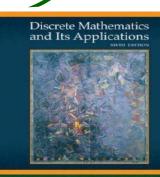


Chapter 8: Relations



Kenneth H. Roser

- Relations(8.1)
- n-any Relations & their Applications (8.2)
- Representing Relations (8.3)
- Equivalence Relations (8.5)

Relations (8.1)



Relationship between a program and its variables

- Integers that are congruent modulo k

 Pairs of cities linked by airline flights in a network



Relations & their properties

- Definition 1

Let A and B be sets. A binary relation from A to B is a subset of A * B.

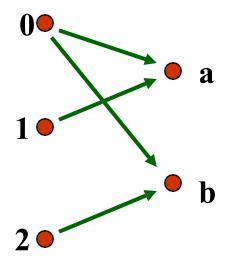
In other words, a binary relation from A to B is a set R of ordered pairs where the first element of each ordered pair comes from A and the second element comes from B.



- Notation:

$$aRb \Leftrightarrow (a, b) \in R$$

 $aRb \Leftrightarrow (a, b) \notin R$



R	a	b
0	X	X
1	X	
2		X



- Example:

A = set of all cities

B = set of the 50 states in the USA Define the relation R by specifying that (a, b) belongs to R if city a is in state b.

```
(Boulder, Colorado)
(Bangor, Maine)
(Ann Arbor, Michigan) are in R.
(Cupertino, California)
Red Bank, New Jersey)
```



Functions as relations

- The graph of a function f is the set of ordered pairs (a, b) such that b = f(a)
- The graph of f is a subset of A * B ⇒ it is a relation from A to B
- Conversely, if R is a relation from A to B such that every element in A is the first element of exactly one ordered pair of R, then a function can be defined with R as its graph

Relations on a set

- Definition 2

A relation on the set A is a relation from A to A.

Example: A = set {1, 2, 3, 4}. Which ordered pairs are in the relation R = {(a, b) | a divides b}

Solution: Since (a, b) is in R if and only if a and b are positive integers not exceeding 4 such that a divides b

$$R = \{(1,1), (1,2), (1.3), (1.4), (2,2), (2,4), (3,3), (4,4)\}$$

Properties of Relations

- Definition 3

A relation R on a set A is called reflexive if $(a, a) \in R$ for every element $a \in A$.

- Example (a): Consider the following relations on {1, 2, 3, 4}

```
R_1 = \{(1,1), (1,2), (2,1), (2,2), (3,4), (4,1), (4,4)\}
R_2 = \{(1,1), (1,2), (2,1)\}
R_3 = \{(1,1), (1,2), (1,4), (2,1), (2,2), (3,3), (3,4), (4,1), (4,4)\}
R_4 = \{(2,1), (3,1), (3,2), (4,1), (4,2), (4,3)\}
R_5 = \{(1,1), (1,2), (1,3), (1,4), (2,2), (2,3), (2,4), (3,3), (3,4), (4,4)\}
R_6 = \{(3,4)\}
```

Which of these relations are reflexive?

Solution:

 R_3 and R_5 : reflexive \Leftarrow both contain all pairs of the form (a, a): (1,1), (2,2), (3,3) & (4,4).

 R_1 , R_2 , R_4 and R_6 : not reflexive \Leftarrow not contain all of these ordered pairs. (3,3) is not in any of these relations.

```
R_1 = \{(1,1), (1,2), (2,1), (2,2), (3,4), (4,1), (4,4)\}
R_2 = \{(1,1), (1,2), (2,1)\}
R_3 = \{(1,1), (1,2), (1,4), (2,1), (2,2), (3,3), (3,4), (4,1), (4,4)\}
R_4 = \{(2,1), (3,1), (3,2), (4,1), (4,2), (4,3)\}
R_5 = \{(1,1), (1,2), (1,3), (1,4), (2,2), (2,3), (2,4), (3,3), (3,4), (4,4)\}
R_6 = \{(3,4)\}
```



- Definition 4:

A relation R on a set A is called symmetric if $(b, a) \in R$ whenever $(a, b) \in R$, for all a, $b \in A$. $(\forall a,b \in A, (a,b) \in R \Longrightarrow (b,a) \in R)$ A relation R on a set A such that $(a, b) \in R$ and $(b, a) \in R$ only if a = b, for all $a, b \in A$, is called antisymmetric.

