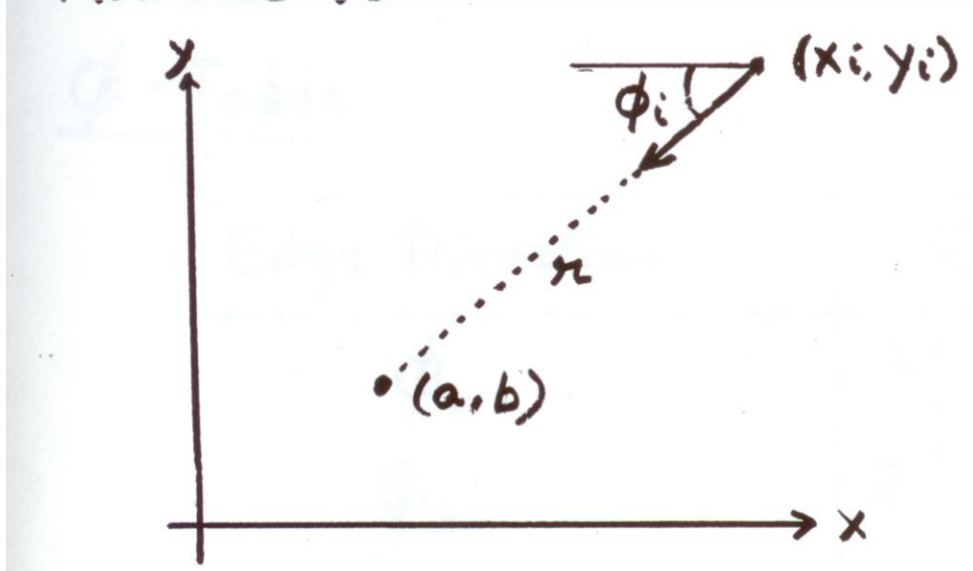
# Using Gradient Information

- Gradient information can save lot of computation:

Edge Location  $(x_i, y_i)$

Edge Direction  $\phi_i$

Assume radius is known:



$$a = x - r \cos \phi$$

$$b = y - r \sin \phi$$

Need to increment only one point in Accumulator!!