 $\forall a,b \in A, (a,b) \in R \land (b,a) \in R \Longrightarrow a=b$

- Example: Which of the relations from example (a) are symmetric and which are antisymmetric?

Solution:

 R_2 & R_3 : symmetric \leftarrow each case (b, a) belongs to the relation whenever (a, b) does.

For R₂: only thing to check that both (1,2) & (2,1) belong to the relation

For R_3 : it is necessary to check that both (1,2) & (2,1) belong to the relation.

None of the other relations is symmetric: find a pair (a, b) so that it is in the relation but (b, a) is not.

```
R_1 = \{(1,1), (1,2), (2,1), (2,2), (3,4), (4,1), (4,4)\}
R_2 = \{(1,1), (1,2), (2,1)\}
R_3 = \{(1,1), (1,2), (1,4), (2,1), (2,2), (3,3), (4,1), (4,4)\}
R_4 = \{(2,1), (3,1), (3,2), (4,1), (4,2), (4,3)\}
R_5 = \{(1,1), (1,2), (1,3), (1,4), (2,2), (2,3), (2,4), (3,3), (3,4), (4,4)\}
R_6 = \{(3,4)\}
```



Solution (cont.):

❖ R_4 , R_5 and R_6 : antisymmetric ←for each of these relations there is no pair of elements a and b with a ≠ b such that both (a, b) and (b, a) belong to the relation.

None of the other relations is antisymmetric.: find a pair (a, b) with $a \ne b$ so that (a, b) and (b, a) are both in the relation.

```
R_1 = \{(1,1), (1,2), (2,1), (2,2), (3,4), (4,1), (4,4)\}
R_2 = \{(1,1), (1,2), (2,1)\}
R_3 = \{(1,1), (1,2), (1,4), (2,1), (2,2), (3,3), (3,4), (4,1), (4,4)\}
R_4 = \{(2,1), (3,1), (3,2), (4,1), (4,2), (4,3)\}
R_5 = \{(1,1), (1,2), (1,3), (1,4), (2,2), (2,3), (2,4), (3,3), (3,4), (4,4)\}
R_6 = \{(3,4)\}
```

- Definition 5:

A relation R on a set A is called transitive if whenever $(a, b) \in R$ and $(b,c) \in R$, then $(a, c) \in R$, for all $a, b, c \in R$.

- Example: Which of the relations in example (a) are transitive?
- ❖ R_4 , R_5 & R_6 : transitive \Leftarrow verify that if (a, b) and (b, c) belong to this relation then (a, c) belongs also to the relation R_4 transitive since (3,2) and (2,1), (4,2) and (2,1), (4,3) and (3,1), and (4,3) and (3,2) are the only such sets of pairs, and (3,1), (4,1) and (4,2) belong to R_4 . Same reasoning for R_5 and R_6 .
- R_1 : not transitive \Leftarrow (3,4) and (4,1) belong to R_1 , but (3,1) does not.
- R_2 : not transitive \leftarrow (2,1) and (1,2) belong to R_2 , but (2,2) does not.
- R_3 : not transitive \Leftarrow (4,1) and (1,2) belong to R_3 , but (4,2) does not.

```
R_1 = \{(1,1), (1,2), (2,1), (2,2), (3,4), (4,1), (4,4)\}
R_2 = \{(1,1), (1,2), (2,1)\}
R_3 = \{(1,1), (1,2), (1,4), (2,1), (2,2), (3,3), (3,4), (4,1), (4,4)\}
R_4 = \{(2,1), (3,1), (3,2), (4,1), (4,2), (4,3)\}
R_5 = \{(1,1), (1,2), (1,3), (1,4), (2,2), (2,3), (2,4), (3,3), (3,4), (4,4)\}
R_6 = \{(3,4)\}
```

Combining relations

- Example:

Let A = $\{1, 2, 3\}$ and B = $\{1, 2, 3, 4, \}$. The relations $R_1 = \{(1,1), (2,2), (3,3)\}$ and $R_2 = \{(1,1), (1,2), (1,3), (1,4)\}$ can be combined to obtain:

$$R_1 \cup R_2 = \{(1,1), (1,2), (1,3), (1,4), (2,2), (3,3)\}$$

 $R_1 \cap R_2 = \{(1,1)\}$
 $R_1 - R_2 = \{(2,2), (3,3)\}$
 $R_2 - R_1 = \{(1,2), (1,3), (1,4)\}$



Definition 6:

Let R be a relation from a set A to a set B and S a relation from B to a set C. The composite of **R** and **S** is the relation consisting of ordered pairs (a, c), where $a \in A$, $c \in C$, and for which there exists an element $b \in B$ such that $(a, b) \in R$ and $(b, c) \in S$. We denote the composite of R and S by $\mathbf{S} \circ \mathbf{R}$. $(S \circ R = \{(a,c) | \exists b \in B, (a,b) \in R \land (b,c) \in S\})$

Example: What is the composite of the relations R and S where R is the relation from {1,2,3} to {1,2,3,4} with R = {(1,1), (1,4), (2,3), (3,1), (3,4)} and S is the relation from {1,2,3,4} to {0,1,2} with S = {(1,0), (2,0), (3,1), (3,2), (4,1)}?

Solution: S R is constructed using all ordered pairs in R and ordered pairs in S, where the second element of the ordered in R agrees with the first element of the ordered pair in S.

For example, the ordered pairs (2,3) in R and (3,1) in S produce the ordered pair (2,1) in S $^{\circ}$ R. Computing all the ordered pairs in the composite, we find

$$S \circ R = ((1,0), (1,1), (2,1), (2,2), (3,0), (3,1))$$

Relationship among elements of more than 2 sets often arise: n-ary relations

Airline, flight number, starting point, destination, departure time, arrival time

N-ary relations

- Definition 1:

Let A_1 , A_2 , ..., A_n be sets. An n-ary relation on these sets is a subset of $A_1 * A_2 * ... * A_n$ where A_i are the domains of the relation, and n is called its degree.

Example: Let R be the relation on N * N * N consisting of triples (a, b, c) where a, b, and c are integers with a<b<c. Then (1,2,3) ∈ R, but (2,4,3) ∉ R. The degree of this relation is 3. Its domains are equal to the set of integers.





- Relational database model has been developed for information processing
- A database consists of records, which are ntuples made up of fields
- The fields contains information such as:
 - Name
 - Student #
 - Major
 - Grade point average of the student

 The relational database model represents a database of records or n-ary relation

 The relation is R(Student-Name, Id-number, Major, GPA)

- Example of records

```
(Smith, 3214, Mathematics, 3.9)
(Stevens, 1412, Computer Science, 4.0)
(Rao, 6633, Physics, 3.5)
(Adams, 1320, Biology, 3.0)
(Lee, 1030, Computer Science, 3.7)
```

TABLE A: Students

Students Names	ID#	Major	GPA
Smith	3214	Mathematics	3.9
Stevens	1412	Computer Science	4.0
Rao	6633	Physics	3.5
Adams	1320	Biology	3.0
Lee	1030	Computer Science	3.7



Operations on n-ary relations

 There are varieties of operations that are applied on n-ary relations in order to create new relations that answer eventual queries of a database

- Definition 2:

Let R be an n-ary relation and C a condition that elements in R may satisfy. Then the selection operator s_C maps n-ary relation R to the n-ary relation of all n-tuples from R that satisfy the condition C.

- Example:

if s_C = "Major = "computer science" \land GPA > 3.5" then the result of this selection consists of the 2 four-tuples:

(Stevens, 1412, Computer Science, 4.0) (Lee, 1030, Computer Science, 3.7)

Selection Operator

- Notation: $\sigma_p(r)$
- p is called the selection predicate
- Defined as:

$$\sigma_p(r) = \{t \mid t \in r \text{ and } p(t)\}$$

Where p is a formula in propositional calculus consisting of **terms** connected by : \land (and), \lor (or), \neg (not) Each **term** is one of:

<attribute>op <attribute> or <constant> where op is one of: =, \neq , >, \geq . <. \leq

- Definition 3:

The projection $P_{i_1,i_2,...,i_m}$ maps the n-tuple $(a_1,a_2,...,a_n)$ to the m-tuple $(a_{i_1},a_{i_2},...,a_{i_m})$ where $m \le n$.

In other words, the projection $P_{i_1,i_2,...,i_m}$ deletes n - m of the components of n-tuple, leaving the i_1 th, i_2 th, ..., and i_m th components.