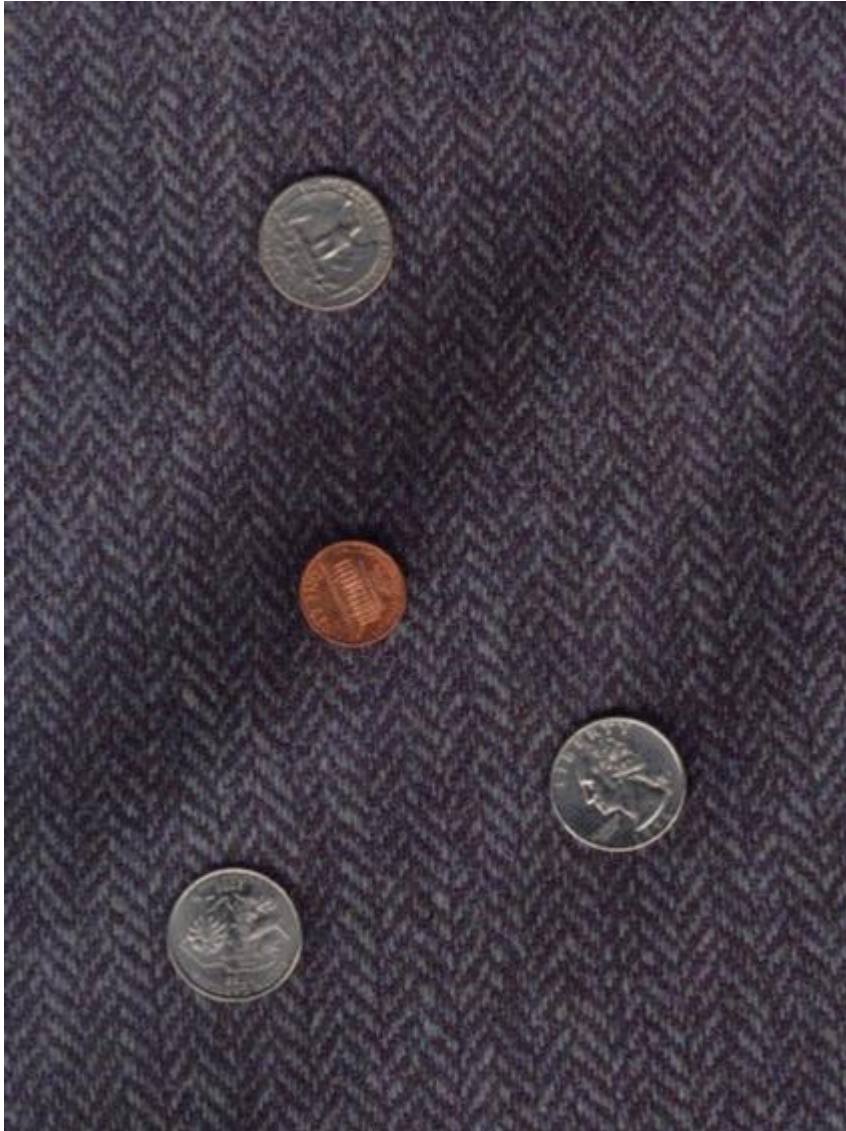
# Real World Circle Examples



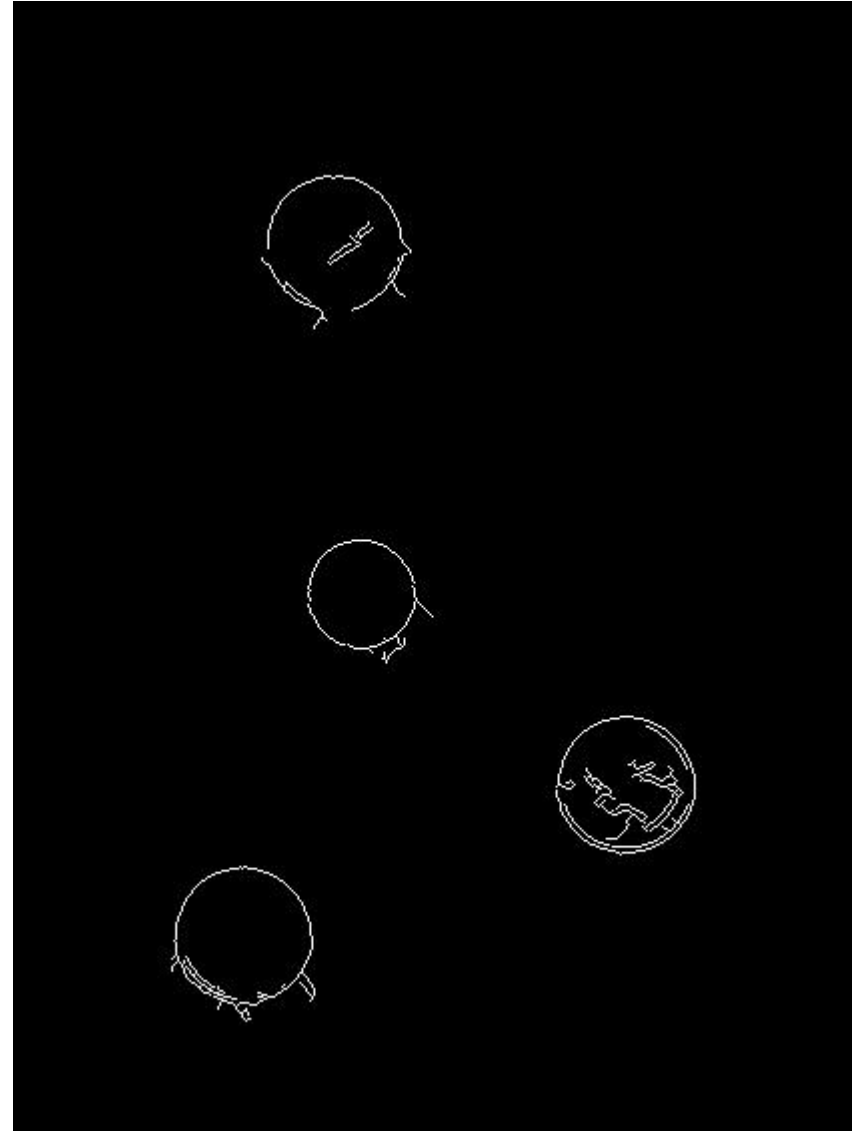
Crosshair indicates results of Hough transform, bounding box found via motion differencing.