Example: What relation results when the projection
 P_{1.4} is applied to the relation in Table A?

Solution: When the projection $P_{1,4}$ is used, the second and third columns of the table are deleted, and pairs representing student names and GPA are obtained. Table B displays the results of this projection.

TABLE B: GPAs

Students Names	GPA
Smith	3.9
Stevens	4.0
Rao	3.5
Adams	3.0
Lee	3.7

- Definition 4:

Let R be a relation of degree m and S a relation of degree n. The join $J_p(R,S)$, where $p \le m$ and $p \le n$, is a relation of degree m+n-p that consists of all (m+n-p)-tuples $(a_1, a_2, ..., a_{m-p}, c_1, c_2, ..., c_p, b_1, b_2, ..., b_{n-p})$, where the m-tuple $(a_1, a_2, ..., a_{m-p}, c_1, c_2, ..., c_p)$ belongs to R and the n-tuple $(c_1, c_2, ..., c_p, b_1, b_2, ..., b_{n-p})$ belongs to S.

Example: What relation results when the operator J₂ is used to combine the relation displayed in tables C and D?



TABLE C: Teaching

Assignments

-	Professor	Dpt	Course #
	Cruz	Zoology	335
	Cruz	Zoology	412
	Farber	Psychology	501
	Farber	Psychology	617
	Grammer	Physics	544
	Grammer	Physics	551
	Rosen	Computer Science	518
	Rosen	Mathematics	575

TABLE D: Class Schedule

Dpt	Course #	Room	Time
Computer Science	518	N521	2:00 PM
Mathematics	575	N502	3:00 PM
Mathematics	611	N521	4:00 PM
Physics	544	B505	4:00 PM
Psychology	501	A100	3:00 PM
Psychology	617	A110	11:00 AM
Zoology	335	A100	9:00 AM
Zoology	412	A100	8:00 AM

Solution: The join J₂ produces the relation shown in Table E

Table E:
Teaching
Schedule

Professor	Dpt	Course #	Room	Time
Cruz	Zoology	335	A100	9:00 AM
Cruz	Zoology	412	A100	8:00 AM
Farber	Psychology	501	A100	3:00 PM
Farber	Psychology	617	A110	11:00 AM
Grammer	Physics	544	B505	4:00 PM
Rosen	Computer Science	518	N521	2:00 PM
Rosen	Mathematics	575	N502	3:00 PM

 Example: We will illustrate how SQL (Structured Query Language) is used to express queries by showing how SQL can be employed to make a query about airline flights using Table F. The SQL statements

```
SELECT departure_time
FROM Flights
WHERE destination = 'Detroit'
```

are used to find the projection P_5 (on the departure_time attribute) of the selection of 5-tuples in the flights database that satisfy the condition: destination = "Detroit". The output would be a list containing the times of flights that have Detroit as their destination, namely, 08:10, 08:47, and 9:44.

Table F: Flights

Airline	Flight #	Gate	Destination	Departure time
Nadir	122	34	Detroit	08:10
Acme	221	22	Denver	08:17
Acme	122	33	Anchorage	08:22
Acme	323	34	Honolulu	08:30
Nadir	199	13	Detroit	08"47
Acme	222	22	Denver	09:10
Nadir	322	34	Detroit	09:44

Union Operation - Example

Relations A

 α
 1

 α
 2

 β
 1

A B
α 2
β 3

• r U s:

A	В
α	1
α	2
β	1
β	3

Set Difference Operation – Example

Relations I A I

α 1 α 2 β 1

Α	В			
α	2			
β	3			
S				

• r - s:

Α	В
α	1
β	1

Cartesian-Product Operation – Example

Relations r, s:

Α	В		С	b	Ε
α	1		α	1	а
β	2		β β	0	a b
r			γ	ō	b
		1 0		\$	
		4			

• r x s:

A	В	С	b	E	
ø.	1	a.	2	а	
α	1	β	0	а	
a.	1	β	1	b	
a.	1	γ	0	b	
β	2	α	1	а	
β	2	β	0	а	
β	2	β	1	b	
β	2	γ	0	b	

Banking Example

branch (branch_name, branch_city, assets)

customer (customer_name, customer_street, customer_city)

account (account_number, branch_name, balance)

loan (loan_number, branch_name, amount)

depositor (customer_name, account_number)

borrower (customer_name, loan_number)

Example Queries

Find all loans of over \$1200

 Find the loan number for each loan of an amount greater than \$1200

$$\Pi_{loan_number}$$
 ($\sigma_{amount > 1200}$ (loan))

 Find the names of all customers who have a loan, an account, or both, from the bank

$$\Pi_{customer_name}$$
 (borrower) $\cup \Pi_{customer_name}$ (depositor)

Example Queries

 Find the names of all customers who have a loan at the Perryridge branch.

 σ_{branch_name="Perryridge"}

```
customer_name ` branch_name="Perryridge"

(σ
borrower.loan_number = loan.loan_number

(borrower x loan)))
```

 Find the names of all customers who have a loan at the Perryridge branch but do not have an account at any branch of the bank.

```
\Pi_{customer\_name} (\sigma_{branch\_name} = "Perryridge"

(\sigma_{borrower.loan\_number} (borrower x loan))) - \Pi_{customer\_name} (depositor)
```

 Find the name of all customers who have a loan at the bank and the loan amount

- Find all customers who have an account from at least the "Downtown" and the Uptown" branches.
- Query 1

$$\prod_{customer_name} (\sigma_{branch_name = "Downtown"} (depositor account)) \cap$$

$$\prod_{customer_name} (\sigma_{branch_name = "Uptown"} (depositor account))$$

Representing Relations (8.3)

First way is to list the ordered pairs

Second way is through matrices

Third way is through direct graphs

Representing Relations (8.3)

Representing relations through matrices

$$m_{ij} = \begin{cases} 1 & if (a_i, b_j) \in R \\ 0 & otherwise \end{cases}$$

- Example: Suppose that the relation R on a set is represented by the matrix:

$$M_R = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ \lfloor 0 & 1 & 1 \end{bmatrix}$$

Is R reflexive, symmetric, and/or antisymmetric?

Solution: Since all the diagonal elements of this matrix are equal to 1, R is reflexive. Moreover, since M_R is symmetric \Rightarrow R is symmetric. R is not antisymmetric.

Reflexive Relations:

The matrix has 1's on the diagonal (if A=B).

Example: $R = \{(1,1),(2,2),(3,3)\} \rightarrow Identity matrix.$

Symmetric Relations:

The matrix is **symmetric** $(M_R = M_R^T)$.

Example: $R = \{(1,2),(2,1),(2,3),(3,2)\}$

Transitive Relations:

If M_R^2 has non-zero entries where M_R has zeros, the relation is not transitive.

Representing Relations (8.3)

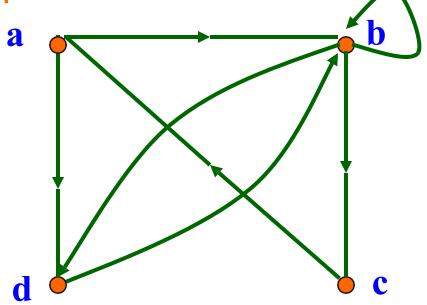
Representing relations using diagraphs

Definition 1:

A directed graph, or diagraph, consists of a set V of vertices (or nodes) together with a set E of ordered pairs of elements of V called edges (or arcs). The vertex a is called the initial vertex of the edge (a, b), and the vertex b is called the terminal vertex of this edge.

Representing Relations (8.3)

- Example: The directed graph with vertices a, b, c and d, and edges (a,b), (a,d), (b,b), (b,d), (c,a) and (d,b). The edge (b,b) is called a loop.



- Students registration time with respect to the first letter of their names
- R contains (x,y) \(\infty \) x and y are students with last names beginning with letters in the same block
- 3 blocks are considered: A-F, G-O, P-Z
- R is reflexive, symmetric & transitive
- The set of student is therefore divided in 3 classes depending on the first letter of their names

Definition 1

A relation on a set A is called an equivalence relation if it is reflexive, symmetric and transitive.

Examples

:

- Suppose that R is the relation on the set of strings of English letters such that aRb if and only if I(a) = I(b), where I(x) is the length of the string x. Is R an equivalence relation?
 Solution: R is reflexive, symmetric and transitive ⇒ R is an equivalence relation
- A divides b; is it an equivalence relation?