# Finding Coins

Original



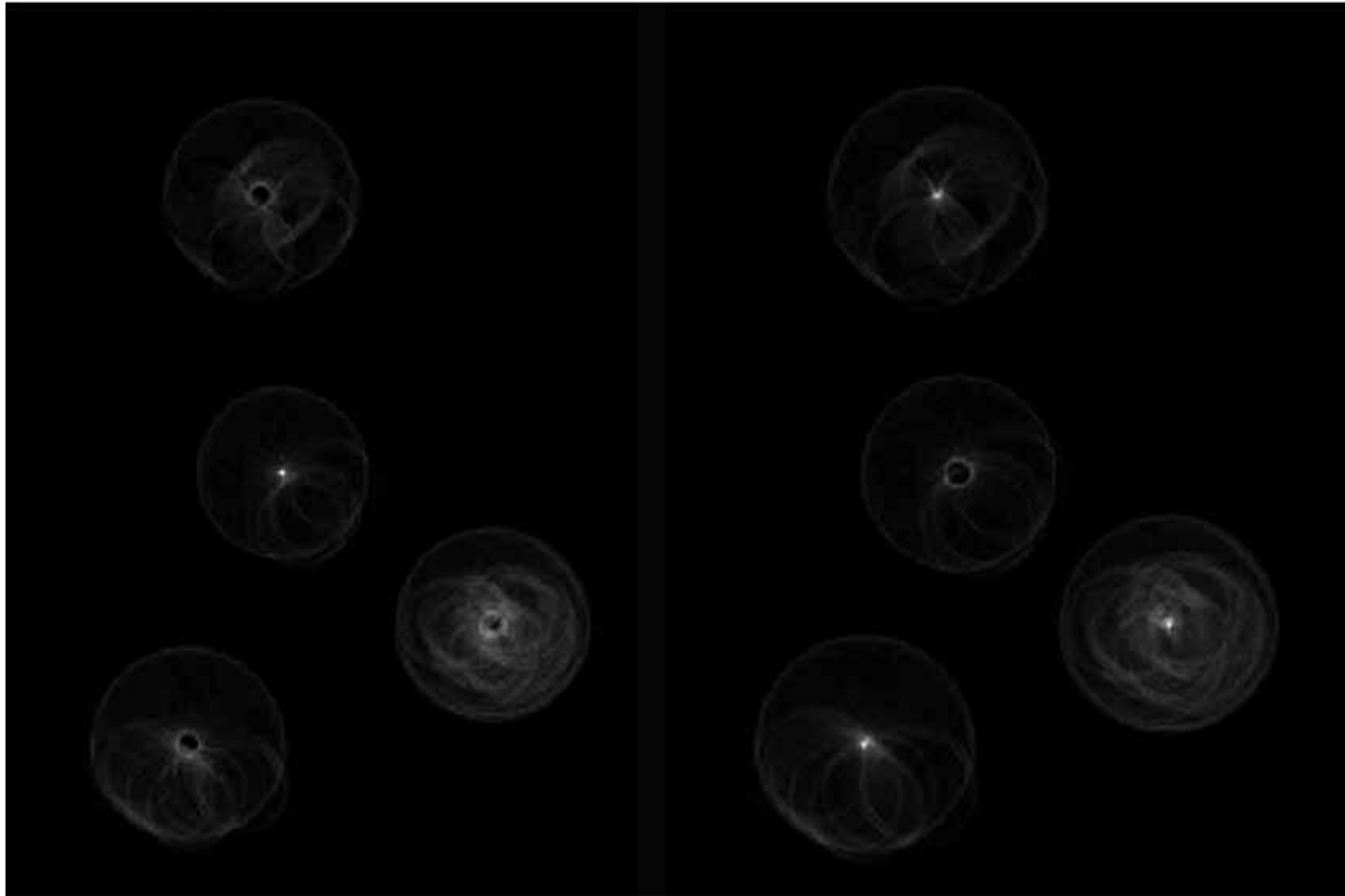
Edges (note noise)



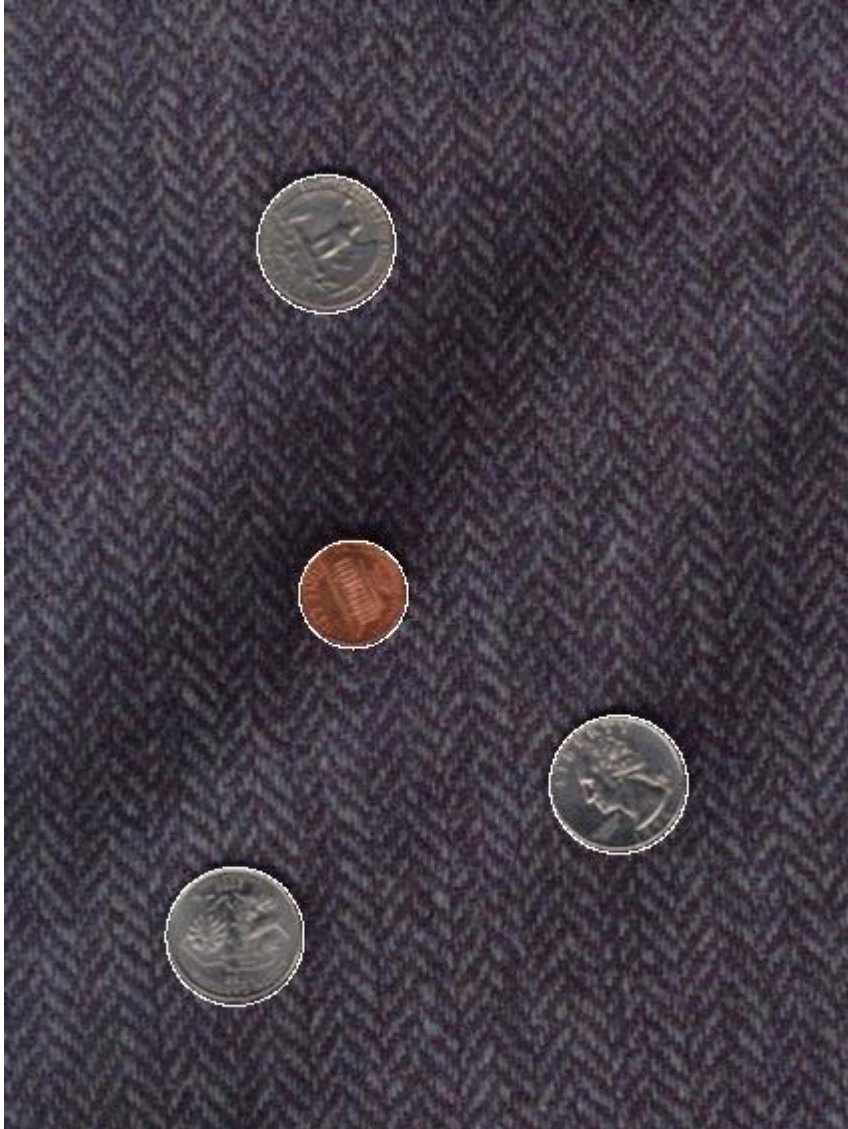
# Finding Coins (Continued)

Penn

Quarters



# Finding Coins (Continued)



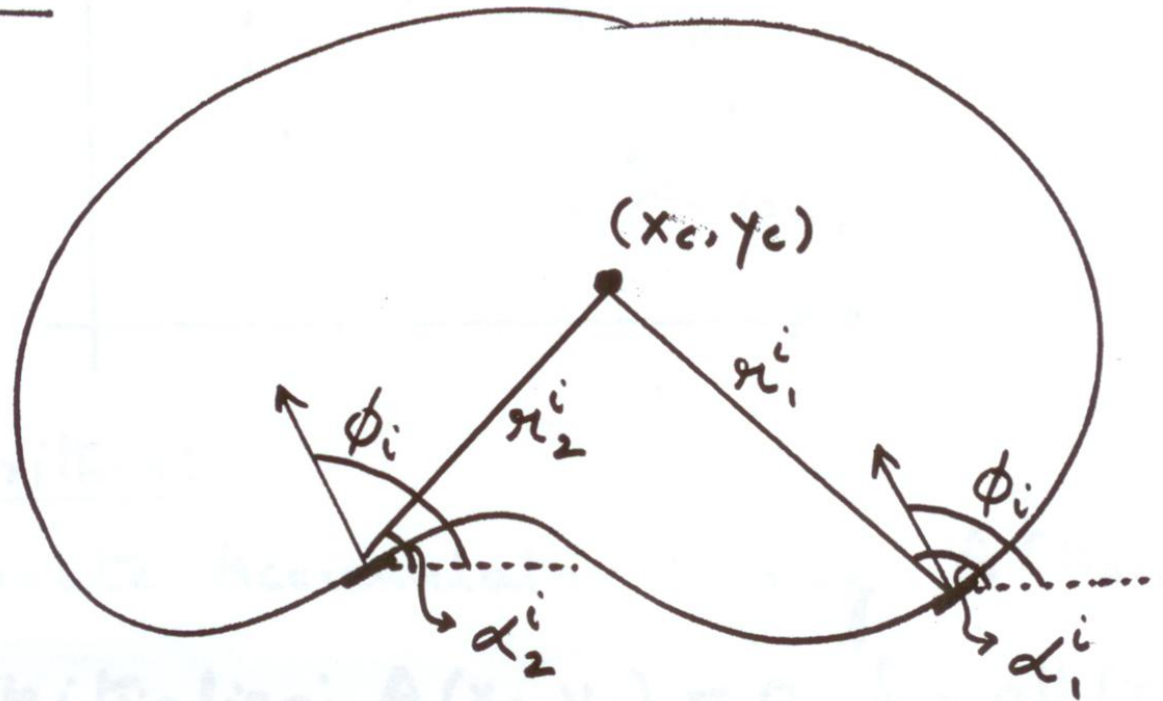
Note that because the quarters and penny are different sizes, a different Hough transform (with separate accumulators) was used for each circle size.