Equivalence classes

- Definition 2:

Let R be an equivalence relation on a set A. The set of all elements that are related to an element a of A is called the equivalence class of a. The equivalence class of a with respect to R is denoted by [a]_R. When only one relation is under consideration, we will delete the subscript R and write [a] for this equivalence class.

 Example: What are the equivalences classes of 0 and 1 for congruence modulo 4?

Solution:

The equivalence class of 0 contains all the integers a such that $a \equiv 0 \pmod{4}$. Hence, the equivalence class of 0 for this relation is

$$[0] = \{..., -8, -4, 0, 4, 8, ...\}$$

The equivalence class of 1 contains all the integers a such that $a \equiv 1 \pmod{4}$. The integers in this class are those that have a remainder of 1 when divided by 4. Hence, the equivalence class of 1 for this relation is

$$[1] = \{..., -7, -3, 1, 5, 9, ...\}$$

Congruence Modulo m

Definition: Two integers a and b are congruent modulo m iff they have the same remainder when divided by m.

denoted by: $a \equiv b \pmod{m}$

read as: a is congruent to b modulo m.

Example 1: What is the equivalence class of 2 with respect to congruence modulo 5? Solution: The equivalence class of 2 contains all integers x such that $x \equiv 2 \pmod{5}$.

i.e., $x \mod 5 = 2 \mod 5$

What is 2 mod 5? $[2] = \{..., -8, -3, 2, 7, 12, ...\}$ 2 mod 5 = 2





- Theorem 1:

Let R be an equivalence relation on a set A. These statements are equivalent:

- i. aRb
- ii. [a] = [b]
- iii. [a] \cap [b] $\neq \emptyset$

- Theorem 2:

Let R be an equivalence relation on a set S. Then the equivalence classes of R form a partition of S. Conversely, given a partition $\{A_i \mid i \in I\}$ of the set S, there is an equivalence relation R that has the sets A_i , $i \in I$, as its equivalence classes.

- Example: List the ordered pairs in the equivalence relation R produced by the partition $A_1 = [1,2,3]$, $A_2 = \{4,5\}$ and $A_3 = \{6\}$ of $S = \{1,2,3,4,5,6\}$

Solution: The subsets in the partition are the equivalences classes of R. The pair $(a,b) \in R$ if and only if a and b are in the same subset of the partition.

The pairs (1,1), (1,2), (1,3), (2,1), (2,2), (2,3), (3,1), (3,2) and (3,3) $\in R \Leftarrow A_1 = [1,2,3]$ is an equivalence class. The pairs (4,4), (4,5), (5,4) and (5,5) $\in R \Leftarrow A_2 = \{4,5\}$ is an equivalence class. The pair (6,6) $\in R \Leftarrow \{6\}$ is an equivalence class.

No pairs other than those listed belongs to R.

Let $S=\{1,2,3,4\}$ and R be: $R=\{(1,1),(2,2),(3,3),(4,4),(1,2),(2,1),(3,4),(4,3)\}$. **Equivalence Classes:** $[1]=[2]=\{1,2\}, [3]=[4]=\{3,4\}.$

Partition: $S = \{1,2\} \cup \{3,4\}$.

Example: Let $A = \{1, 2, 3, 4, 5\}$

 $R = \{(a, b) \mid a+b \text{ is even}\}\$ (Relation R is defined on set A)

First, we have to check whether R is an equivalence relation or not.

- (i) Reflexive: a+a=2a
- (ii) Symmetric: a+b is even → b+a is even
- (iii) Transitive:

a+b is even and b+c is even → a+c is even

Both a and b can be either even or odd.

If a is even and b is even

b is even and c is even, then a+c is even.

If a is odd and b is odd

b is odd and c is odd, then a+c is even.

Therefore, R is an equivalence relation.

[1] = {1, 3, 5} because 1R1, 1R3, 1R5.

$$[2] = \{2, 4\}$$

$$[3] = \{1, 3, 5\}$$

$$[4] = \{2, 4\}$$

$$[5] = \{1, 3, 5\}$$

- ❖ Reflexive + Transitive → Preorder
- **Reflexive + Symmetric + Transitive** → Equivalence Relation
- **Reflexive + Antisymmetric + Transitive** → Partial Order