Coin finding sample images from: Vivek Kwatra

# Generalized Hough Transform

- Model Shape NOT described by equation

Model :





# Generalized Hough Transform

- Model Shape NOT described by equation

$\phi$ -Table

Edge Direction	$\bar{\pi} = (\pi, \alpha)$
$\phi_1$	$\bar{\pi}_1^1, \bar{\pi}_2^1, \bar{\pi}_3^1$
$\phi_2$	$\bar{\pi}_1^2, \bar{\pi}_2^2$
$\vdots$	$\vdots$
$\phi_i$	$\bar{\pi}_1^i, \bar{\pi}_2^i$
$\vdots$	$\vdots$
$\phi_n$	$\bar{\pi}_1^n, \bar{\pi}_2^n$

# Generalized Hough Transform

Find Object Center  $(x_c, y_c)$  given edges  $(x_i, y_i, \phi_i)$

Create Accumulator Array  $A(x_c, y_c)$

Initialize:  $A(x_c, y_c) = 0 \quad \forall (x_c, y_c)$

For each edge point  $(x_i, y_i, \phi_i)$

For each entry  $\overline{r}_k^i$  in table, compute:

$$x_c = x_i + r_k^i \cos \alpha_k^i$$

$$y_c = y_i + r_k^i \sin \alpha_k^i$$

Increment Accumulator:  $A(x_c, y_c) = A(x_c, y_c) + 1$

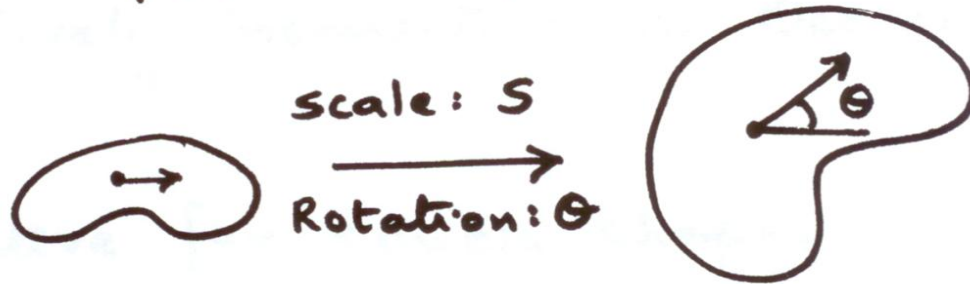
Find Local Maxima in  $A(x_c, y_c)$



## Scale & Rotation:

Use Accumulator Array:

$$A[x_c, y_c, S, \theta]$$



Use:

$$x_c = x_i + x_k^i S \cos(\alpha_k^i + \theta)$$

$$y_c = y_i + x_k^i S \sin(\alpha_k^i + \theta)$$

$$A(x_c, y_c, S, \theta) = A(x_c, y_c, S, \theta) + 1.$$

# Hough Transform: Comments

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- Works on Disconnected Edges
- Relatively insensitive to occlusion
- Effective for simple shapes (lines, circles, etc)
- Trade-off between work in Image Space and Parameter Space
- Handling inaccurate edge locations:
  - Increment Patch in Accumulator rather than a single point

# Practical details

- Try to get rid of irrelevant features
  - ▢ Take only edge points with significant gradient magnitude
- Choose a good grid / discretization
  - ▢ Too coarse: large votes obtained when too many different lines correspond to a single bucket
  - ▢ Too fine: miss lines because some points that are not exactly collinear cast votes for different buckets
- Increment neighboring bins (smoothing in accumulator array)
- Who belongs to which line?
  - ▢ Tag the votes

# Hough transform: Pros

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- Can deal with non-locality and occlusion
- Can detect multiple instances of a model in a single pass
- Some robustness to noise: noise points unlikely to contribute consistently to any single bin

# Hough transform: Cons

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- Complexity of search time increases exponentially with the number of model parameters
- Non-target shapes can produce spurious peaks in parameter space
- It's hard to pick a good grid size

# Summary

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- In this lecture we have begun looking at segmentation, and in particular edge detection
- Edge detection is massively important as it is in many cases the first step to object recognition